

## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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The status of an appeal may be found on court access websites at:

<http://ccap.courts.state.wi.us/InternetCourtAccess> and <http://www.courts.state.wi.us/wscga>

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STATE OF WISCONSIN  
BEFORE THE DENTISTRY EXAMINING BOARD

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IN THE MATTER OF DISCIPLINARY  
PROCEEDINGS AGAINST

CAROLYN A. GRIFFIN, D.D.S.,  
RESPONDENT.

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FINAL DECISION AND ORDER

**ORDER 0006872**

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Division of Legal Services and Compliance Case No. 18 DEN 058

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Carolyn A. Griffin, D.D.S.  
Shorewood, WI 53211

Wisconsin Dentistry Examining Board  
P.O. Box 8366  
Madison, WI 53708-8366

Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190  
Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Dentistry Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

1. Respondent Carolyn A. Griffin, D.D.S., (DOB xx/xx/1985) is licensed in the state of Wisconsin to practice dentistry, having license number 7054-15, first issued on May 23, 2013, and current through September 30, 2021. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is located in Shorewood, Wisconsin.

2. At all times relevant to this proceeding, Respondent was employed as a dentist at a dental clinic (Clinic), located in Waukesha, Wisconsin.

3. The Department opened an investigation based on a complaint regarding the care provided by Respondent to a patient.

4. The Department concluded there were no violations of the standard of care as it relates to the care provided to the patient by Respondent.

5. During the course of the investigation, Respondent was asked to provide proof of continuing education (CE) completed during the 2015-2017 biennium.

6. Respondent provided proof of 24.5 credit hours of CE completed during the 2015-2017 biennium.

7. Respondent was unable to provide proof of any additional CE completed during the 2015-2017 biennium.

8. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

#### CONCLUSIONS OF LAW

1. The Wisconsin Dentistry Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 447.07, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § DE 13.03(10), by failing to maintain a written record of the continuing education hours for not less than six (6) years after the completion of each credit.

3. By the conduct described in the Findings of Fact, Respondent violated Wis. Stat. § 447.056(5), by failing to keep a written record detailing each continuing education credit and maintaining that record for not less than six (6) years after the completion of each credit.

4. By the conduct described in the Findings of Fact, Respondent engaged in unprofessional conduct as defined by Wis. Admin. Code § DE 5.02(16), by violating any provision of ch. 447, Stats., or any valid rule of the board.

5. As a result of the above violations, Respondent is subject to discipline pursuant to Wis. Stat. § 447.07(3)(f).

#### ORDER

1. The attached Stipulation is accepted.

2. Respondent is REPRIMANDED.

3. The license to practice dentistry issued to Respondent (license number 7054-15) is LIMITED as follows:

- a. Within ninety (180) days of the date of this Order, Respondent shall successfully complete five and one half (5.5) hours of continuing education related to the practice of dentistry or the practice of medicine to fulfill her continuing education requirement for the 2015-2017 biennium.
- b. All education completed pursuant to this Order shall be taken in-person. Education, such as webinar, online, or self-study shall not be approved.
- c. Respondent shall submit proof of successful completion of the ordered education in the form of verification from the institution providing the education to the Department Monitor at the address stated below.
- d. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Board or Department, and also may not be used in future attempts to upgrade a credential in Wisconsin.
- e. The Board's monitoring liaison may change the number of credit hours in response to a request from Respondent. The monitoring liaison may consider the hours of education when determining if a change to the ordered education should occur.
- f. This limitation shall be removed from Respondent's license after satisfying the Board or its designee that Respondent has successfully completed all of the ordered education.

4. Within ninety (180) days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$450.00.

5. Request for approval of courses, proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor  
Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190, Madison, WI 53707-7190  
Telephone (608) 267-3817; Fax (608) 266-2264  
[DSPSMonitoring@wisconsin.gov](mailto:DSPSMonitoring@wisconsin.gov)

You may also submit this information online via DSPS' Monitoring Case Management System, here:

<https://dpsmonitoring.wi.gov>

6. In the event that Respondent violates any term of this Order, Respondent's license (no. 7054-15) to practice dentistry in the state of Wisconsin may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

7. This Order is effective on the date of its signing.

WISCONSIN DENTISTRY EXAMINING BOARD

by: Matthew Bistanczka  
A Member of the Board

7/1/2020  
Date

STATE OF WISCONSIN  
BEFORE THE DENTISTRY EXAMINING BOARD

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IN THE MATTER OF DISCIPLINARY  
PROCEEDINGS AGAINST

CAROLYN A. GRIFFIN, D.D.S.,  
RESPONDENT.

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STIPULATION

**ORDER 0006872**

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Division of Legal Services and Compliance Case No. 18 DEN 058

Respondent Carolyn A. Griffin, D.D.S., and the Division of Legal Services and Compliance, Department of Safety and Professional Services, stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Attorney Ellison Hitt.

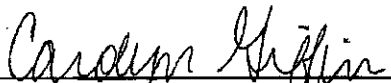
4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Dentistry Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

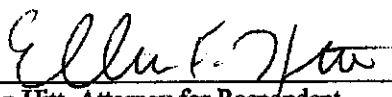
6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent or Respondent's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.


8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

  
Carolyn Griffin, D.D.S., Respondent  
Shorewood, WI 53211  
License no. 7054-15

6/10/2020  
Date

  
Ellison Hitt, Attorney for Respondent  
Siesennop & Sullivan  
111 W Pleasant Street, Suite 110  
Milwaukee, WI 53212

6/25/20  
Date

  
Carley J. Peich Kiesling, Attorney  
Department of Safety and Professional Services  
Division of Legal Services and Compliance  
P.O. Box 7190  
Madison, WI 53707-7190

06/25/2020  
Date