

## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN  
BEFORE THE REAL ESTATE EXAMINING BOARD

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IN THE MATTER OF THE APPLICATION FOR  
A REAL ESTATE SALESPERSON  
LICENSE

PRINCESS HOLLOWAY,  
APPLICANT.

ORDER GRANTING  
LIMITED LICENSE

ORDER 0006705

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The parties to this action for the purposes of Wis. Stat. § 227.53 are:

PRINCESS HOLLOWAY  
MILWAUKEE, WI 53202

REAL ESTATE EXAMINING BOARD  
P.O. BOX 7190  
MADISON, WI 53707-7190

FINDINGS OF FACT

1. PRINCESS HOLLOWAY (Applicant) has filed an application (no. 659786) for a Wisconsin Real Estate Salesperson license.
2. Information received in the application process reflects that the Applicant has the following convictions on her record:
  - A. On or about September 12, 2012, Applicant was convicted of one (1) count of Operating while Intoxicated (OWI) 1<sup>st</sup>, an ordinance violation.
  - B. On or about March 13, 2013, Applicant was convicted in Sheboygan County Circuit Court, of one (1) count of Operating While Revoked (Rev Due to alcohol/controlled substance/refusal), a misdemeanor, in violation of Wis. Stat. § 343.44(1)(b).
  - C. On or about December 17, 2013, Applicant was convicted in Oak Creek Municipal Court, of one (1) count of Issuance of Worthless Check, an ordinance violation.
  - D. On or about February 18, 2014, Applicant was convicted in Oak Creek Municipal Court, of one (1) count of Issuance of Worthless Check, an ordinance violation.
  - E. On or about November 29, 2017, Applicant was convicted in Village of Greendale Municipal Court, of one (1) count of Retail Theft-Intentionally Take (<=\$2500), a municipal ordinance violation.

F. On or about January 13, 2018, the Applicant was issued a citation for Retail Theft, a municipal ordinance violation.

3. On or about October 24, 2019, Applicant was mailed a letter providing her with an opportunity to provide the Department with evidence of her rehabilitation and fitness to engage in the practice of a Real Estate Salesperson, pursuant to Wis. Stat. § 111.335(4)(c). Applicant did not submit any documentation for consideration.

### CONCLUSIONS OF LAW

1. The Wisconsin Real Estate Examining Board has jurisdiction over this matter pursuant to Wis. Stat. § 452.14(3).
2. Pursuant to Wis. Stat. § 452.14(3)(p), the Real Estate Examining “[B]oard may revoke, suspend, or limit the license of any licensee, ... if it finds that the licensee has done any of the following: ... (p) Subject to ss. 111.321, 111.322, and 111.335, been convicted of an offense the circumstances of which substantially relate to real estate practice.” A substantial relationship occurs where “the tendencies and inclinations to behave a certain way in a particular context are likely to reappear later in a related context, based on the traits revealed.” *County of Milwaukee v. Labor & Industry Review Comm’n*, 139 Wis. 2d 805, 824 (1987). The crux of the inquiry is the circumstances which foster criminal activity, e.g., the opportunity for criminal behavior, the reaction to responsibility, or the character traits of the person. *Id.* Your conviction history calls into question your current competency to transact the business of real estate sales in a manner that protects the public.
3. The facts and circumstances surrounding the above-referenced conviction record substantially relate to the practice of a Real Estate Salesperson.

Applicant has been convicted of Operating While Intoxicated (1<sup>st</sup>) in 2012. Applicant was convicted of two (2) counts of Issuance of Worthless Checks in 2013 and 2014. Applicant was convicted of two (2) counts of retail theft, one in 2017 and 2018. Applicant failed to disclose to the Department the convictions for one (1) Operating While Intoxicated (1<sup>st</sup>), one (1) retail theft, and one (1) Operating While Revocation conviction. Applicant’s conviction and ordinance violations substantially relate to the practice of a real estate salesperson because the business of real estate involves the ability to interact with clients, other professionals, and the public in an appropriate and safe manner. Applicant’s convictions involve theft and dishonesty. The lengthy pattern of convictions also suggests repetition of criminal behavior. The Operating after Revocation conviction and Operating while Intoxicated conviction regard violations of traffic laws and the practice of real estate requires driving to properties and also driving clients on occasion. At this time, Applicant has failed to show competent evidence of sufficient rehabilitation and fitness to practice as a real estate salesperson without limitations, particularly in light of the pattern of behavior and convictions as described above.

4. Limitations upon Applicant’s license are necessary to protect the public health, safety or welfare, pursuant to Wis. Stat. § 452.03.

5. Applicant, by her conduct, is subject to limitations against her license, pursuant to Wis. Stat. §§ 111.335(3)(a)1., and 452.14(3).

### **ORDER**

NOW, THEREFORE, IT IS ORDERED that PRINCESS HOLLWAY is GRANTED A REAL ESTATE SALESPERSON LICENSE subject to the following LIMITATIONS, TERMS, AND CONDITIONS FOR A PERIOD OF AT LEAST TWO (2) YEARS FROM THE DATE OF THIS ORDER:

#### Practice Limitations

- A.1. Applicant shall, at all times, practice as a Real Estate Salesperson under the supervision of a Wisconsin licensed Real Estate Broker approved by the Board. Approval shall be obtained through correspondence with the Department of Safety and Professional Services Monitor (Department Monitor).
- A.2. Applicant shall notify her broker-supervisor(s)/employer(s) of her history of arrests and convictions prior to employment. Applicant shall show a copy of this Order to her current and any future employer. Applicant shall provide the Department Monitor with written acknowledgement from each employer that a copy of this Order has been received. Such acknowledgement shall be provided to the Department Monitoring within fourteen (14) days of beginning new employment and/or within fourteen (14) days of the date of this Order for employment current as of the date of this Order.
- A.3. Applicant shall commit no new violations of law and shall report all law enforcement contacts leading to arrest, charge or conviction, including DWI/OWI and municipal/ordinance violations, to the Department Monitor within forty-eight (48) hours of any such event, including any convictions resulting from pending charges.

