

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN
BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF A RENEWAL OF A
REAL ESTATE BROKER LICENSE

URI FRIED,
APPLICANT.

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**ORDER GRANTING
LIMITED LICENSE
NUMBER 0006813**

The parties to this action for the purposes of Wis. Stat. § 227.53 are:

URI FRIED
WAUNAKEE, WISCONSIN

REAL ESTATE EXAMINING BOARD
P.O. BOX 7190
MADISON, WI 53707-7190

FINDINGS OF FACT

1. URI FRIED (Applicant) has filed an application for renewal of his Wisconsin Real Estate Broker license (no. 57582-90). Such license, first issued on May 6, 2014, was scheduled for renewal by December 14, 2014.

2. Applicant's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is located in Waunakee, Wisconsin 53597.

3. Information received in the application process reflects that the Applicant has the following conviction and discipline on his record:

- A. On or about August 17, 2015, Applicant was convicted in United States District Court for the Western District of Wisconsin case number 15CR048, of one (1) count of Filing False Tax Return, a class E felony, in violation of 26 U.S.C. § 7206(1).
- B. On or about October 20, 2016, in Department's Order #4979, the Board accepted Applicant's stipulated surrender of his right to renew Applicant's real estate broker's license until August 5, 2019, based on the Applicant's conviction for Filing False Tax Return.

4. On or about February 12, 2020, Applicant was mailed a letter providing him with an opportunity to provide the Department with evidence of his rehabilitation and fitness to engage in the practice of a Real Estate Broker, pursuant to Wis. Stat. § 111.335(4)(c). Applicant did not submit any documentation.

CONCLUSIONS OF LAW

1. The Wisconsin Real Estate Examining Board has jurisdiction over this matter pursuant to Wis. Stat. §§ 452.14(3) and 440.08(4)(a).

2. Pursuant to Wis. Stat. § 452.14(3)(p), the Real Estate Examining “[B]oard may revoke, suspend, or limit the license of any licensee,... if it finds that the licensee has done any of the following:...(p) Subject to ss. 111.321, 111.322, and 111.335, been convicted of an offense the circumstances of which substantially relate to real estate practice.” A substantial relationship occurs where “the tendencies and inclinations to behave a certain way in a particular context are likely to reappear later in a related context, based on the traits revealed.” *County of Milwaukee v. Labor & Industry Review Comm’n*, 139 Wis. 2d 805, 824 (1987). The crux of the inquiry is the circumstances which foster criminal activity, e.g., the opportunity for criminal behavior, the reaction to responsibility, or the character traits of the person. *Id.* Your conviction history calls into question your current competency to transact the business of real estate sales in a manner that protects the public.

3. The facts and circumstances surrounding the above-referenced conviction record and prior discipline substantially relate to the practice of a Real Estate Broker. Applicant has been convicted in federal court, for Filing a False Tax Return. Applicant, for the calendar year 2009, reported a total income of \$134,581 to the IRS, when Applicant knew and believed that he had a total income from his business that was in excess of \$1,200,000. Applicant was sentenced to a term of twelve (12) months and one (1) day in federal prison and ordered to pay \$100 in criminal assessment penalty and \$10,000 in fines. Applicant’s sentence was officially satisfied on August 5, 2016. On September 12, 2016, Applicant signed a stipulation in DSPS case number 15 REB 090. On October 20, 2016, the Board signed DSPS Order #4979 accepting the voluntary surrender of Applicant’s right to renew his real estate broker license until August 5, 2019. The stipulated Order stated that on April 9, 2015, Applicant pled guilty, in federal court, to Filing a False Tax Return and that Applicant wished to resolve case number 15 REB 090 pursuant to a voluntary surrender of his right to renew his license for a period of three (3) years from your release date of August 5, 2016 Applicant’s conviction and violation substantially relate to the practice of a real estate broker because the business of real estate involves the ability to interact with clients, other professionals, and the public in an appropriate and safe manner. At this time, Applicant has failed to show competent evidence of sufficient rehabilitation and fitness to practice as a real estate broker without limitations, particularly in light of the pattern of behavior and convictions as described above.

4. Limitations upon Applicant’s license are necessary to protect the public health, safety or welfare, pursuant to Wis. Stat. § 440.08(4).

5. Applicant, by his conduct, is subject to limitations against his license, pursuant to Wis. Stat. §§ 111.335(3)(a)1., 452.03(1), and 440.08(4).

ORDER

NOW, THEREFORE, IT IS ORDERED that URI FRIED is GRANTED A REAL ESTATE BROKER LICENSE subject to the following LIMITATIONS, TERMS, AND CONDITIONS:

Practice Limitations

- A.1. Applicant shall, at all times, practice as a Real Estate Broker under the supervision of a Wisconsin licensed Real Estate Broker approved by the Board. Approval shall be obtained through correspondence with the Department of Safety and Professional Services Monitor (Department Monitor).
- A.2. Applicant shall notify his broker-supervisor(s)/employer(s) of his history of arrests and convictions prior to employment. Applicant shall show a copy of this Order to his current and any future employer. Applicant shall provide the Department Monitor with written acknowledgement from each employer that a copy of this Order has been received. Such acknowledgement shall be provided to the Department Monitoring within fourteen (14) days of beginning new employment and/or within fourteen (14) days of the date of this Order for employment current as of the date of this Order.
- A.3. Applicant shall commit no new violations of law and shall report all law enforcement contacts leading to arrest, charge or conviction, including DWI/OWI and municipal/ordinance violations, to the Department Monitor within forty-eight (48) hours of any such event, including any convictions resulting from pending charges.

