WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

WAYNE W. LIOU, M.D.,

ORDER 0008434 RESPONDENT.

Division of Legal Services and Compliance Case No. 21 MED 356

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Wayne W. Liou, M.D. Eden Prairie, MN 55344

Wisconsin Medical Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Medical Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

- 1. Respondent Wayne W. Liou, M.D., (Year of Birth 1968) is licensed in the state of Wisconsin to practice medicine and surgery, having license number 48691-20, first issued on October 19, 2005, with registration current through October 31, 2023. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services is in Eden Prairie, Minnesota 55344.
- At all times relevant to this proceeding, Respondent practiced as a radiologist and provided remote/teleradiology services to a hospital in Wisconsin (Hospital).
- On September 17, 2014, a forty-nine-year-old male patient (Patient A) was admitted to the Hospital after presenting to the emergency room with complaints of numbness and

tingling to extremities, left arm paresthesia, an abnormal EKG with left ventricular hypertrophy, and a concurrent viral upper respiratory infection.

4. Patient A underwent a CT cervical spine with contrast, among other imaging studies. Respondent performed a preliminary interpretation of the CT on an emergent basis. Respondent's findings included "no significant spinal central canal or neural foraminal stenoses." Respondent's impression was:

No evidence of acute cervical bony injury, no regions of abnormal enhancement and nonspecific mild fat stranding in the medial lower left neck near the thoracic inlet suggesting scarring, edema, or inflammation.

5. On September 18, 2014, the Hospital's in-house radiologist reviewed and interpreted the same CT cervical spine that Respondent had interpreted, and found:

FINDINGS: There is left C7-T1 facet joint widening and severe erosive changes. There is a multiloculated posterior perifacet abscess measuring 3 x 1.5 x 2 cm extending from C6-7 to T1-2. There is sclerosis and narrowing of the left C6-7 and T1-2 facet joints without frank erosive changes... There is disc space narrowing, marginal spurring facet joint narrowing can sclerosis from C4-5 to C6-7. Atlantoaxial articulation intact. Neural foramina appear patent.

IMPRESSION: Left C7-T1 septic joint arthritis with perifacet abscess and inflammatory changes.

- 6. Respondent failed to report that the CT showed narrowing of the spinal canal and/or compression of the cord, as well as the existence of fluid collection around the facet. These findings should have been recognized and noted regardless of history provided to Respondent. This failure departed from the standard of minimally competent medical practice.
- 7. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 448.02(3) and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent engaged in unprofessional conduct as defined in Wis. Admin. Code § Med 10.03(2)(b) by departing from or failing to conform to the standard of minimally competent medical practice which creates an unacceptable risk of harm to a patient or the public whether or not the act or omission resulted in actual harm to any person.
- 3. As a result of the above conduct, Respondent is subject to discipline pursuant to Wis. Stat. § 448.02(3).

ORDER

- 1. The attached Stipulation is accepted.
- 2. Respondent is REPRIMANDED.
- 3. Respondent's license and registration to practice medicine and surgery in the state of Wisconsin (license no. 48691-20) is LIMITED as follows:
 - a. Within ninety (90) days of the date of this Order, Respondent shall, at his own expense, successfully complete three (3) hours of education on the topic of imaging and neurological emergencies in the spine, offered by a provider pre-approved by the Board's monitoring liaison, including taking and passing any exam offered for the courses.
 - b. Respondent shall submit proof of successful completion of the education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Board or Department, and also may not be used in future attempts to upgrade a credential in Wisconsin.
 - c. The Board's monitoring liaison may change the number of credit hours and/or education topics in response to a request from Respondent. The monitoring liaison may consider the topic availability and/or hours of education when determining if a change to the ordered education should occur.
 - d. This limitation shall be removed from Respondent's license and registration after satisfying the Board or its designee that Respondent has successfully completed all the ordered education.
- 4. Within ninety (90) days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$780.00.
- 5. Any requests, petitions, payments of costs (made payable to Department of Safety and Professional Services), and other information required by this Order shall be submitted to:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

Respondent may also submit this information online at: https://dspsmonitoring.wi.gov.

6. In the event Respondent violates any term of this Order, Respondent's license and registration (no. 48691-20), or Respondent's right to renew his license and registration, may, in

the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

7. This Order is effective on the date of its signing.

WISCONSIN MEDICAL EXAMINING BOARD $\,\dot{}\,$

By:	Stephen A. Wararm, Ms	3/15/2023	
•	A Member of the Board	Date	

STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

STIPULATION

WAYNE W. LIOU, M.D., RESPONDENT.

ORDER 0008434

Division of Legal Services and Compliance Case No. 21 MED 356

Respondent Wayne W. Liou, M.D., and the Division of Legal Services and Compliance, Department of Safety and Professional Services, stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Medical Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division

of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. Respondent is further informed that should the Board adopt this Stipulation, the Board's Final Decision and Order will be reported as required by the National Practitioner Databank (NPDB) Guidebook and as otherwise required by any licensure compact or any other state or federal law.
- 9. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Mark	02/25/2023
Wayne W. Liou, M.D., Respondent Eden Prairie, MN 55344	Date
License No. 48691-20	
Carley & Berlikery	2/27/2023
Carley Peich Kiesling, Prosecuting Attorney Department of Safety and Professional Services	Date

Department of Safety and Professional Servi Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190