WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST	:
	: FINAL DECISION AND ORDER
CORIE P. HARGROVE, C.S.A.C., I.C.S., RESPONDENT.	ORDER 0006552

Division of Legal Services and Compliance Case Nos. 17 RSA 019 & 17 RSA 029

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Corie P. Hargrove, C.S.A.C., I.C.S. 2405 Northwestern Ave. Lower Level Racine, WI 53404

1009 Lathrop Ave. Racine, WI 53404

722 Belmont Ave. Racine, WI 53405

Wisconsin Department of Safety and Professional Services P.O. Box 8368 Madison, WI 53708-8368

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Department of Safety and Professional Services (Department). The Department has reviewed this Stipulation and considers it acceptable.

Accordingly, the Department adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

FINDINGS OF FACT

1. Respondent Corie P. Hargrove, C.S.A.C., I.C.S., (DOB January 29, 1970) is certified in the state of Wisconsin to practice clinical substance abuse counseling, having

certification number 13772-132, first issued on April 18, 2005. This certificate expired on February 28, 2019, and has not been renewed. Pursuant to Wis. Stat. § 440.08(3), Respondent retains the right to renew until February 28, 2024. Respondent is also certified to practice independent clinical supervising, having certification number 15261-135, first issued on November 20, 2006. This certificate expired on February 28, 2019, and has not been renewed. Pursuant to Wis. Stat. § 440.08(3), Respondent retains the right to renew until February 28, 2024. Respondent's most recent address on file with the Department is 2405 Northwestern Avenue, Lower Level, Racine, Wisconsin 53404. Respondent has indicated that his current address is 1009 Lathrop Avenue, Racine, Wisconsin 53404. The Wisconsin Circuit Court Access website indicates that Respondent's current address is 722 Belmont Avenue, Racine, Wisconsin 53405.

2. At all times relevant to this proceeding, Respondent was self-employed as a clinical substance abuse counselor and independent clinical supervisor at a clinic (Clinic), which he co-owned, located in Racine, Wisconsin.

3. On September 3, 2017, Respondent had a violent, sexual encounter with a former employee of the Clinic.

4. On September 13, 2017, Respondent admitted to officers of the Kenosha County Sherriff's Department that he consumed cocaine on September 3, 2017.

5. On September 6, 2017, in Kenosha County Circuit Court case number 2017CF944, the state of Wisconsin charged Respondent with one (1) count of 2^{nd} degree sexual assault/use of force, a class C felony, in violation of Wis. Stat. § 940.225(2)(a); one (1) count of false imprisonment, a class H felony, in violation of Wis. Stat. § 940.30; one (1) count of strangulation and suffocation, a class H felony, in violation of Wis. Stat. § 940.235(1); and one (1) count of disorderly conduct, a class B misdemeanor, in violation of Wis. Stat. § 947.01(1).

6. On April 10, 2019, in Kenosha County Circuit Court case number 2017CF944, Respondent was convicted of one (1) count of battery, a class A misdemeanor, in violation of Wis. Stat. § 940.19(1), and two (2) counts of disorderly conduct, a class B misdemeanor, in violation of Wis. Stat. § 947.01(1).

7. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Wisconsin Department of Safety and Professional Services has jurisdiction to act in this matter pursuant to Wis. Stat. 440.88, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent, Corie P. Hargrove, C.S.A.C., I.C.S., engaged in unprofessional conduct as defined by Wis. Admin. Code § SPS 164.01(2)(b), by violating any law substantially related to practice as a substance abuse professional.

3. By the conduct described in the Findings of Fact, Respondent, Corie P. Hargrove, C.S.A.C., I.C.S., engaged in unprofessional conduct as defined by Wis. Admin. Code § SPS 164.01(2)(v), by engaging in a single act of gross negligence or in a pattern of negligence as a substance abuse professional.

As a result of the violations noted in the Conclusions of Law, Respondent Corie P. Hargrove, C.S.A.C., I.C.S., is subject to discipline pursuant to Wis. Stat. § 440.88(6).

<u>ORDER</u>

1. The attached Stipulation is accepted.

2. The certificates and/or right to renew, to practice as a Clinical Substance Abuse Counselor (certificate no. 13772-132) and to practice as an Independent Clinical Supervisor issued to Corie P. Hargrove (certificate no. 15261-135) are SUSPENDED as follows:

SUSPENSIONS

A.1. The certificates and/or right to renew the certificates of Corie P. Hargrove, C.S.A.C., I.C.S., to practice as a clinical substance abuse counselor and as an independent clinical supervisor in the state of Wisconsin are SUSPENDED for an indefinite period.

