

## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN  
BEFORE THE OCCUPATIONAL THERAPISTS AFFILIATED CREDENTIALING BOARD

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IN THE MATTER OF APPLICATION FOR  
RENEWAL OF AN OCCUPATIONAL  
THERAPIST CREDENTIAL

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ORDER GRANTING  
LIMITED LICENSE

MARIE E. LORENZ,  
APPLICANT.

**ORDER 0007809**

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The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Marie E. Lorenz  
McFarland WI 53558

Wisconsin Occupational Therapists Affiliated Credentialing Board  
Department of Safety and Professional Services  
4822 Madison Yards Way  
P.O. Box 8935  
Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the resolution of this renewal application. The Wisconsin Occupational Therapists Affiliated Credentialing Board (Board) adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

1. Marie E. Lorenz (Applicant) was initially granted Applicant's Wisconsin Occupational Therapist credential (#5851-26) on March 8, 2016.
2. Applicant resides in Wisconsin.
3. On or about May 5, 2021, Applicant filed an application to renew her Wisconsin Occupational Therapist credential (#5751-26).
4. Applicant disclosed the following:
  - A. On or about July 17, 2020 – Operating While Intoxicated 1<sup>st</sup>, an ordinance violation.
    - i. Applicant states that after a night of drinking, Applicant made the poor decision to drive to work (at a non-Occupational Therapy position) after

very little sleep and after miscalculating her impairment level. Applicant's employer thought something was off. After leaving work, Applicant states she was pulled over after she was in a single car accident in slippery conditions. Applicant states she failed the Standard Field Sobriety Test (SFST), was unable to provide a sufficient breath sample, and was considered a refusal. Applicant admitted to feeling impaired and confused by some of the questions. Applicant paid a fine, her driver's license was revoked for six (6) months, she had an Ignition Interlock Device (IID) in her car, completed an Alcohol and Other Drug Abuse (AODA) assessment, and continues to engage in substance abuse counseling.

5. Applicant submitted a copy of her Order for Assessment and Driver Safety Plan which contained an assessment finding of Alcohol Dependency.

- A. Applicant's Driver Safety Plan Order identified physiological, behavioral, and psychological and attitudinal symptoms including job loss/financial difficulties, hidden use, morning use, inappropriate use, blackouts, relief use, and concerns of both others and self.
- B. Applicant was required to complete outpatient treatment including relapse prevention skills for eight (8) to twelve (12) sessions, and to follow the recommendations of her AODA counseling treater.

6. Applicant provided a detailed current use statement noting:

- A. Applicant has maintained periods of sobriety since her OWI, but that she has relapsed, that she is engaged in additional AODA treatment in a residential treatment facility, and reports her sobriety date is November 4, 2021.
- B. Applicant states she does have a sponsor, has a support system, sees her therapist regularly, and attends support meetings.

7. Applicant's current AODA treater provided a letter dated November 11, 2021, which provided the following information:

- A. Applicant's current diagnoses include Alcohol Use Disorder, Severe.
- B. Applicant's prognosis appears good with active regular involvement in therapy and support groups.
- C. Random AODA UDS testing and or breathalyzers might be helpful.
- D. Periodic supervisory reports can help with monitoring for success.

- E. Maintain complete abstinence and attend group and individual sessions as scheduled.

8. In resolution of this matter, Applicant consents to the entry of the following Conclusions of Law and Order.

#### CONCLUSIONS OF LAW

1. The Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 448.963 and is authorized to enter the attached Stipulation pursuant to Wis. Stat. §§ 15.08(5)(c) and 227.44(5).

2. Pursuant to Wis. Stat. § 448.968(2)(f), the Board may deny or limit the renewal of a license if Applicant engaged in unprofessional or unethical conduct in violation of the code of ethics established in the rules promulgated under Wis. Stat. § 448.965(1)(c).

3. Pursuant to Wis. Admin. Code § OT 5.02(7), unprofessional conduct includes any practice or conduct which may constitute a danger to the health, welfare, or safety of client or public.

