WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN

BEFORE THE PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR **DESIGNERS**

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

JUDITH A. NEU,

ORDER 0008663 RESPONDENT.

Division of Legal Services and Compliance Case No. 22 ENG 024

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Judith A. Neu West Bend, WI 53090

Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers P.O. Box 8366

Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers (Section). The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- Respondent Judith A. Neu (Birth Year 1967) is registered by the State of 1. Wisconsin as a professional engineer, having certificate of registration number 31224-6, first issued on November 10, 1995 and current through July 31, 2024. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in West Bend, Wisconsin 53090.
 - On August 3, 2020, Respondent's professional engineer credential expired. 2.

- 3. On July 7, 2022, Respondent renewed her credential.
- 4. On October 25, 2022, the Department received a complaint regarding Respondent's work as the engineer for the Village of Sussex, Wisconsin.
- 5. On the same day, the Division of Legal Services and Compliance (DLSC) opened Case Number 22 ENG 024 for investigation into her practice while her professional engineer credential was expired.
- 6. On March 6, 2023, the Department contacted Respondent to ask if Respondent was the engineer for the Village of Sussex while her credential was expired, how many projects she worked on while her credential was expired, and why Respondent had allowed her credential to expire.
- 7. On April 3, 2023, Respondent stated that her credential had expired by mistake. She did not receive a previously provided renewal notice from the Department via U.S. mail, and as a result, simply forgot to renew her license. Respondent does acknowledge that it is her responsibility to renew her license and to keep all contact information on file with the Department up to date.
- 8. On the same date, Respondent stated that she worked on 96 projects and served as the Village of Sussex engineer while her license was expired.
- 9. On April 4, 2023, the Department contacted Respondent to ask for the record of completion of Respondent's professional development hours (PDHs) for the 2020-2022 biennium.
- 10. On April 18, 2023, Respondent provided certificates for the PDHs she took during the 2020-2022 biennium.
- 11. A Department review found that Respondent did not complete the two required PDHs in the area of professional conduct and ethics during the 2020-2022 biennium. Respondent attempted to carry over two PDHs from the 2018-2020 biennium to meet the requirement, but such a carryover is not permissible, pursuant to Wis. Admin. Code § A-E 13.03(1)(d).
- 12. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers has jurisdiction to act in this matter pursuant to Wis. Stat. § 443.11, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent violated Wis. Stat. § 443.02(2) by practicing professional engineering while her credential was expired.

- 3. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § A-E 13.03(1)(b) by failing to complete a minimum of two PDHs in the area of professional conduct and ethics during the 2020-2022 biennial registration period.
- 4. As a result of the above violations, Respondent is subject to discipline pursuant to Wis. Stat. § 443.11(1)(c) and (e).

ORDER

- 1. The attached Stipulation is accepted.
- 2. Respondent Judith A. Neu is REPRIMANDED.
- 3. Respondent Judith A. Neu's professional engineer certificate of registration (no. 31224-6) is LIMITED as follows:
 - a. Within sixty (60) days of the date of this Order, Respondent shall successfully complete two (2) professional development hours on the topic of professional conduct and ethics from a provider pre-approved by the Section or its designee, including taking and passing any exam(s) offered for the course(s).
 - b. Respondent shall submit proof of successful completion of the ordered education in the form of verification from the institution providing the education to the Department Monitor at the address stated below.
 - c. The education completed pursuant to this Order shall satisfy Respondent's remaining PDH requirements for the 2020-2022 biennium.
 - d. The education completed pursuant to this Order may not be used to satisfy any other PDH requirements of the Section.
- 4. Within ninety (90) days from the date of this Order, Respondent Judith A. Neu shall pay the COSTS of this matter in the amount of \$508.
- 5. All submissions, including requests for pre-approval, proof of successful course completion, and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

Submissions may also be made online at: https://dspsmonitoring.wi.gov/

- 6. In the event Respondent violates any term of this Order, Respondent's certificate of registration (31224-6), or Respondent's right to renew her certificate of registration, may, in the discretion of the Section or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Section may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.
 - 7. This Order is effective on the date of its signing.

WISCONSIN PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED INTERIOR DESIGNERS

by:	KE	7/27/2023
	A Member of the Section	Date

STATE OF WISCONSIN
BEFORE THE PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN
EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL
ENGINEERS, DESIGNERS, PROFESSIONAL LAND SURVEYORS, AND REGISTERED
INTERIOR DESIGNERS

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

JUDITH A. NEU, RESPONDENT. STIPULATION

ORDER 0008663

Division of Legal Services and Compliance Case No. 22 ENG 024

Respondent Judith A. Neu and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - · the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - · the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Attorney Mary Nelson.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers (Section). The parties to the Stipulation consent to the entry of the attached

Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's order, if adopted in the form as attached.

- If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stimulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation, Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.
- Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

The Division of Legal Services and Compliance joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.

Judilh A. Neu, Respondent

West Bend, WI 53090

gredential No. 31224-6

Mary Nelson, Attorney for Respondent

710 N. Plankinton Ave Milwaukee, WI 53203

Jon Derenne, Attorney

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190