WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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IN THE MATTER OF THE CERTIFICATE OF	:	
	:	FINAL DECISION AND ORDER
JAMES J. HOCK JR.,	:	FOR REMEDIAL EDUCATION
LICENSEE.	:	ORDER 0008266

Division of Legal Services and Compliance Case No. 20 APP 064

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

James J. Hock Jr. De Pere, WI 54115

Real Estate Appraisers Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Real Estate Appraisers Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Licensee James J. Hock Jr. (Birth Year 1963) is certified by the State of Wisconsin as a certified general appraiser, having certificate of licensure and certification number 1011-10, first issued on May 21, 1999 and current through December 14, 2023. Licensee's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in De Pere, Wisconsin 54115.

2. On November 25, 2020, the Department received a complaint alleging that Licensee performed an inadequate appraisal. The Division of Legal Services and Compliance (DLSC) subsequently opened Case Number 20 APP 064 for investigation.

3. On October 31, 2019, Licensee performed an appraisal of a property located at W7446 Spring Valley Road, Town of Greenville, Outagamie County, Wisconsin.

4. Licensee's appraisal was reviewed by the DLSC and determined Licensee did not make any adjustment in the cost or sales comparison approaches for the subject property being

an over improvement even though at numerous times in his report it is alluded to, his report did not include a sizable functional obsolescence adjustment. Licensee developed a primary and secondary market area for the subject property but no comparable sale data was utilized from this 40-100 square mile radius and no discussion was included regarding the lack of data and its impact on the marketability of the subject property. Licensee's response indicates his intended market area was the whole state of Wisconsin. [USPAP Competency Rule, SR 2-2(a)(viii)]

5. On December 5, 2021, Licensee completed *Complex Properties: The Odd Side of Appraisal* (7 hours) offered by McKissock as a part of his continuing education for the previous biennium.

6. Licensee agrees that failure to submit proof of successful completion of the ordered education as set forth below shall constitute conduct which reflects adversely on his fitness to practice as a real estate appraiser as set forth in Wis. Admin. Code § SPS 86.01(13).

7. In resolution of this matter, Licensee consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Real Estate Appraisers Board is authorized to enter into the attached Stipulation pursuant to Wis. Stat. §§ 227.44(5) and 458.26.

ORDER

1. The attached Stipulation is accepted.

2. Within 120 days of the date of this Order, Licensee shall, at his own expense, take and successfully complete 26 hours of education as follows:

- a. National USPAP course (15 hours) (must be taken online).
- b. The Fundamentals of Appraising Luxury Homes (4 hours).
- c. Understanding Luxury Home Features (7 hours).
- d. Each course attended in satisfaction of this Order must be offered by a provider pre-approved by the Board or its designee. Licensee shall be responsible for locating course(s) satisfactory to the Board and for obtaining the required approval of the course(s) from the Board or its designee. Licensee must take and pass any exam(s) offered for the course(s).
- e. Licensee shall submit proof of successful completion of the education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by

the Board or Department and may not be used in any future attempt to upgrade a credential.

3. Requests for course approval and proof of successful course completion shall be sent by Licensee to the Department Monitor at the address below:

Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 266-2112; Fax (608) 266-2264 <u>DSPSMonitoring@wisconsin.gov</u>

Submissions may also be made online at: https://dspsmonitoring.wi.gov/

- 4. This Order does not constitute discipline.
- 5. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE APPRAISERS BOARD

Cal N. Chur.

by:

A Member of the Board

11/17/2022 Date

STATE OF WISCONSIN BEFORE THE REAL ESTATE APPRAISERS BOARD

IN THE MATTER OF THE CERTIFICATE OF

JAMES J. HOCK JR., LICENSEE.

STIPULATION

Division of Legal Services and Compliance Case No. 20 APP 064

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Licensce James J. Hock Jr. and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Licensee consents to the resolution of this investigation by Stipulation.

2. Licensee understands that by signing this Stipulation, Licensee voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Licensee, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Licensee;
- the right to call witnesses on Licensee's behalf and to compel their attendance by subpoena;
- the right to testify on Licensee's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Licensee under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Licensee is aware of Licensee's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Licensee is represented by Attorney Terry Gerbers.

4. Licensee agrees to the adoption of the attached Final Decision and Order for Remedial Education by the Real Estate Appraisers Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order for Remedial Education without further notice, pleading, appearance or consent of the parties. Licensee waives all rights to any appeal of the Board's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is

not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Licensee, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order for Remedial Education.

7. Licensee is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order for Remedial Education is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Licensee in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order for Remedial Education.

James J. Hock Jo. Licensee

De Pere, WI 54115 Credential No. 1011-10

Terry Gerbers, Attorney for Licensee

Gerbers Law SC DL がけた, LLP 480 Pilgrim Way#1200 2391 けにかかか いい Green Bay, WI 54304

Renee M. Parton, Attorney Division of Legal Services and Compliance P.O. Box 7190 Madison, W1 53707-7190

11/4/2022

512002 Date

11/7/2022

Date