STAY OF SUSPENSION

- B.1. The suspension of Respondent's certificates and/or right to renew the certificates shall not be stayed for a period of one (1) year. After one (1) year, the suspension of Respondent's certificates and/or right to renew the certificates may be stayed upon Respondent petitioning the Department and providing proof, which is determined by the Department or its designee to be sufficient, that Respondent is in compliance with the provisions of Sections C and D of this Order, for a period of at least thirty (30) consecutive days.
- B.2. The Department or its designee may, without hearing, remove the stay upon receipt of information that Respondent is in violation of any provision of this Order. The Department or its designee may, in conjunction with any removal of any stay, prohibit the Respondent for a specified period of time from seeking a reinstatement of the stay under paragraph B.4.
- B.3. This suspension becomes reinstated immediately upon notice of the removal of the stay being provided to Respondent either by:
 - (a) Mailing to Respondent's last-known address provided to the Department pursuant to Wis. Stat. § 440.11; or
 - (b) Actual notice to Respondent or Respondent's attorney.
- B.4. The Department or its designee may reinstate the stay, if provided with sufficient information that Respondent is in compliance with the Order and that it is appropriate for

- the stay to be reinstated. Whether to reinstate the stay shall be wholly in the discretion of the Department or its designee.
- B.5. If Respondent requests a hearing on the removal of the stay, a hearing shall be held using the procedures set forth in Wis. Admin. Code ch. SPS 2. The hearing shall be held in a timely manner with the evidentiary portion of the hearing being completed within sixty (60) days of receipt of Respondent's request, unless waived by Respondent. Requesting a hearing does not stay the suspension during the pendency of the hearing process.

CONDITIONS AND LIMITATIONS

Treatment Required

- C.1. Respondent shall enter into, and shall continue, drug and alcohol treatment with a treater acceptable to the Department or its designee (Treater). Respondent shall participate in, and cooperate with, and follow all treatment recommended by Treater.
- C.2. Respondent shall immediately provide Treater with a copy of this Order and all subsequent orders.
- C.3. Treater shall be responsible for coordinating Respondent's rehabilitation and treatment as required under the terms of this Order, and shall immediately report any relapse, violation of any of the terms and conditions of this Order, and any suspected unprofessional conduct, to the Department Monitor (See D.1., below). If Treater is unable or unwilling to serve as required by this Order, Respondent shall immediately seek approval of a successor Treater by the Department or its designee.
- C.4. The rehabilitation program shall include individual and/or group therapy sessions at a frequency to be determined by Treater. Therapy may end only with the approval of the Department or its designee, after receiving a petition for modification as required by D.5., below.
- C.5. Treater shall submit formal written reports to the Department Monitor on a quarterly basis, as directed by the Department Monitor. These reports shall assess Respondent's progress in drug and alcohol treatment.

<u>Releases</u>

C.6. Respondent shall provide and keep on file with Treater, all treatment facilities and personnel, laboratories and collection sites, current releases complying with state and federal laws. The releases shall allow the Department, its designee, and any employee of the Department to: (a) obtain all specimen screen results and patient health care and treatment records and reports, and (b) discuss the progress of Respondent's treatment and rehabilitation with Treater, treatment facilities and personnel, laboratories and collection sites. Copies of these releases shall immediately be filed with the Department Monitor.

AA/NA Meetings

C.7. Respondent shall attend Narcotics Anonymous and/or Alcoholics Anonymous meetings or an approved equivalent program for recovering professionals, at the frequency recommended by Treater, but no less than twice per week. Attendance of Respondent at such meetings shall be verified by the speaker or chair and reported quarterly to Treater and the Department Monitor.

Sobriety

- C.8. Respondent shall abstain from all personal use of alcohol.
- C.9. Respondent shall abstain from all personal use of controlled substances as defined in Wis. Stat. § 961.01(4), except when prescribed, dispensed or administered by a practitioner for a legitimate medical condition. Respondent shall disclose Respondent's drug and alcohol history and the existence and nature of this Order to the practitioner prior to the practitioner prescribing the controlled substance. Respondent shall, at the time the controlled substance is prescribed, immediately sign a release in compliance with state and federal laws authorizing the practitioner to discuss Respondent's treatment with, and provide copies of treatment records to, Treater and the Department or its designee. Copies of these releases shall immediately be filed with the Department Monitor. Respondent shall disclose the name and address of such practitioner to the Department Monitor within five (5) business days of receipt of a prescription for controlled substances.
- C.10. Respondent shall provide the Department Monitor with a list of over-the-counter medications and drugs that they may take from time to time. Respondent shall abstain from all use of over-the-counter medications, products, or other substances (including but not limited to natural substances, such as poppy seeds or any products containing alcohol) which may mask consumption of controlled substances or alcohol, create false positive screening results, or otherwise interfere with Respondent's test results, treatment or rehabilitation, unless ordered by a physician and approved by Treater, in which case the drug must be reported as described in paragraph C.11. It is Respondent's responsibility to educate himself about the medications and substances which may violate this paragraph, and to avoid those medications and substances.
- C.11. Respondent shall report to Treater and the Department Monitor all prescription medications and drugs taken by Respondent. Reports must be received within twenty-four (24) hours of administration, fill, or refill of the medication or drug, and shall identify the person or persons who prescribed, dispensed, administered, or ordered said medications or drugs. Each time the prescription is filled or refilled, Respondent shall immediately arrange for the prescriber or pharmacy to fax and mail copies of the prescription to the Department Monitor.
- C.12. Respondent shall enroll and begin participation in a drug and alcohol monitoring program which is approved by the Department (Approved Program).