Reporting Requirements

- A.4. Applicant shall file quarterly reports with the Board at the direction of the Department Monitor commencing ninety (90) days after Applicant commences employment under this Order. Each report shall include the following:
 - i. The name, address and telephone number of Applicant, and name, address and telephone number of his employer;
 - ii. A statement from the Applicant as to whether he has had any law enforcement contacts leading to arrest, charge or conviction (including DWI/OWI and municipal/ordinance violations) during the term of the Order.
 - iii. Applicant shall report to the Department Monitor any change of employment status, residence, address or telephone number within five (5) days of the date of a change.
- A.5. Applicant shall arrange for written reports from his broker-supervisor(s)/employer(s) to be provided to Department Monitor on a quarterly basis, as directed by Department Monitor. These reports shall assess Applicant's work performance and describe the circumstances of his employment, including the nature and extent of the Applicant's sales activities and whether he has practiced in compliance with all laws governing the practice of real estate as a broker.
- A.6. Applicant is responsible for compliance with all of the terms and conditions of this Order, including the timely submission of reports by others. Applicant shall promptly notify Department Monitor of any suspected violations of any of the terms and conditions of this Order.

- A.7. The Department Monitor is the individual designated by the Board as its agent to coordinate compliance with the terms of this Order. Any requests, petitions, reports or other information required by this Order shall be mailed, faxed or delivered to:

DEPARTMENT MONITOR
Department of Safety and Professional Services
Division of Legal Services & Compliance
P.O. Box 7190, Madison, WI 53707-7190
Telephone: (608) 267-3817; Fax: (608) 266-2264
dspsmonitoring@wi.gov

You may also submit this information online via DSPS Monitoring Case management System here:

<https://app.wi.gov/DSPSMonitoring>

Petitions for Modification

- A.8. Applicant may petition the Department Monitor for full, unrestricted licensure upon demonstration of continuous, successful practice in compliance with the terms and conditions of the Order for at least two (2) years. "Practice in compliance" includes the submission of work reports, the content of which are satisfactory to the Board. Applicant's petition must include his history of employment from the effective date of this Order that states the dates and names of any employer, such employment in total equaling one (1) year of practice. Any such petition shall be accompanied by a written recommendation from Applicant's current employer that includes, among other things, the dates of employment and scope of responsibility during such employment. A denial of such a petition for full licensure shall not be deemed a denial of license under Wis. Stat. §§ 227.01(3), or 227.42, or Wis. Admin. Code Ch. SPS 1, and shall not be subject to any right to further hearing or appeal.

Costs

- A.9. Applicant shall be responsible for all costs and expenses associated with compliance with the terms of this Order.

Suspension

- A.10. In the event that the Applicant violates any term of this Order, Applicant's license may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Applicant has provided proof, which is determined by the Board or its designee to be sufficient, that Applicant is in compliance with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

Dated at Madison, Wisconsin this 21st day of May, 2020.

By: Thomas Richie/c.4
A Member of the Real Estate Examining Board

STATE OF WISCONSIN
BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF A RENEWAL OF
REAL ESTATE BROKER LICENSE

URI FRIED,
APPLICANT.

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STIPULATION

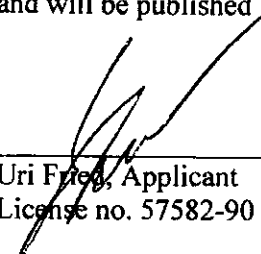
ORDER 0006813

It is hereby stipulated between the above-referenced Applicant and the Real Estate Examining Board (Board) as follows:

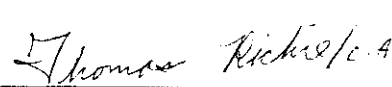
1. The Applicant has filed an application to renew a Real Estate Broker license.
2. Information received by the Board reflects a basis for denial of the renewal of licensure.
3. Based upon the information of record herein, the Board agrees to issue, and the Applicant agrees to accept, an Order granting a renewal of license, subject to the terms and conditions set forth in the attached Order adopting the Stipulation.
4. Applicant understands that by signing this Stipulation, Applicant voluntarily and knowingly waives the following rights:
 - The right to request a hearing related to the denial of the application;
 - assuming a hearing takes place wherein the Applicant has the burden of proof by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Applicant;
 - the right to call witnesses on Applicant's behalf and to compel their attendance by subpoena;
 - the right to testify on Applicant's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Applicant under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
5. Applicant is aware of Applicant's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
6. Applicant agrees to the adoption of the attached Order Granting Limited License by the Board. The parties to the Stipulation consent to the entry of the attached Order Granting Limited License without further notice, appearance, or consent of the parties.

7. Applicant waives all rights to any appeal of the Board's Order, as adopted in the form as attached.

8. Applicant is informed that the Order Granting Limited License is a public record and will be published in accordance with standard procedure.


Uri Fied, Applicant
License no. 57582-90

5/18/2020
Date


A Member of the Real Estate Examining Board
Department of Safety and Professional Services
P.O. Box 7190
Madison, WI 53707-7190

5/21/2020
Date