

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN
BEFORE THE PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN EXAMINING
BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS,
DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

IN THE MATTER OF DISCIPLINARY :
PROCEEDINGS AGAINST :
 : FINAL DECISION AND ORDER
STEVEN A. ARNOLD, :
RESPONDENT. : **0004668**

Division of Legal Services and Compliance Case No. 15 ENG 057

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Steven A. Arnold
100 Pangborne Ct.
Winchester, VA 22602

The Professional Engineer Section of the Wisconsin Examining Board of Architects,
Landscape Architects, Professional Engineers, Designers and Professional Land
Surveyors
P.O. Box 8366
Madison, WI 53708-8366

Division of Legal Services and Compliance
Wisconsin Department of Safety and Professional Services
P.O. Box 7190
Madison WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Professional Land Surveyors (Section). The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Steven A. Arnold (DOB 07/19/1966) is registered by the State of Wisconsin as a Professional Engineer, having certificate of registration number 31811-6, first issued on November 8, 1996 and current through July 31, 2016. Respondent's most recent

address on file with the Department of Safety and Professional Services (Department) is 100 Pangborne Court, Winchester, Virginia 22602.

2. In 2014, the Department initiated an audit of registered Professional Engineer professional development/continuing education (P.D./C.E.) for the 2012-2014 biennial registration period (August 1, 2012 to July 31, 2014).

3. Pursuant to the Department audit, Respondent was found to be noncompliant with the P.D./C.E. requirements for registered Professional Engineers in the 2012-2014 biennial registration period, as Respondent only completed eight professional development hours and equivalent continuing education units (P.D.H./C.E.U.) during that time period.

4. Between September 12, 2014 and October 20, 2015, Respondent completed nine approved P.D.H./C.E.U., which were retroactively applied to Respondent's P.D./C.E. requirements for the 2012-2014 biennial registration period. The courses taken by Respondent were:

- a. National Highway Institute: "FHWA-NHI-134065A Risk Management Executive Summary" (6 hours).
- b. TMS: "2015 DOT Security Awareness Training" (1.5 hours).
- c. TMS: "Information Systems Security Awareness for DOT" (1.5 hours).

5. The Department sent Respondent requests to his address of record for information pertaining to his continuing education on January 13, 2016 and January 20, 2016. Respondent did not reply to these requests.

6. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Professional Land Surveyors has jurisdiction to act in this matter pursuant to Wis. Stat. § 443.11, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § A-E 13.03(1)(a) by failing to complete at least 30 hours of approved P.D.H./C.E.U., pertinent to the practice of professional engineering, within the 2012-2014 biennial registration period.

3. By the conduct described in the Findings of Fact, Respondent violated Wis. Admin. Code § A-E 8.08(3) by failing to respond in a timely manner to a request by the department for information in conjunction with an investigation of a complaint filed against him. There is a rebuttable presumption that a registrant who takes longer than 30 days to respond to a request for information has not acted in a timely manner.

4. As a result of the above violations, Respondent has engaged in misconduct in the practice of professional engineering as defined in Wis. Admin. Code § A-E 8.03(3)(a) and is subject to discipline pursuant to Wis. Stat. § 443.11(1)(d).

ORDER

1. The attached Stipulation is accepted.
2. Respondent Steven A. Arnold is REPRIMANDED
3. The Professional Engineer certificate of registration issued to Respondent Steven A. Arnold (certificate of registration number 31811-6) is LIMITED as follows:
 - a. Within 90 days of the date of this Order, Respondent shall complete 13 hours of approved P.D.H./C.E.U. in accordance with the requirements specified in Wis. Admin. Code § A-E 13.03.
 - b. Respondent shall submit proof that he has satisfied the ordered P.D.H./C.E.U. in the form of verification from the institution offering the P.D.H./C.E.U. to the Department Monitor at the address stated below.
 - c. The P.D.H./C.E.U. completed pursuant to this Order may not be used to satisfy any other registered Professional Engineer P.D./C.E. requirements with the Section.
 - d. This limitation shall be removed from Respondent's certification of registration and Respondent will be granted full, unrestricted registration after satisfying the Section or its designee that Respondent has successfully completed all of the ordered P.D.H./C.E.U.
4. Within 90 days from the date of this Order, Respondent shall pay COSTS of this matter in the amount of \$202.
5. Any P.D.H./C.E.U. listed above in Findings of Fact paragraph 4, that were completed and retroactively applied to Respondent's P.D./C.E. requirements for the 2012-2014 biennium, may not be used to satisfy any other registered Professional Engineer P.D./C.E. requirements with the Section.
6. Proof of successful completion of the P.D.H./C.E.U. ordered above and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

7. In the event Respondent fails to timely submit payment of the costs as ordered or fails to submit proof of successful completion of the ordered P.D.H./C.E.U. as set forth above, Respondent's certificate of registration (no. 31811-6) may, in the discretion of the Section, be SUSPENDED, without further notice or hearing, until Respondent has complied with payment of the costs and completion of the ordered P.D.H./C.E.U.

8. This Order is effective on the date of its signing.

THE PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN EXAMINING BOARD
OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS,
DESIGNERS AND PROFESSIONAL LAND SURVEYORS

by: 
A Member of the Section

4-24-14
Date

STATE OF WISCONSIN
BEFORE THE PROFESSIONAL ENGINEER SECTION OF THE WISCONSIN EXAMINING
BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS,
DESIGNERS, AND PROFESSIONAL LAND SURVEYORS

IN THE MATTER OF DISCIPLINARY :
PROCEEDINGS AGAINST :
 :
STEVEN A. ARNOLD, : STIPULATION
RESPONDENT. :
 : **0004668**

Division of Legal Services and Compliance Case No. 15 ENG 057

Respondent Steven A. Arnold and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.


4. Respondent agrees to the adoption of the attached Final Decision and Order by the Professional Engineer Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors (Section). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

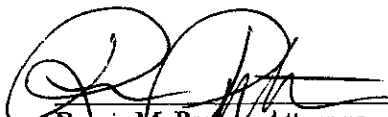
7. Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.



Steven A. Arnold, Respondent
100 Pangborne Ct.
Winchester, VA 22602
Registration no. 31811-6

4/12/16
Date



Renee M. Parson, Attorney
Division of Legal Services and Compliance
P.O. Box 7190
Madison, WI 53707-7190

4/15/2016
Date