- C.13. At the time Respondent enrolls in the Approved Program, Respondent shall review all of the rules and procedures made available by the Approved Program. Failure to comply with all requirements for participation in drug and alcohol monitoring established by the Approved Program is a violation of this Order. The requirements shall include:
 - (a) Contact with the Approved Program as directed on a daily basis, including vacations, weekends, and holidays.
 - (b) Production of a urine, blood, sweat, nail, hair, saliva, or other specimen at a collection site designated by the Approved Program within five (5) hours of notification of a test.
- C.14. The Approved Program shall require the testing of specimens at a frequency of not less than forty-nine (49) times per year, for at least the first year of this Order. Thereafter, the Department may adjust the frequency of testing on its own initiative at any time.
- C.15. If any urine, blood, sweat, nail, hair, saliva, or other specimen is positive or suspected positive for any controlled substances or alcohol, Respondent shall promptly submit to additional tests or examinations, as the Department or its designee shall determine to be appropriate, to clarify or confirm the positive or suspected positive test results.
- C.16. In addition to any requirement of the Approved Program, the Department or its designee may require Respondent to do any or all of the following: (a) submit additional specimens; (b) furnish any specimen in a directly witnessed manner; or (c) submit specimens on a more frequent basis.
- C.17. All confirmed positive test results shall be presumed to be valid. Respondent must prove, by a preponderance of evidence, an error in collection, testing, fault in the chain of custody, or other valid defense.
- C.18. The Approved Program shall submit information and reports to the Department Monitor as directed.

Practice Limitations

- C.19. Respondent shall <u>not</u> work as a clinical substance abuse counselor or independent clinical supervisor in a setting in which Respondent has access to controlled substances. Respondent shall provide the Department Monitor with written acknowledgment from each employer in which Respondent is employed as a clinical substance abuse counselor or independent clinical supervisor that Respondent does not have access to controlled substances. Such acknowledgement shall be provided to the Department Monitor within fourteen (14) days from the date of this Order for any current employer and on a quarterly basis thereafter from each employer in which Respondent clinical supervisor.
- C.20. Respondent shall practice only in a work setting preapproved by the Department or its designee. Requests for preapproval must be accompanied by a current job description,

name and contact information of the direct supervisor, and written acknowledgment from the employer that a copy of this Order has been received and that the restrictions will be accommodated.

- C.21. Prior to commencing practice, Respondent shall provide a copy of this Order, and all other subsequent orders, immediately to supervisory personnel at all settings where Respondent works and/or practices as a clinical substance abuse counselor and/or an independent clinical supervisor, currently or in the future.
- C.22. It is Respondent's responsibility to arrange for quarterly written reports to be submitted to the Department Monitor from his supervisor at each setting in which Respondent worked and/or practiced as a clinical substance abuse counselor and/or an independent clinical supervisor in the previous quarter. These reports shall be submitted as directed by the Department Monitor, and shall assess Respondent's work performance, and shall include the number of hours of active clinical substance abuse counseling and/or independent clinical supervising practice worked during that quarter. If a report indicates poor performance, the Department may institute appropriate corrective limitations, or may revoke a stay of the suspension, in its discretion.
- C.23. Respondent shall report to the Department any change of employment status, residence, address, or telephone number within five (5) days of the date of a change. This report shall not be considered formal change of address notification pursuant to Wis. Stat. § 440.11.
- C.24. Respondent shall successfully complete eight (8) credits of remedial education on the subject of professional ethics. The course(s) must be pre-approved by the Department or its designee. Successful completion requires that Respondent take and pass any exam offered for the course(s). Courses taken without preapproval may NOT be used to satisfy the remedial education requirements of this Order. The Department or its designee may amend this limitation.
 - (a) Within thirty (30) days of completion of preapproved remedial education, Respondent shall submit proof of successful completion in the form of verification from the institution or organization that provided the education.
 - (b) None of the remedial education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Department.
 - (c) The Department shall remove this limitation from Respondent's license when Respondent has satisfied the Department or its designee that Respondent has successfully completed the preapproved education.

