

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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The status of an appeal may be found on court access websites at:

<http://ccap.courts.state.wi.us/InternetCourtAccess> and <http://www.courts.state.wi.us/wscca>

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STATE OF WISCONSIN
BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY :
PROCEEDINGS AGAINST :
 : FINAL DECISION AND ORDER
CONNIE R. ROGGE AND :
NORTHERN EXPOSURE REAL ESTATE LLC, :
RESPONDENTS. :

ORDER 0007816

Division of Legal Services and Compliance Case No. 20 REB 005

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Connie R. Rogge
Mauston, WI 53948

Northern Exposure Real Estate LLC
Mauston, WI 53948

Wisconsin Real Estate Examining Board
P.O. Box 8366
Madison, WI 53708-8366

Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190
Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Real Estate Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Connie R. Rogge (Birth Year 1958) is licensed by the State of Wisconsin as a real estate broker, having license number 57843-90, first issued on September 11, 2014 and current through December 14, 2022. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in Mauston, Wisconsin 53948.

2. Respondent Northern Exposure Real Estate LLC is licensed by the State of Wisconsin as a real estate business entity, having license number 937610-91, first issued on September 24, 2014 and current through December 14, 2022. Respondent's most recent address on file with the Department is in Mauston, Wisconsin 53948.

3. Respondent Connie R. Rogge is identified in Department records as the responsible licensee in charge of Respondent Northern Exposure Real Estate LLC.
4. On December 15, 2018, Respondent Northern Exposure Real Estate LLC allowed its credential to expire.
5. On January 27, 2020, the Department received a complaint alleging that Respondents acted improperly during a real estate transaction. The Division of Legal Services and Compliance (DLSC) subsequently opened Case Number 20 REB 005 for investigation.
6. On April 27, 2020, the Department emailed Respondents at their email address of record to request a response to the complaint and an explanation for Respondent Northern Exposure Real Estate LLC's expired credential. No response was received.
7. On May 20, 2020, the Department mailed a letter to Respondents at their address of record to request a response to the complaint and an explanation for Respondent Northern Exposure Real Estate LLC's expired credential. Respondents were granted an extension of time to provide their response.
8. On June 22, 2020, Respondents' attorney responded to the Department. Respondents stated that Respondent Northern Exposure Real Estate LLC's credential expired due to an administrative oversight.
9. On August 12, 2020, the Department emailed Respondents' attorney to request further information and to request that Respondent Northern Exposure Real Estate LLC renew its credential. Respondent's attorney called the Department on September 4, 2020, to discuss the case. The Department gave Respondent's attorney instructions on how to renew Respondent Northern Exposure Real Estate LLC's license.
10. On October 5, 2020, Respondent Northern Exposure Real Estate LLC renewed its credential.
11. A review of Department records found that Respondent Northern Exposure Real Estate LLC's credential was expired from December 15, 2018 to October 4, 2020. Respondents supplied that at least 59 real estate transactions were performed during that time.
12. In resolution of this matter, Respondents consent to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Wisconsin Real Estate Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 452.14, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
2. By the conduct described in the Findings of Fact, Respondent Connie R. Rogge violated Wis. Stat. § 452.12(5)(bm)2. by engaging in the activities covered by the firm's license on behalf of the firm while the license was expired.

3. By the conduct described in the Findings of Fact, Respondent Northern Exposure Real Estate LLC violated Wis. Stat. § 452.12(5)(bm)1. by engaging in activities covered by the firm license while the license was expired.

4. As a result of the above violations, Respondents are subject to discipline pursuant to Wis. Stat. § 452.14(3)(L).

ORDER

1. The attached Stipulation is accepted.

2. Respondent Connie R. Rogge is REPRIMANDED.

3. Respondent Northern Exposure Real Estate LLC is REPRIMANDED.

4. Within ninety (90) days from the date of this Order, Respondent Connie R. Rogge shall pay one-half of the COSTS of this matter in the amount of \$522.

5. Within ninety (90) days from the date of this Order, Respondent Northern Exposure Real Estate LLC shall pay one-half of the COSTS of this matter in the amount of \$522.

6. Payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondents to the Department Monitor at the address below:

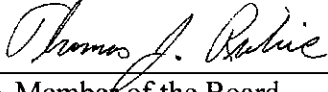
Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

You may also submit this information online via DSPS' Monitoring Case Management System at: <https://dpsmonitoring.wi.gov/>

7. In the event Respondents violate any term of this Order, Respondents' credentials (numbers 57843-90 and 937610-91), or Respondents' right to renew their credentials, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondents have complied with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

8. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE EXAMINING BOARD

by: 
A Member of the Board

3 February 2022
Date

STATE OF WISCONSIN
BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

CONNIE R. ROGGE AND
NORTHERN EXPOSURE REAL ESTATE LLC,
RESPONDENTS.

STIPULATION

ORDER 00078 1

Division of Legal Services and Compliance Case No. 20 REB 005

Respondents Connie R. Rogge and Northern Exposure Real Estate LLC and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:


1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondents consent to the resolution of this investigation by Stipulation.
2. Respondents understand that by signing this Stipulation, Respondents voluntarily and knowingly waive the following rights:
 - the right to a hearing on the allegations against Respondents, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondents;
 - the right to call witnesses on Respondents' behalf and to compel their attendance by subpoena;
 - the right to testify on Respondents' own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondents under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
3. Respondents are aware of Respondents' right to seek legal representation and have been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondents are represented by attorney Andrea Von Hoff.
4. Respondents agree to the adoption of the attached Final Decision and Order by the Wisconsin Real Estate Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondents waive all rights to any appeal of the Board's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondents, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondents are informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.


8. The Division of Legal Services and Compliance joins Respondents in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Authentisign

01/17/2022 1:06:49 PM CST

Connie R. Rogge, Respondent
Mauston, WI 53948
Credential No. 57843-90

01/17/2022

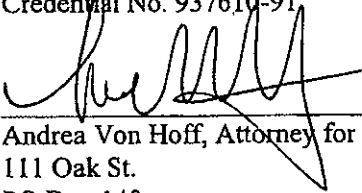
Date

Authentisign

01/17/2022 1:06:51 PM CST

Northern Exposure Real Estate LLC, Respondent
By: Connie R. Rogge, Responsible Licensee
Mauston, WI 53948
Credential No. 937610-91

01/17/2022


Date



Andrea Von Hoff, Attorney for Respondents
111 Oak St.
PO Box 140
Mauston, WI 53948-0140

1/17/2022

Date



Renee M. Parton, Attorney
Division of Legal Services and Compliance
P.O. Box 7190
Madison, WI 53707-7190

1/18/2022

Date