WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE REAL ESTATE APPRAISERS BOARD

IN THE MATTER OF THE CERTIFICATE OF

: FINAL DECISION AND ORDER

BRIAN J. HAFERMAN, LICENSEE. FOR REMEDIAL EDUCATION

0886039

Division of Legal Services and Compliance Case No. 17 APP 044

The parties to this action for the purposes of Wis. Stat. § 227.53 are:

Brian J. Haferman 311 Canterbury Dr. Plover, WI 54467

Wisconsin Real Estate Appraisers Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Real Estate Appraisers Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. Licensee Brian J. Haferman (DOB January 11, 1977) is licensed by the State of Wisconsin as a Licensed Appraiser, having certificate of licensure number 2146-4, first issued on December 2, 2013 and current through December 14, 2019. Licensee's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is 311 Canterbury Drive, Plover, Wisconsin 54467.
- 2. On October 24, 2016, Licensee performed an appraisal of property located at 1308 Kurzy Lane, Kronenwetter, Wisconsin 54455.
- 3. On or about October 3, 2017, the Department received a complaint alleging that the subject appraisal violated the Uniform Standards of Professional Appraisal Practice

- (USPAP). Division of Legal Services and Compliance Case Number 17 APP 044 was subsequently opened for investigation.
- 4. Licensee's appraisal was reviewed by the Division of Legal Services and Compliance and was found to be deficient in the following ways:
 - a. In the Neighborhood section, Licensee incorrectly reported the neighborhood characteristics. [Standards Rule (SR) 2-1(a).]
 - b. In the Site section, Licensee failed to summarize the support and rationale for his opinion of the subject property's highest and best use. Licensee incorrectly reported the zoning classification and description and failed to maintain zoning data in his workfile. [Record Keeping Rule, SR 1-2(e)(i), SR 2-1(a,b), SR 2-2(a)(x).]
 - c. In the Improvement section, Licensee reported the subject property's gross living area as having 576 square feet, but then reported the basement as "full" and having 432 square feet. [SR 2-1(a,b).]
 - d. In the Improvement section, Licensee failed to report whether personal property (appliances) was considered in his opinion of value. [SR 1-4(g).]
 - e. In the Sales Comparison Approach section, Licensee used a fixed price range in reporting comparable listings and sold properties and did not retain his search criteria in his workfile. [Scope of Work Rule, Record Keeping Rule, SR 2-1(a).]
 - f. In the Sales Comparison Approach section, Licensee incorrectly reported that Comparable Sale #1 had only one outbuilding, and misreported the address, MLS number and distance of Comparable Sale #2. [SR 1-1(c), SR 2-1(a,b).]
 - g. In the Reconciliation section, Licensee stated that the Sales Comparison Approach was given the most weight, and on the Supplemental Addendum Licensee reported the Cost Approach was given the most weight. Licensee also failed to explain why the Income Approach was not used. [SR 2-1(a,b), SR 2-2(a)(viii).]
 - h. Licensee's workfile did not contain adequate information to support the opinions and conclusions contained in his report. [Record Keeping Rule.]
- 5. Licensee agrees that failure to submit proof of successful completion of the ordered education as set forth below shall constitute conduct which reflects adversely on his fitness to practice as a real estate appraiser as set forth in Wis. Admin. Code § SPS 86.01(13).
- 6. In resolution of this matter, Licensee consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Wisconsin Real Estate Appraisers Board is authorized to enter into the attached Stipulation pursuant to Wis. Stat. §§ 227.44(5) and 458.26.

ORDER

- 1. The attached Stipulation is accepted.
- 2. Within 90 days of the date of this Order, Brian J. Haferman shall, at his own expense, take and successfully complete 34 hours of education as follows:
 - a. USPAP (15 hours) (must be taken online);
 - b. Advanced Residential Applications and Case Studies (15 hours) (may be taken online or in a classroom setting); and
 - c. Workfile Documentation for Appraisers (4 hours) (may be taken online or in a classroom setting).
 - d. Each course attended in satisfaction of this Order must be offered by a provider pre-approved by the Board or its designee. Licensee shall be responsible for locating course(s) satisfactory to the Board and for obtaining the required approval of the course(s) from the Board or its designee. Licensee must take and pass any exam(s) offered for the course(s).
 - e. Licensee shall submit proof of successful completion of the education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Board or Department and may not be used in any future attempt to upgrade a credential.
- 3. Within 90 days from the date of this Order, Licensee shall pay COSTS of this matter in the amount of \$1,471.00.
- 4. Requests for course approval, proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Licensee to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

You may also submit this information online via DSPS' Monitoring Case Management System at https://app.wi.gov/DSPSMonitoring.

- 5. This Order does not constitute discipline.
- 6. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE APPRAISERS BOARD

by:

A Member of the Wisconsin Real

Estate Appraisers Board

Date

STATE OF WISCONSIN BEFORE THE REAL ESTATE APPRAISERS BOARD

IN THE MATTER OF THE CERTIFICATE OF

STIPULATION

BRIAN J. HAFERMAN, LICENSEE.

6036039

Division of Legal Services and Compliance Case No. 17 APP 044

Licensee Brian J. Haferman and the Division of Legal Services and Compliance, Department of Safety and Professional Services, stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Licensee consents to the resolution of this investigation by Stipulation.
- 2. Licensee understands that by signing this Stipulation, Licensee voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Licensee, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Licensee;
 - the right to call witnesses on Licensee's behalf and to compel their attendance by subpoena:
 - the right to testify on Licensee's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Licensee under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Licensee is aware of Licensee's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Licensee agrees to the adoption of the attached Final Decision and Order for Remedial Education by the Wisconsin Real Estate Appraisers Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Licensee waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In

the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Licensee, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Licensee is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. The Division of Legal Services and Compliance joins Licensee in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Brian J. Haferman, Licensee

311 Canterbury Dr. Plover, WI 54467 Certificate no. 2146-4 12/12/18 Date

12.13.18

Sarah E. Norberg, Attorney

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190