4. By the conduct described in the Findings of Fact, Applicant violated Wis. Stat. § 448.965(1)(c) and Wis. Admin. Code § OT 5.02(7), by engaging in unprofessional or unethical conduct in violation of the code of ethics established in the rules which may constitute a danger to the health, welfare, or safety of client or public.

5. Pursuant to Wis. Stat. § 440.08(4), the Board may deny the Applicant's application for renewal of Applicant's Occupational Therapist credential to protect the public health, safety, or welfare.

6. By the conduct described in the Findings of Fact, limitations on Applicant's license are necessary to protect the public health, safety, or welfare, pursuant to Wis. Stat. § 440.08(4).

#### ORDER

1. The attached Stipulation is accepted.

2. Limitations upon Applicant's Occupational Therapist credential are necessary to ensure that Applicant is fit and competent to practice as an Occupational Therapist.

3. Applicant's ability to practice as an Occupational Therapist in the state of Wisconsin is LIMITED as follows:

- A. For a period of at least two (2) years from the date of this Order Applicant shall comply with the following requirement relating to drug and alcohol monitoring:

- i. Within thirty (30) days of the date of this Order, Applicant shall enroll and participate in a drug and alcohol monitoring program which is approved by the Department (Approved Program).
- ii. At the time Applicant enrolls in the Approved Program, Applicant shall review the rules and procedures made available by the Approved Program. Failure to comply with all requirements for participation in drug and alcohol monitoring established by the Approved Program is a substantial violation of this Order. The requirements shall include:
  1. Contact with the Approved Program as directed on a daily basis, including vacations, weekends and holidays.
  2. Production of a urine, blood, sweat, fingernail, hair, saliva or other specimen at a collection site designated by the Approved Program within five (5) hours of notification of a test.
  3. The Approved Program shall require the testing of specimens at a frequency of not less than forty-nine (49) times per year, (one (1) of which may be a hair test at the Board's discretion) for at least the first year of this Order. Thereafter, the Board may adjust the frequency of testing on its own initiative at any time.
- iii. Applicant shall abstain from all personal use of alcohol.
- iv. Applicant shall abstain from all personal use of controlled substances as defined in Wis. Stat. § 961.01(4), except when prescribed, dispensed or administered by a practitioner for a legitimate medical condition. Applicant shall disclose Applicant's drug and alcohol history and the existence and nature of this Order to the practitioner prior to the practitioner ordering the controlled substance. Applicant shall at the time the controlled substance is ordered immediately sign a release in compliance with state and federal laws authorizing the practitioner to discuss Applicant's treatment with, and provide copies of treatment records to, the Board or its designee. Copies of these releases shall immediately be filed with the Department of Safety and Professional Services Monitor (Department Monitor).
- v. Applicant shall report to the Department Monitor all prescription medications and drugs taken by Applicant. Reports must be received within twenty-four (24) hours of ingestion or administration of the medication or drug, and shall identify the

person or persons who prescribed, dispensed, administered or ordered said medications or drugs. Each time the prescription is filled or refilled, Applicant shall immediately arrange for the prescriber or pharmacy to fax and mail copies of all prescriptions to the Department Monitor.

