WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE AUCTIONEER BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

WAYNE YODER JR., RESPONDENT.

ORDER 0006465

Division of Legal Services and Compliance Case No. 18 AUC 005

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Wayne Yoder Jr. D/B/A Wayne Yoder Auction Services LLC N2475 13th Gateway Wautoma, WI 54982

Wisconsin Auctioneer Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Auctioneer Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. Respondent Wayne Yoder Jr. (DOB 12/19/1968) is registered by the State of Wisconsin as an auctioneer, having registration number 1977-52, first issued on March 14, 2001 and current through December 14, 2020. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is N2475 13th Gateway, Wautoma, Wisconsin 54982.
- 2. Respondent registered Wayne Yoder Auction Services LLC as a trade name with the Department.

- 3. On January 15, 2018, the Department received a complaint from B.B., a customer, alleging that Respondent auctioned off property without a written contract. Subsequently, the Department opened Division of Legal Services and Compliance Case No. 18 AUC 005 for investigation.
- 4. On May 21, 2018, Respondent responded to this complaint and admitted to not having a contract with B.B. Respondent stated that he had personally loaned B.B. monies for which B.B. would bring property for Respondent to auction off to recoup part of the loaned sum.
- 5. Between January 2017 and February 2017, Respondent auctioned off property for B.B. without a written contract.
- 6. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Wisconsin Auctioneer Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 480.24, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent violated Wis. Stat. § 480.14(1) and Wis. Admin. Code § SPS 124.02 by conducting an auction without entering into a written contract with each owner or consignor of goods or real estate that may be sold at the auction.
- 3. As a result of the above violations, Respondent is subject to discipline pursuant to Wis. Stat. § 480.24(2)(j).

ORDER

- 1. The attached Stipulation is accepted.
- 2. Respondent Wayne Yoder Jr. is REPRIMANDED.
- 3. Within ninety (90) days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$625.
- 4. Payment of costs shall be made payable to the Wisconsin Department of Safety and Professional Services and sent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

This information may also be submitted online via DSPS' Monitoring Case Management System at: https://app.wi.gov/DSPSMonitoring.

5. In the event Respondent violates any term of this Order, Respondent's license (1977-52), or Respondent's right to renew his license, may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.

10/8/2019 Date

6. This Order is effective on the date of its signing.

WISCONSIN AUCTIONEER BOARD

by: Jerry / ne

A Member of the Auctioneer Board

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IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

WAYNE YODER JR., RESPONDENT. STIPULATION

ORDER 0006465

Division of Legal Services and Compliance Case No. 18 AUC 005

Respondent Wayne Yoder Jr. and the Division of Legal Services and Compliance, Department of Safety and Professional Services, stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Auctioneer Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division

of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

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D/B/A Wayne Yoder Auction Services LLC

N2475 13th Gateway

Wautoma, WI 54982

Registration no. 1977-52

Renee M. Parton, Attorney

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190

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Date