WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

BRENT C. ROSSMAN, RESPONDENT.

ORDER 0008129

Division of Legal Services and Compliance Case No. 21 REB 109

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Brent C. Rossman La Crosse, WI 54603

Real Estate Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Real Estate Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. Respondent Brent C. Rossman (Birth Year 1984) is licensed by the State of Wisconsin as a real estate salesperson, having license number 95058-94, first issued on September 14, 2021 and current through December 14, 2022. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in La Crosse, Wisconsin 54603.
- 2. On October 14, 2021, Respondent reported a conviction to the Department. The Division of Legal Services and Compliance (DLSC) subsequently opened Case Number 21 REB 109 for investigation.
- 3. On February 2, 2020, Respondent was pulled over for speeding and was found to be intoxicated. The arresting officer administered a breathalyzer test, and Respondent had a BAC of 0.16. Respondent was arrested for operating while under the influence.

- 4. On October 14, 2021, Respondent was convicted of Operating with a Prohibited Alcohol Concentration (3rd) in La Crosse County Circuit Court Case Number 2020CT000066.
 - 5. The terms of Respondent's sentence included the following:
 - a. A fine of \$1,744.
 - b. 45 days in county jail.
 - c. Driver's license revoked for 24 months.
 - d. Use of an Ignition Interlock Device for 24 months.
 - e. Complete an alcohol assessment and driver's safety plan.
 - f. Participation in OWI Court program.
- 6. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Real Estate Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 452.14, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § REEB 24.17(1) by violating a law, the circumstances of which substantially relate to the practices of a real estate licensee.
- 3. As a result of the above violations, Respondent is subject to discipline pursuant to Wis. Stat. § 452.14(3)(L) and Wis. Admin. Code § REEB 24.17(2).

ORDER.

- 1. The attached Stipulation is accepted.
- 2. Respondent Brent C. Rossman is REPRIMANDED.
- 3. Respondent Brent C. Rossman's real estate salesperson license (no. 95058-94) is LIMITED as follows:
 - a. Respondent shall not drive a current or prospective client in any motor vehicle that requires a Department of Transportation issued license for the purposes of his profession.
 - b. While conducting real estate business, Respondent shall abstain from all use of alcohol and illicit substances.

- c. Respondent shall commit no new violations of law and shall report all law enforcement contacts leading to arrest, charge, or conviction, including DWI/OWI and municipal/ordinance violations, to the Department Monitor within 48 hours of any such event, including any conviction resulting from a pending charge.
- d. Respondent shall comply with all terms of his sentencing in La Crosse County Circuit Court Case Number 2020CT000066.
- e. Respondent shall provide a copy of this Order to all current and future employers.
- f. Respondent may petition the Board for modification or termination of these limitations after completion of two (2) years of practice in compliance with all terms and conditions of this Order. Respondent's petition must include his history of employment from the effective date of this Order that states the dates and names of any employer, such employment in total equaling two (2) years of practice. Any such petition shall be accompanied by a written recommendation from Respondent's current employer that includes, among other things, the dates of employment and scope of responsibility during such employment.
- g. The Board may grant or deny any petition, in its discretion, or may modify this Order as it sees fit. Denial of a petition in whole or in part shall not be considered a denial of a license within the meaning of Wis. Stat. § 227.01(3)(a), and Respondent shall not have a right to any further hearings or proceedings on the denial
- 4. Within ninety (90) days from the date of this Order, Respondent Brent C. Rossman shall pay the COSTS of this matter in the amount of \$642.
- 5. All submissions, including payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264

<u>DSPSMonitoring@wisconsin.gov</u>

Submissions may also be made online via DSPS' Monitoring Case Management System at: https://dspsmonitoring.wi.gov/

6. In the event Respondent violates any term of this Order, Respondent's license (number 95058-94), or Respondent's right to renew his license, may, in the discretion of the

Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

7. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE EXAMINING BOARD

bv:	Thomas A. Ralie	8/18/2022	
•	A Member of the Board	Date	

STATE OF WISCONSIN BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

BRENT C. ROSSMAN, RESPONDENT.

ORDER 0008129

Division of Legal Services and Compliance Case No. 21 REB 109

Respondent Brent C. Rossman and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Real Estate Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is

not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. Respondent is further informed that should the Board adopt this Stipulation, the Board's Final Decision and Order will be reported as required by the National Practitioner Databank (NPDB) Guidebook and as otherwise required by any licensure compact or any other state or federal law.
- 9. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Brent	6/29/22
Brent C. Rossman, Respondent	Date
La Crosse, WI 54603	
Credential No. 95058-94	
MegaRock	07/05/2022
Megan Reed, Attorney	Date

Megan Reed, Attorney
Division of Legal Services and Compliance
P.O. Box 7190

Madison, WI 53707-7190