#### Reporting Requirements

- A.4. Applicant shall file quarterly reports with the Board at the direction of the Department Monitor commencing ninety (90) days after Applicant commences employment under this Order. Each report shall include the following:
- i. The name, address and telephone number of Applicant, and name, address and telephone number of her employer;
  - ii. A statement from the Applicant as to whether she has had any law enforcement contacts leading to arrest, charge or conviction (including DWI/OWI and municipal/ordinance violations) during the term of the Order.
  - iii. Applicant shall report to the Department Monitor any change of employment status, residence, address or telephone number within five (5) days of the date of a change.
- A.5. Applicant shall arrange for written reports from her broker-supervisor(s)/employer(s) to be provided to Department Monitor on a quarterly basis, as directed by Department Monitor. These reports shall assess Applicant's work performance and describe the circumstances of her employment, including the nature and extent of the Applicant's

sales activities and whether she has practiced in compliance with all laws governing the practice of real estate as a salesperson.

- A.6. Applicant is responsible for compliance with all of the terms and conditions of this Order, including the timely submission of reports by others. Applicant shall promptly notify Department Monitor of any suspected violations of any of the terms and conditions of this Order.
- A.7. The Department Monitor is the individual designated by the Board as its agent to coordinate compliance with the terms of this Order. Any requests, petitions, reports or other information required by this Order shall be mailed, faxed or delivered to:

DEPARTMENT MONITOR  
Department of Safety and Professional Services  
Division of Legal Services & Compliance  
P.O. Box 7190, Madison, WI 53707-7190  
Telephone: (608) 267-3817; Fax: (608) 266-2264  
[dspsmonitoring@wi.gov](mailto:dspsmonitoring@wi.gov)

You may also submit this information online via DSPS Monitoring Case management System here:

<https://app.wi.gov/DSPSMonitoring>

#### Petitions for Modification

- A.8. Applicant may petition the Department Monitor for full, unrestricted licensure upon demonstration of continuous, successful practice in compliance with the terms and conditions of the Order for at least two (2) years. "Practice in compliance" includes the submission of work reports, the contents of which are satisfactory to the Board. Applicant's petition must include her history of employment from the effective date of this Order that states the dates and names of any employer, such employment in total equaling two (2) years of practice. Any such petition shall be accompanied by a written recommendation from Applicant's current employer that includes, among other things, the dates of employment and scope of responsibility during such employment. A denial of such a petition for full licensure shall not be deemed a denial of license under Wis. Stat. §§ 227.01(3), or 227.42, or Wis. Admin. Code Ch. SPS 1, and shall not be subject to any right to further hearing or appeal.

#### Costs

- A.9. Applicant shall be responsible for all costs and expenses associated with compliance with the terms of this Order.

#### Suspension

- A.10. In the event that the Applicant violates any term of this Order, Applicant's license may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Applicant has provided proof, which is determined by the Board or its

designee to be sufficient, that Applicant is in compliance with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

Dated at Madison, Wisconsin this 5 day of March, 2020.

By: Thomas Rickie / c.a.  
A Member of the Real Estate Examining Board

STATE OF WISCONSIN  
BEFORE THE REAL ESTATE EXAMINING BOARD

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IN THE MATTER OF AN APPLICATION FOR :  
A REAL ESTATE SALESPERSON :  
LICENSE :

PRINCESS HOLLOWAY,  
APPLICANT. :

STIPULATION

**ORDER 0006705**

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It is hereby stipulated between the above-referenced Applicant and the Real Estate Examining Board (Board) as follows:

1. The Applicant has filed an application for a Real Estate Salesperson license.
2. Information received by the Board reflects a basis for denial of the application.
3. Based upon the information of record herein, the Board agrees to issue, and the Applicant agrees to accept, an Order granting a renewal of license, subject to the terms and conditions set forth in the attached Order adopting the Stipulation.
4. Applicant understands that by signing this Stipulation, Applicant voluntarily and knowingly waives the following rights:
  - The right to request a hearing related to the denial of the application;
  - assuming a hearing takes place wherein the Applicant has the burden of proof by a preponderance of the evidence;
  - the right to confront and cross-examine the witnesses against Applicant;
  - the right to call witnesses on Applicant's behalf and to compel their attendance by subpoena;
  - the right to testify on Applicant's own behalf;
  - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
  - the right to petition for rehearing; and
  - all other applicable rights afforded to Applicant under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
5. Applicant is aware of Applicant's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
6. Applicant agrees to the adoption of the attached Order Granting Limited License by the Board. The parties to the Stipulation consent to the entry of the attached Order Granting Limited License without further notice, appearance, or consent of the parties.

7. Applicant waives all rights to any appeal of the Board's Order, as adopted in the form as attached.
8. Applicant is informed that the Order Granting Limited License is a public record and will be published in accordance with standard procedure.

Princess Holloway

Princess Holloway, Applicant  
Milwaukee, WI 53202  
Application no. 659786

1/2/2020  
Date

Thomas Richie/c. A

A Member of the Real Estate Examining Board  
Department of Safety and Professional Services  
P.O. Box 7190  
Madison, WI 53707-7190

3/5/2020  
Date