WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE DENTISTRY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

ROBERT J. ASP, D.D.S., RESPONDENT.

ORDER 0008147

Division of Legal Services and Compliance Case No. 21 DEN 021

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Robert J. Asp, D.D.S. Hilbert, WI 54129

Wisconsin Dentistry Examining Board P.O Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Dentistry Examining Board. (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

- 1. Robert J. Asp, D.D.S. (Respondent), (Year of Birth 1954) is licensed in the state of Wisconsin to practice dentistry, having license number 2631-15, first issued on September 23, 1980, and current through September 30, 2023. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in Hilbert, Wisconsin 54129.
- 2. At all times relevant to these matters, Respondent practiced dentistry at a facility located in Hilbert, Wisconsin (Facility).
 - 3. Patient A had been a patient of Respondent's since 2013.

- 4. On March 5, 2019, Respondent performed a root canal on tooth # 19 for Patient A. The following occurred during and/or resulted from the root canal.
 - a. Tooth #19 had four (4) root canals; Respondent only identified and worked on three (3) of the four (4) root canals.
 - b. On one (1) root canal, Respondent did not go deep enough to remove all pulp and nerve material.
 - c. On another root canal, Respondent left the remains of several broken files in the root canal.
 - d. Patient A's records do not contain any reference to a broken file or file remnants left in the root canal. Respondent did not inform Patient A that he broke a file while performing the root canal.
- 5. As a result of Respondent's actions, Patient A sought treatment from another provider who identified the four (4) roots, identified the broken files, and completed root canal therapy on tooth #19.
- 6. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 447.07 and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent practiced in a manner which substantially departs from the standard of care ordinarily exercised by a dentist or dental hygienist which harms or could have harmed a patient within the meaning of Wis. Admin. Code § DE 5.02(5).
- 3. As a result of the above conduct, Respondent is subject to discipline pursuant to Wis. Stat. § 447.07 and Wis. Admin. Code § DE 5.02.

<u>ORDER</u>

- 1. The attached Stipulation is accepted.
- 2. Respondent is REPRIMANDED.
- 3. The license issued to Respondent to practice dentistry in the state of Wisconsin (license no. 2631-15), is LIMITED as follows:
 - a. Within 120 days of the date of this Order, Respondent shall at his own expense, successfully complete 12 hours of education on the topic of endodontic treatment

- offered by a provider pre-approved by the Board monitoring liaison, including taking and passing any exam offered for the courses.
- b. All education completed pursuant to this Order shall be taken in person. The Board's monitoring liaison may approve course(s) to be taken via webinar, online, or otherwise remotely, in response to a request from Respondent.
- c. Respondent shall submit proof of successful completion of the education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Board or Department, and also may not be used in future attempts to upgrade a credential in Wisconsin.
- d. The Board monitoring liaison may change the number of credit hours and/or education topics in response to a request from Respondent. The monitoring liaison may consider the topic availability and/or hours of education when determining if a change to the ordered education should occur.
- e. This limitation shall be removed from Respondent's license after satisfying the Board or its designee that Respondent has successfully completed all the ordered education.
- 4. The license issued to Respondent to practice dentistry in the state of Wisconsin (license no. 2631-15), is FURTHER LIMITED as follows:
 - a. Respondent shall not practice endodontics until the education required by this Order is completed to the satisfaction of the Board Monitoring Liaison.
- 5. Within 120 days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$3509.17
- 6. Any requests, petitions, payments of costs (made payable to Department of Safety and Professional Services), and other information required by this Order shall be submitted to:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

Respondent may also submit this information online at: https://dspsmonitoring.wi.gov.

6. In the event Respondent violates any term of this Order, Respondent's license (no. 2631-15), or Respondent's right to apply to renew his license, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied

with the terms of the Order. The Board may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

7. This Order is effective on the date of its signing.

WISCONSIN DENTISTRY EXAMINING BOARD

By:	Macros	09-07-2022	
•	A Member of the Board	Date	

STATE OF WISCONSIN BEFORE THE DENTISTRY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

ROBERT J. ASP, D.D.S., RESPONDENT.

ORDER 0008 147

Division of Legal Services and Compliance Case No. 21 DEN 021

Robert J. Asp, D.D.S. (Respondent) and the Division of Legal Services and Compliance, Department of Safety and Professional Services, stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Attorneys Paul Erickson and Lauren Wick.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Dentistry Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division

of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent or Respondent's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. Respondent is further informed that should the Board adopt this Stipulation, the Final Decision and Order will be reported as required by the National Practitioner Databank (NPDB) Guidebook and as otherwise required by any licensure compact or any other state or federal law.
- 9. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

recommending the roard adopt this Supulation and issue	the attached I mar Decision and Order.
Robert J. Asp. D.D.S., Respondent Hilbert, WI 54129 License No. 2631-15	8/4/32 Date
Paul Erickson, Attorney for Respondent OR Lauren Wick, Attorney for Respondent Gutglass Erickson Larson and Schneider SC 735 N Water St Ste 1400 Milwaukee, WI 53202	Date
Ghatha Mozymski.	August 9, 2022
Gretchen Mrozinski, Prosecuting Attorney Department of Safety and Professional Services	Date

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190

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- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent or Respondent's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure:
- 8. Respondent is further informed that should the Board adopt this Stipulation, the Final Decision and Order will be reported as required by the National Practitioner Databank (NPDB) Guidebook and as otherwise required by any licensure compact or any other state or federal law.
- 9. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Robert J. Asp, D.D.S., Respondent Hilbert, WI 54129 License No. 2631-15	Date
Paul Erickson, Attorney for Respondent OR Lauren Wick, Attorney for Respondent Gutglass Erickson Larson and Schneider SC 735 N Water St Stc 1400 Milwaukee, WI 53202	<u>8-4-2022</u> Date
Gretchen Mrozinski, Prosecuting Attorney Department of Safety and Professional Services Division of Legal Services and Compliance	Date

Madison, WI 53707-7190