WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

SUSAN E. ROOD, L.P.C., L.P.C.-I.T., S.A.C.-I.T. : RESPONDENT. :

URDER 0007663

Division of Legal Services and Compliance Case No. 21 RSA 005

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Susan E. Rood, L.P.C., L.P.C.-I.T., S.A.C.-I.T. Wausau, WI 54401

Wisconsin Department of Safety and Professional Services P.O. Box 8366
Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Department of Safety and Professional Services (Department). The Department has reviewed this Stipulation and considers it acceptable.

Accordingly, the Department in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

1. Susan E. Rood, L.P.C., L.P.C.-I.T., S.A.C.-I.T. (Respondent), (Year of Birth 1969) is certified in the state of Wisconsin as a substance abuse counselor in-training, having certificate number 18669-130, first issued on September 24, 2018, and current through February 28, 2023. Respondent's most recent address on file with the Department is in Wausau, Wisconsin 54401.

¹ Respondent is also licensed in the state of Wisconsin as a professional counselor, having license number 8154-125, first issued on March 30, 2021, and current through February 28, 2023. Respondent is also licensed as a professional counselor in-training, having license number 4154-226, first issued on September 25, 2018, and current through September 25, 2022.

- 2. At all times relevant to this proceeding, Respondent was employed as a psychotherapist at a clinic in Wausau, Wisconsin.
- 3. On August 11, 2020, Client A, a minor, reported to Respondent that Client A had been sexually assaulted by Client A's former boyfriend 10 months earlier. At the time of the assault Client A was 15 years old. Client A reported to Respondent that Client A told Client A's mother about the assault.
- 4. Respondent did not report the sexual assault to authorities, as she felt it was an unreportable event due to Client A no longer being in a relationship with the assaulter, the mother's awareness, not wanting to further traumatize Client A, and being unaware that the assault was child abuse.
- 5. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Department has jurisdiction to act in this matter pursuant to Wis. Stat. § 440.88 and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. Per Wis. Stat. § 48.981(2)(a)20., alcohol or other drug abuse counselors are mandated reporters.
- 3. By the conduct described in the Findings of Fact, Respondent engaged in unprofessional conduct as defined by Wis. Admin. Code. § SPS 164.01(2)(b) by violating any law or rule substantially related to practice as a substance abuse professional.
- 4. By the conduct described in the Findings of Fact, Respondent engaged in unprofessional conduct as defined by Wis. Admin. Code. § SPS 164.01(2)(v) by engaging in a single act of gross negligence or in a pattern of negligence as a substance abuse professional.
- 5. As a result of the above violations, Respondent is subject to discipline pursuant to Wis. Stat. § 440.88(6).

<u>ORDER</u>

- 1. The attached Stipulation is accepted.
- 2. Respondent is REPRIMANDED.
- 3. The certificate to practice as a substance abuse counselor in the state of Wisconsin issued to Respondent (certificate number 18669-130) is LIMITED as follows:
 - a Within ninety (90) days of the date of this Order, Respondent shall successfully complete four (4) hours of education on the topic of mandated reporting offered by a provider pre-approved by the Section's monitoring liaison, including taking and passing any exam offered for the courses.

- b. Respondent shall submit proof of successful completion of the ordered education in the form of verification from the institution providing the education to the Department Monitor at the address stated below.
- c. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Department, and also may not be used in future attempts to upgrade a credential in Wisconsin.
- d. Education completed to fulfill the requirements of any Order that may be entered in 21 CPC 006 may be used to fulfill the educational requirements of this order.
- e. The Department's monitoring liaison may change the number of credit hours and/or education topics in response to a request from Respondent. The monitoring liaison may consider the topic availability and/or hours of education when determining if a change to the ordered education should occur.
- 4. This limitation shall be removed from Respondent's license after satisfying the Department or its designee that Respondent has successfully completed all the ordered education.
- 5. Within ninety (90) days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$298.00.
- 6. Any requests, petitions, payments of costs (made payable to Department of Safety and Professional Services), and other information required by this Order shall be submitted to:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 266-2112; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

Respondent may also submit this information online at: https://dspsmonitoring.wi.gov

7. In the event Respondent violates any term of this Order, Respondent's certificate (no. 18669-130) or Respondent's right to renew her certificate, may, in the discretion of the Department or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Department may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

8. This Order is effective on the date of its signing.

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

Ву:	Ol. Kohmeyn	10-29-2071
	Aloysius Rohmeyer, Chief Counsel	Date
	On Behalf of the Department	

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

SUSAN E. ROOD, L.P.C., L.P.C.-I.T., S.A.C.-I.T., : RESPONDENT. :

UKDER 0007663

Division of Legal Services and Compliance Case No. 21 RSA 005

Respondent Susan E. Rood, L.P.C., L.P.C.-I.T., S.A.C.-I.T. and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Department of Safety and Professional Services (Department). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Department's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Department, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the

Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Department, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Department ever assigned as an advisor in this investigation may appear before the Department in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Department may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Department should accept this Stipulation and issue the attached Final Decision and Order.
- Respondent is informed that should the Department adopt this Stipulation, the Department's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- The Division of Legal Services and Compliance joins Respondent in recommending the Department adopt this Stipulation and issue the attached Final Decision and Order.

Susan E. Rood, L.P.C., L.P.CI.T., S.A.CI.T., Respondent Wausau, WI 54401 Certificate No. 18669-130	P. 26 2021 Date
Nicholas Dalla Santa, Prosecuting Attorney	8/31/21 Date

Department of Safety and Professional Services Division of Legal Services and Compliance

P.O. Box 7190

Madison WI 53707-7190