- vi. Applicant shall provide the Department Monitor with a list of over-the-counter medications and drugs that he may take from time to time. Over-the-counter medications and drugs that mask the consumption of controlled substances or of alcohol, create false positive screening results, or interfere with Applicant's treatment and rehabilitation, shall not be taken unless ordered by a physician, in which case the drug must be reported as described in the paragraph 3.A.v.
  - vii. All positive test results are presumed valid and may result in automatic suspension of licensure by the Board or the Board's designee. Applicant must prove by a preponderance of the evidence an error in collection, testing, fault in the chain of custody or other valid defense.
  - viii. If any urine, blood, sweat, fingernail, hair, saliva or other specimen is positive or suspected positive for any controlled substances or alcohol, Applicant shall promptly submit to additional tests or examinations as the Board or its designee shall determine to be appropriate to clarify or confirm the positive or suspected positive test results.
- B. For a period of at least two (2) years from the date of this Order Applicant shall comply with the following Alcohol and Other Drug Abuse (AODA) support group and counseling:
- i. Within thirty (30) days of the date of this Order, Applicant shall provide proof to the Department Monitor that Applicant is continuing AODA counseling with an AODA counseling provider (Treater), whose credential is in good standing, and approved by the Board. Applicant shall participate in, cooperate with, and follow all treatment recommended by Treater.
  - ii. Applicant shall immediately provide Treater with a copy of this Order and all other subsequent orders.
  - iii. Applicant shall provide and keep on file with Treater, all treatment facilities and personnel, laboratories and collections sites current releases complying with state and federal laws. The releases shall

allow the Board, its designee, and any employee of the Department to:

1. obtain all urine, blood and hair specimen screen results and patient health care and treatment records and reports, and
  2. discuss the progress of Applicant's treatment and rehabilitation. Copies of these releases shall immediately be filed with the Department Monitor.
- iv. Applicant's treatment shall include individual and/or group therapy sessions at a frequency to be determined by Treater, but not less than once a month. Therapy may end only upon a determination by the Board or its designee after receiving a petition for modification, including a recommendation from Treater expressly approving termination of therapy.
  - v. Treater shall immediately report any relapse, violation of any of the terms and conditions of this Order, and any suspected unprofessional conduct, to the Department Monitor. If Treater is unable or unwilling to serve as Treater, Applicant shall immediately seek approval of a successor Treater by the Board or its designee.
  - vi. Treater shall submit formal written reports to the Department Monitor on a quarterly basis, as directed by the Department Monitor. These reports shall assess Applicant's progress in the mental health treatment sessions, abstinence from all substances, and drug and alcohol testing. Treater shall report immediately to the Department Monitor any violation or suspected violation of this Order.
  - vii. Applicant shall attend Alcoholics Anonymous meetings, Narcotics Anonymous meetings, or other Board-approved equivalent program for recovering professionals, no less than twice per week. Applicant shall provide proof of attendance on a quarterly basis to the Department Monitor.
- C. For a period of at least two (2) years from the date of this Order, Applicant shall comply with the following practice limitations:
- i. Applicant shall provide Applicant's occupational therapy employer with a copy of this Order before engaging in, or continuing to engage in, any occupational therapy employment. Applicant shall provide the Department Monitor with written acknowledgment from each occupational therapy employer that a copy of this Order has been received. Such acknowledgment shall

be provided to the Department Monitor within fourteen (14) days of beginning new employment and/or within fourteen (14) days of the date of this Order for employment current as of the date of this Order.

- ii. Applicant shall practice only in a work setting pre-approved by the Board or its designee.
- iii. It is Applicant's responsibility to arrange for quarterly written reports to be submitted to the Department Monitor from Applicant's supervisor at each setting in which Applicant practiced occupational therapy in the previous quarter. These reports shall be submitted as directed by the Department Monitor, and shall assess Applicant's work performance, and shall include the number of hours of active occupational therapy practice worked during that quarter. If a report indicates poor performance, the Board may institute additional limitations on Applicant's Occupational Therapist credential, in its discretion.
- iv. Applicant may work as an Occupational Therapist or other health care provider in a setting in which Applicant has access to controlled substances. If Treater subsequently recommends restrictions on such access, the Board or its designee may impose such restrictions.
- v. Applicant shall report to the Board any change of employment status, residence, address or telephone number within five (5) days of the date of change.
- vi. Applicant shall commit no new violations of law and shall report all law enforcement contacts leading to arrest, charge or conviction, including DWI/OWI and municipal/ordinance violations, to the Department Monitor within forty-eight (48) hours of any such event, including any convictions resulting from pending charges.

