## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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DARRY WORTHAM, RESPONDENT.	:	<b>ORDER 00078 1</b> 5
	:	FINAL DECISION AND ORDER
PROCEEDINGS AGAINST	:	
IN THE MATTER OF DISCIPLINARY	:	

Division of Legal Services and Compliance Case No. 20 REB 116

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Darry Wortham Milwaukee, WI 53209

Wisconsin Real Estate Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Real Estate Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

## FINDINGS OF FACT

1. Respondent Darry Wortham (Birth Year 1985) is licensed by the State of Wisconsin as a real estate salesperson, having license number 82043-94, first issued on May 4, 2016, and current through December 14, 2022. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is located in Milwaukee, Wisconsin.

2. On December 3, 2020, the Department received a complaint from a seller alleging that Respondent had promised to give the complainant \$1,000 at closing, but he had not done so. The Division of Legal Services (DLSC) subsequently opened Case Number 20 REB 116 for investigation.

3. In August and September 2020, Respondent represented a seller in a real estate transaction.

4. Before closing on the property, the seller expressed concerns to Respondent that because of a mortgage on the property, the seller would not make enough money from the transaction.

5. Respondent verbally agreed to reduce his commission by \$1,000 to assist the seller. He agreed to give the seller that money at closing.

6. At closing, the seller realized Respondent was still receiving the full commission.

7. Respondent told the seller he would transfer the money electronically, but he did not.

8. On January 21, 2021, Respondent gave the seller a cashier's check for the \$1,000.

9. On July 19, 2021, Respondent admitted to the Department that he had failed to put his agreement to reduce the commission into writing.

10. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

## CONCLUSIONS OF LAW

1. The Wisconsin Real Estate Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 452.14 and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § REEB 24.08 by failing to put in writing all listing contracts, guaranteed sales agreements, buyer agency agreements, offers to purchase, property management agreements, option contracts, financial obligations and any other commitments regarding transactions, expressing the exact agreement of the parties unless the writing is completed by the parties or their attorneys or the writing is outside the scope of the licensee's authority under ch. REEB 16.

3. As a result of the above violation, Respondent is subject to discipline pursuant to Wis. Stat. 452.14(3)(L).

## <u>ORDER</u>

1. The attached Stipulation is accepted.

2. Respondent Darry Wortham is REPRIMANDED.

3. Within 90 days from the date of this Order, Respondent Darry Wortham shall pay the COSTS of this matter in the amount of \$802.

4. Payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 266-2112; Fax (608) 266-2264 DSPSMonitoring@wisconsin.gov

Payment of costs may also be submitted online via DSPS' Monitoring Case Management System at: https://app.wi.gov/DSPSMonitoring.

5. In the event Respondent violates any term of this Order, Respondent's license (no. 82043-94), or Respondent's right to renew his license, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

6. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE EXAMINING BOARD

Thomas J. Ratie

A Member of the Board

by:

3 February 2022 Date

# STATE OF WISCONSIN BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST	:	STIPULATION
DARRY WORTHAM, RESPONDENT.	:	ORDER 0007815

### Division of Legal Services and Compliance Case No. 20 REB 116

Respondent Darry Wortham and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Real Estate Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

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Darry Wortham, Respondent Milwaukee, WI 53209 Credential No. 82043-94

Megan Reed, Attorney Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190

Date

01/03/2022 Date