

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN
BEFORE THE ARCHITECT SECTION OF THE WISCONSIN EXAMINING
BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS,
DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

DOUGLAS BROOKS,
RESPONDENT.

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FINAL DECISION AND ORDER

0005720

Division of Legal Services and Compliance Case No. 17 ARC 007

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Douglas Brooks
103 Catron Street, Unit 29
Santa Fe, NM 87501

The Architect Section of the Wisconsin Examining Board of Architects, Landscape
Architects, Professional Engineers, Designers and Professional Land Surveyors
P.O. Box 8366
Madison, WI 53708-8366

Division of Legal Services and Compliance
Wisconsin Department of Safety and Professional Services
P.O. Box 7190
Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Professional Land Surveyors (Section). The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Douglas Brooks (DOB 09/16/1957) is registered by the State of Wisconsin as an Architect, having registration number 5654-5, first issued on November 7, 1984, and current through July 31, 2018. Respondent's most recent address on file with the Department of Safety and Professional Services (Department) is 103 Catron Street, Unit 29, Santa Fe, NM 87501.

2. In 2017, the Department initiated an audit of registered Architect continuing education (C.E.) for the 2014-2016 biennial registration period (August 1, 2014 to July 31, 2016).

3. Pursuant to the Department's audit, Respondent was found to be noncompliant with the C.E. requirements for registered Architects in the 2014-2016 biennial registration period, as Respondent completed over fifty-seven (57.1) hours of C.E., but zero (0) of which were Health, Safety, and Welfare (HSW) topics, during that time.

4. On January 9, 2018, Respondent indicated that he completed twelve (12) additional hours (C.E./HSW) after the biennium period, but before September 16, 2016; however, he informed the Department that he no longer lives or conducts work in the state of Wisconsin.

5. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Professional Land Surveyors has jurisdiction to act in this matter pursuant to Wis. Stat. § 443.11, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § A-E 12.03(1) by failing to complete at least 24 hours of continuing education, of which 16 contact hours shall be in HSW topics pertinent to the practice of architecture, within the 2014-2016 biennial registration period.

3. As a result of the above violation, Respondent has engaged in misconduct in the practice of architecture as defined in Wis. Admin. Code § A-E 8.03(3)(a) and is subject to discipline pursuant to Wis. Stat. § 443.11(1)(d).

ORDER

1. The attached Stipulation is accepted.

2. The VOLUNTARY SURRENDER of Respondent's Architect registration (number 5654-5) is hereby ACCEPTED.

3. Respondent shall not attempt to reinstate or renew his registration for a period of one (1) year following the date of this Order.

4. Should Respondent attempt to reinstate or renew his registration:

a. Respondent shall pay COSTS of this matter in the amount of \$105.00 before any such reinstatement or renewal may be considered.

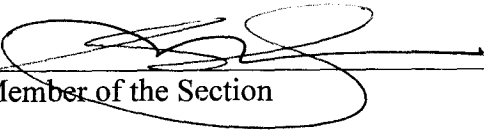
b. Respondent shall successfully complete, during the biennial period immediately preceding the application, the C.E. requirements specified in Wis. Admin. Code § A-E 12.03. Respondent shall submit proof of successful completion of the C.E. with the application. Any C.E. completed pursuant to this order may not be used to satisfy any other registered Architect C.E. requirements with the Section.

c. The Section may determine whether and under what terms and conditions such registration may be granted.

5. This Order is effective on the date of its signing.

THE ARCHITECT SECTION OF THE WISCONSIN EXAMINING BOARD OF
ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS
AND PROFESSIONAL LAND SURVEYORS

by:


A Member of the Section

4/25/18
Date

STATE OF WISCONSIN
BEFORE THE ARCHITECT SECTION OF THE WISCONSIN EXAMINING BOARD OF
ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS,
AND PROFESSIONAL LAND SURVEYORS

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

DOUGLAS BROOKS,
RESPONDENT.

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STIPULATION

0005720

Division of Legal Services and Compliance Case No. 17 ARC 007

Respondent Douglas Brooks and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

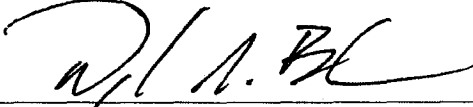
1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
4. Respondent agrees to the adoption of the attached Final Decision and Order by the Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors (Section). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

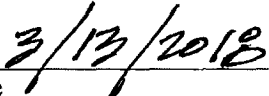
6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

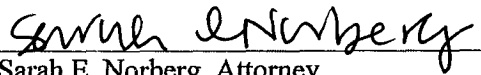
8. The Division of Legal Services and Compliance joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.



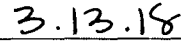
Douglas Brooks, Respondent
103 Catron Street, Unit 29
Santa Fe, NM 87501
Registration no. 5654-5



Date



Sarah E. Norberg, Attorney
Division of Legal Services and Compliance
P.O. Box 7190
Madison, WI 53707-7190



Date