4. Applicant may petition the Board on an annual basis for modification of the terms of this Order, however no such petition for modification shall occur earlier than one (1) year from the date of this Order. Any petition for modification shall be accompanied by a written recommendation from Applicant's Treater expressly supporting the specific modifications sought. Denial of a petition in whole or in part shall not be considered a denial of a credential within the meaning of Wis. Stat. § 227.01(3)(a), and Applicant shall not have a right to any further hearings or proceedings on the denial.

5. After two (2) consecutive years of successful compliance, including at least six hundred (600) hours of approved occupational therapy practice each year, the Applicant may



petition the Board for return of full licensure. The Board may grant or deny any petition, in its discretion, or may modify this Order as it sees fit.

6. Any requests, petitions, reports and other information required by this Order shall be mailed, e-mailed, faxed or delivered to:

Department Monitor  
Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190, Madison, WI 53707-7190  
Telephone (608) 267-3817; Fax (608) 266-2264  
[DSPSMonitoring@wisconsin.gov](mailto:DSPSMonitoring@wisconsin.gov)

You may also submit this information online via DSPS Monitoring Case management System, here: <https://dpsmonitoring.wi.gov>

7. In the event Applicant violates any term of this Order, Applicant's credential, or Applicant's right to renew her credential, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing. The Board or its designee may terminate the suspension if provided with sufficient information that Applicant is in compliance with the Order and that it is appropriate for the suspension to be terminated. Whether to terminate the suspension shall be wholly in the discretion of the Board or its designee. The Board may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

8. Applicant shall be responsible for all costs and expenses incurred in conjunction with the monitoring, screening, supervision, and any other expenses associated with compliance with the terms of this Order. Being dropped from a program for non-payment is a violation of this Order.

9. This Order is effective on the date of its signing.

#### WISCONSIN OCCUPATIONAL THERAPISTS AFFILIATED CREDENTIALING BOARD

By: Laura O'Brien  
A Member of the Board

02/02/2022  
Date

STATE OF WISCONSIN  
BEFORE THE OCCUPATIONAL THERAPISTS AFFILIATED CREDENTIALING BOARD

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IN THE MATTER OF APPLICATION FOR  
RENEWAL OF AN OCCUPATIONAL  
THERAPIST CREDENTIAL

MARIE E. LORENZ,  
APPLICANT.

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STIPULATION

**ORDER 0007809**

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It is stipulated between Applicant and the Wisconsin Occupational Therapists Affiliated Credentialing Board (Board) as follows:

1. Applicant filed an application to renew a Occupational Therapist credential.
2. Information received by the Board reflects a basis for denial of licensure.
3. Based upon the information of record, the Board agrees to issue, and Applicant agrees to accept, an Order granting a Occupational Therapist credential, subject to the terms and conditions set forth in the attached Order adopting the Stipulation.
4. Applicant understands that by signing this Stipulation, Applicant voluntarily and knowingly waives the following rights:
  - the right to request a hearing related to the denial of the licensure;
  - the right to confront and cross-examine the witnesses against Applicant;
  - the right to call witnesses on Applicant's behalf and to compel their attendance by subpoena;
  - the right to testify on Applicant's own behalf;
  - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
  - the right to petition for rehearing; and
  - all other applicable rights afforded to Applicant under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
5. Applicant is aware of Applicant's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
6. Applicant agrees to the adoption of the attached Order Granting Limited License by the Board. The parties to the Stipulation consent to the entry of the attached Order Granting Limited License without further notice, appearance, or consent of the parties.

7. Applicant waives all rights to any appeal of the Board's Order, as adopted in the form as attached.

8. Applicant is informed that the Order Granting Limited License is a public record and will be published in accordance with standard procedure.

Marie E. Lorenz

Marie E. Lorenz

McFarland WI 53558

Credential no. 5851-26

1/13/2022  
Date

Laura O'Brien

A Member of the Occupational Therapists

Affiliated Credentialing Board

Department of Safety and Professional Services

P.O. Box 8935

Madison, WI 53708-8935

02/02/2022  
Date