

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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1. Licensee Lillian A. Carroll, L.P.C., (DOB xx/xx/1981) is licensed in the state of Wisconsin to practice professional counseling, having license number 4072-125, first issued on July 16, 2008, and current through February 28, 2021. Licensee's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is in Fitchburg, Wisconsin 53711.

2. From March 9, 2018, to March 23, 2018, Licensee treated Patient A, a minor child, at a facility (Facility), located in Racine, Wisconsin.

3. During a treatment session, Patient A inferred that Patient A's mother had a mental health history. Licensee was concerned that Patient A's mother may have been a former patient and performed a conflict of interest check.

4. The charting software utilized by the Facility allowed Licensee to see Patient A's mother's chart and problem list, which indicated a mental health history. Licensee was not a previous provider for Patient A's mother.

5. During a subsequent conversation, Patient A's mother further inferred that she had a history of emotional difficulties.

6. Based on the three (3) reports, Licensee inappropriately included information regarding Patient A's mother's mental health history in Patient A's chart.

7. In resolution of this matter, Licensee consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Professional Counselor Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

ORDER

1. The attached Stipulation is accepted.

2. Within six (6) months of the date of this Order, Licensee Lillian A. Carroll, L.P.C., shall, at Licensee's own expense, take and successfully complete four (4) hours of education on the topic of patient confidentiality and HIPAA, as follows:

- a. Each course attended in satisfaction of this Order must be pre-approved by the Section or its designee. Licensee shall be responsible for locating course(s) satisfactory to the Section and for obtaining the required approval of the course(s) from the Section or its designee. Licensee must take and pass any exam offered for the course(s).
- b. Licensee shall submit proof of successful completion of the education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Section or Department and may not be used in any future attempt to upgrade a credential.

- c. The Section's monitoring liaison may change the number of credit hours and/or education topics in response to a request from Licensee. The monitoring liaison may consider the topic availability and/or hours of education when determining if a change to the ordered education should occur.
3. Request for approval of courses and proof of successful course completion shall be sent by Licensee to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

You may also submit this information online via DSPS' Monitoring Case Management System, here:

<https://dspsmonitoring.wi.gov>

4. This Order is effective on the date of its signing.

PROFESSIONAL COUNSELOR SECTION OF THE WISCONSIN MARRIAGE AND
FAMILY THERAPY, PROFESSIONAL COUNSELING, AND SOCIAL WORK
EXAMINING BOARD

by:

Sammy Scheidegger, Jr.
A Member of the Section

Date

4/14/2020

STATE OF WISCONSIN
BEFORE THE PROFESSIONAL COUNSELOR SECTION OF THE MARRIAGE AND
FAMILY THERAPY, PROFESSIONAL COUNSELING AND SOCIAL WORK EXAMINING
BOARD

IN THE MATTER OF THE LICENSE OF

LILLIAN A. CARROLL, L.P.C.,
LICENSEE.

:
:
:
:

STIPULATION

ORDER 0006760

Division of Legal Services and Compliance Case No. 18 CPC 048

Licensee Lillian A. Carroll, L.P.C., and the Division of Legal Services and Compliance,
Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the
Division of Legal Services and Compliance. Licensee consents to the resolution of this
investigation by Stipulation.

2. Licensee understands that by signing this Stipulation, Licensee voluntarily and
knowingly waives the following rights:

- the right to a hearing on the allegations against Licensee, at which time the State has the
burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Licensee;
- the right to call witnesses on Licensee's behalf and to compel their attendance by
subpoena;
- the right to testify on Licensee's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral
arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Licensee under the United States Constitution, the
Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and
other provisions of state or federal law.

3. Respondent is aware of Licensee's right to seek legal representation and has been
provided an opportunity to obtain legal counsel before signing this Stipulation.

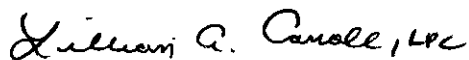
4. Licensee agrees to the adoption of the attached Final Decision and Order for
Remedial Education by the Professional Counselor Section of the Wisconsin Marriage and
Family Therapy, Professional Counseling and Social Work Examining Board (Section). The
parties to the Stipulation consent to the entry of the attached Final Decision and Order without
further notice, pleading, appearance or consent of the parties. Licensee waives all rights to any
appeal of the Section's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Licensee or Licensee's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

7. Licensee is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order for Remedial Education is a public record and will be published in accordance with standard Department procedure.

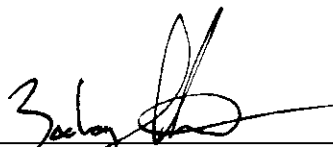
8. The Division of Legal Services and Compliance joins Licensee in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order for Remedial Education.



Lillian A. Carroll, L.P.C., Licensee
Fitchburg, WI 53711
License no. 4072-125

2/25/2020

Date



Zachary J. Peters, Attorney
Department of Safety and Professional Services
Division of Legal Services and Compliance
P.O. Box 7190
Madison, WI 53707-7190

2/27/2020

Date