MISCELLANEOUS

Department Monitor

D.1. Any requests, petitions, reports, and other information required by this Order shall be mailed, e-mailed, faxed, or delivered to:

Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 267-3817; Fax (608) 266-2264 DSPSMonitoring@wisconsin.gov

You may also submit this information online via DSPS' Monitoring Case Management System, here:

www.dspsmonitoring.wi.gov

Required Reporting by Respondent

- D.2. Respondent is responsible for compliance with all of the terms and conditions of this Order, including the timely submission of reports by others. Respondent shall promptly notify the Department Monitor of any failures of the Treater, treatment facility, Approved Program, or collection sites to conform to the terms and conditions of this Order. Respondent shall promptly notify the Department Monitor of any violations of any of the terms and conditions of this Order. Respondent shall promptly notify the Department Monitor of any violations of any of the terms and conditions of this Order by Respondent.
- D.3. Respondent shall submit self-reports to the Department Monitor on a quarterly basis, as directed by the Department Monitor. The reports shall include a summary of Respondent's compliance with the terms and conditions of the Order in the previous quarter, Respondent's current address, and home telephone number. The self-report shall not be considered formal change of address notification pursuant to Wis. Stat. § 440.11.

Change of Treater or Approved Program

D.4. If the Department, or its designee, determines the Treater or Approved Program has performed inadequately or has failed to satisfy the terms and conditions of this Order, the Department, or its designee, may direct that Respondent continue treatment and rehabilitation under the direction of another Treater or Approved Program.

Petitions for Modification of Limitations or Termination of Order

- D.5. Respondent may petition the Department on an annual basis for modification of the terms of this Order; however, no such petition for modification shall occur earlier than one (1) year from the date of the initial stay of the suspension of the credential or right to renew the credential. Any petition for modification shall be accompanied by a written recommendation from Respondent's Treater expressly supporting the specific modifications sought. Denial of a petition in whole or in part shall not be considered a denial of a license within the meaning of Wis. Stat. § 227.01(3)(a), and Respondent shall not have a right to any further hearings or proceedings on the denial.
- D.6. Respondent may petition the Department for termination of this Order after demonstrating five (5) years of successful compliance with all terms, including at least 600 hours of

approved clinical substance abuse counseling and/or independent clinical supervising practice each year. The Department may, on its own motion, grant full Wisconsin licensure at any time.

Cost of Compliance

D.7. Respondent shall be responsible for all costs and expenses incurred in conjunction with the monitoring, screening, supervision, and any other expenses associated with compliance with the terms of this Order. Being dropped from a program for non-payment is a violation of this Order.

Cost of Proceeding

D.8. Respondent shall pay costs of \$3,937.00 to the Department, within 120 days of this Order. Payment may be made online at <u>www.dpspmonitoring.wi.gov</u>, or mailed to the attention of the Department Monitor at the address in paragraph D.1., above.

Additional Discipline

D.9. In addition to any other action authorized by this Order or law, the Department, in its discretion, may impose additional limitations or pursue separate disciplinary action for violation of any term of this Order.

Applicability of Order

- D.10. If and when Respondent's clinical substance abuse counseling certificate and independent clinical supervising certificate are renewed, the terms and conditions of this Order are equally applicable to Respondent's active credential(s).
 - 3. This Order is effective on the date of its signing.

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

By: U

Aloysius Rohmeyer, Chief Counsel On Behalf of the Department

Date

STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

CORIE P. HARGROVE, C.S.A.C., I.C.S., RESPONDENT.	ORDER 0006552
CONTRACTORY COACLCS	: STIPULATION
IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST	:

Division of Legal Services and Compliance Case No. 17 RSA 019 & 17 RSA 029

Respondent Corie P. Hargrove, C.S.A.C., I.C.S., and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Department of Safety and Professional Services (Department). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Department's Order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Department, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the

Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Department, the parties agree not to contend that the Department has been prejudiced or biased in any manner by the consideration of this attempted Department has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance may appear before the Department, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that the Department may have in connection with deliberations on the Stipulation.

7. Respondent is informed that should the Department adopt this Stipulation, the Department's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending that the Department adopt this Stipulation and issue the attached Final Decision and Order.

Corie P. Hargrove, C.S.J.C., LC.S., Respondent

Corie P. Hargrove, C.S.A.C., I.C.S., Respondent 1009 Lathrop Avenue Racine, WI 53404 Certificate no. 13772-132 Certificate no. 15261-135

Eachary Peters, Attorney Department of Safety and Professional Services Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190

10/24/2019