

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



Wisconsin Department of Safety and Professional Services Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Safety and Professional Services website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Safety and Professional Services from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the Department of Safety and Professional Services data base. Because this data base changes constantly, the Department is not responsible for subsequent entries that update, correct or delete data. The Department is not responsible for notifying prior requesters of updates, modifications, corrections or deletions. All users have the responsibility to determine whether information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Safety and Professional Services, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. *All requests must cite the case number, the date of the order, and respondent's name* as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the appeal. Information about the current status of a credential issued by the Department of Safety and Professional Services is shown on the Department's Web Site under "License Lookup."

The status of an appeal may be found on court access websites at:

<http://ccap.courts.state.wi.us/InternetCourtAccess> and <http://www.courts.state.wi.us/wscca>

- Records not open to public inspection by statute are not contained on this website.

By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.

Correcting information on the DSPS website: An individual who believes that information on the website is inaccurate may contact DSPS@wisconsin.gov

STATE OF WISCONSIN
BEFORE THE ACCOUNTING EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

BRIAN R. LEEK,
RESPONDENT.

:
:
:
: FINAL DECISION AND ORDER
:
:

ORDER 0006965

Division of Legal Services and Compliance Case No. 19 ACC 013

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Brian R. Leek
Stoughton, WI 53589

Wisconsin Accounting Examining Board
P.O. Box 8366
Madison, WI 53708-8366

Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190
Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Accounting Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Brian R. Leek (Birth Year 1971), is certified and licensed by the State of Wisconsin as a certified public accountant, having certification and license number 16604-1, first issued on May 8, 1998 and current through on December 14, 2021. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in Stoughton, Wisconsin 53589.

2. On July 16, 2019, Respondent was convicted of OWI (4th), a felony, in Dane County Circuit Court (Case No. 2019CF000202).

3. Respondent was ordered to pay a forfeiture of \$2,327, sentenced to seven months in jail with Huber release, had his driver's license revoked for 32 months, was required to have an

ignition interlock device on his car for 32 months after his license was reinstated, and ordered to have an alcohol assessment.

4. On October 4, 2019, Respondent reported his conviction to the Department. The Division of Legal Services and Compliance (DLSC) subsequently opened DLSC Case No. 19 ACC 013 for investigation.

5. During the investigation of DLSC Case No. 19 ACC 013, the Department discovered that Respondent had two previous criminal convictions in Dane County Circuit Court (Case Nos. 2009CT004165 and 2012CM000841) that had not been reported to the Department.

6. In Dane County Circuit Court Case No. 2009CT004165, on April 10, 2010, Respondent was convicted of misdemeanor OWI (3rd). Respondent's previous OWI convictions were on December 12, 1999, and September 14, 2005. Respondent was ordered to pay a forfeiture of \$1,483, sentenced to 45 days in Jail with Huber release, had his driver's license revoked for 27 months, required to have an interlock ignition device from June 11, 2011 until the end of his driver's license revocation, and ordered to have an alcohol assessment.

7. In Dane County Circuit Court Case No. 2012CM000841, on July 17, 2012, Respondent was convicted of misdemeanor Lewd, Lascivious Behavior – Exposure. Respondent was ordered to pay court costs, a \$400 forfeiture and was recommended for AODA and treatment. Respondent was sentenced to 6 months in jail with Huber release, but this sentence was stayed and imposed. Respondent was also sentenced to 1 year of probation; this sentence was imposed.

8. Respondent did not report his convictions to the Department for Dane County Circuit Court Case Nos. 2012CM000841 and 2009CT004165.

9. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Wisconsin Accounting Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 442.12, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent Brian R. Leek violated Wis. Admin. Code § Accy 1.401(1) by committing acts discreditable to the profession pursuant to:

a. Wis. Admin. Code § Accy 1.401(2)(e)1. by being convicted of a felony and misdemeanors, the circumstances of which substantially relate to the practice of accounting is an act discreditable to the profession in violation of his section, and

b. Wis. Admin. Code § Accy 1.401(2)(f) by failing to notify the Board in writing within 48 hours of convictions is an act discreditable to the profession in violation of his section.

3. As a result of the above violation, Respondent Brian R. Leek is subject to discipline pursuant to Wis. Stat. § 442.12(1)(b).

ORDER

1. The attached Stipulation is accepted.
2. Respondent Brian R. Leek is REPRIMANDED.
3. Respondent's certified public accountant certificate and license (number 16604-1) is LIMITED as follows:

a. Respondent shall complete the terms of his sentence for his felony OWI (4th) conviction.

b. Respondent shall report any police contacts to the Department within 48 hours of contact.

c. Respondent may petition the Board for termination of this limitation after completion of two years of practice in compliance with all terms and conditions of this Order.

4. Within ninety (90) days from the date of this Order, Respondent shall pay costs of this matter in the amount of \$1,173.

5. Any report, petition to the Board, and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

You may also submit this information online via DSPS' Monitoring Case Management System at: <https://dspsmonitoring.wi.gov/>

6. In the event Respondent violates any term of this Order, Respondent's credential (number 16604-1), or Respondent's right to renew his credential, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

7. This Order is effective on the date of its signing.

WISCONSIN ACCOUNTING EXAMINING BOARD

by: Michael A. Dvor
A Member of the Board

9/2/2020
Date

STATE OF WISCONSIN
BEFORE THE ACCOUNTING EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

BRIAN R. LEEK,
RESPONDENT.

:
:
:
:
:

STIPULATION

ORDER 0006965

Division of Legal Services and Compliance Case No. 19 ACC 013

Respondent Brian R. Leek and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:


1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
4. Respondent agrees to the adoption of the attached Final Decision and Order by the Accounting Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is

not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.



Brian R. Leek, Respondent
Stoughton, WI 53589
Credential No. 16604-1

06/16/2020

Date

Renee M. Parton

Renee M. Parton, Attorney
Division of Legal Services and Compliance
P.O. Box 7190
Madison, WI 53707-7190

06/17/2020

Date