# WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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## STATE OF WISCONSIN BEFORE THE DENTISTRY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

KRISTINE M. BLUMM, RESPONDENT.

0004577

Division of Legal Services and Compliance Case No. 15 DEN 054

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Kristine M. Blumm 9974 49<sup>th</sup> Ave. Pleasant Prairie, WI 53158

Wisconsin Dentistry Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Dentistry Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

#### FINDINGS OF FACT

- 1. Respondent Kristine M. Blumm (dob November 3, 1987) is licensed in the state of Wisconsin to practice dental hygiene, having license number 10932-16, first issued on January 13, 2011 and current through September 30, 2017. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is 9974 49<sup>th</sup> Avenue, Pleasant Prairie, Wisconsin 53158.
- 2. Prior to July 2013, Respondent was employed as a caretaker for D.M., which included handling D.M.'s finances.

- 3. In July 2013, Respondent ended her employment with D.M. and decided to pursue a career as a dental hygienist.
- 4. On November 26, 2014, D.M. received a phone call from her personal banker informing her that her account had been overdrawn.
- 5. D.M. learned multiple transfers were taken out of her account and put towards the balance of an auto loan in Respondent's name.
- 6. On December 4, 2014, Respondent admitted to law enforcement that she took a total of \$13,328.36 from D.M.'s account without D.M.'s knowledge or permission.
- 7. Respondent received a check from D.M. at the end of her employment, copied down the routing number and account information, and used this information to make payments on her vehicle from July 2014 to November 2014.
- 8. On June 22, 2015, in Racine County Circuit Court case number 2014CF1684, Respondent was convicted of Misappropriate ID Information Obtain Money in violation of Wis. Stat. § 943.201(2)(a), a felony. Respondent was sentenced to two (2) years of probation and ninety (90) days in jail.
  - 9. Respondent made a timely self-report of this conviction.
- 10. As of December 21, 2015, D.M. is not aware of any amounts of money that were taken by Respondent that were not reimbursed to D.M.
- 11. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

### **CONCLUSIONS OF LAW**

- 1. The Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 447.07, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Kristine M. Blumm engaged in unprofessional conduct as defined by Wis. Admin. Code § DE 5.02(15), by violating any law or being convicted of a crime the circumstances of which substantially relate to the practice of a dentist or dental hygienist.
- 3. As a result of the above violations, Kristine M. Blumm is subject to discipline pursuant to Wis. Stat. § 447.07(3)(a), (e), and (f).

#### ORDER

- 1. The attached Stipulation is accepted.
- 2. Respondent Kristine M. Blumm is REPRIMANDED.

- 3. The license to practice dentistry issued to Kristine M. Blumm (license number 10932-16) is LIMITED as follows:
  - a. Within ninety (90) days from the date of this Order, Respondent shall successfully complete six (6) hours of education on the topic of ethics offered by a provider pre-approved by the Board's monitoring liaison, including taking and passing any exam offered for the courses.
  - b. All education completed pursuant to this Order shall be taken in-person. Education, such as webinar, online, or self-study shall not be approved.
  - c. Respondent shall submit proof of successful completion of the ordered education in the form of verification from the institution providing the education to the Department Monitor at the address stated below.
  - d. None of the education completed pursuant to this requirement may be used to satisfy any continuing education requirements that have been or may be instituted by the Board or Department, and also may not be used in future attempts to upgrade a credential in Wisconsin.
  - e. This limitation shall be removed from Respondent's license after satisfying the Board or its designee that Respondent has successfully completed all of the ordered education.
- 4. The license to practice dentistry issued to Kristine M. Blumm (license number 10932-16) is further LIMITED as follows:
  - a. Respondent shall provide her dentistry employer with a copy of this Order before engaging or continuing to engage in any dental hygiene employment.
  - b. For a period of at least two (2) years while working at least half-time as a dental hygienist, Respondent shall work only under the direct supervision of a dentist.
  - c. For a period of at least two (2) years while working at least half-time as a dental hygienist, Respondent shall arrange for her direct supervisor, a dentist, to send to the Department Monitor quarterly reports, reporting terms and conditions of Respondent's employment and evaluating her work performance. Respondent is responsible for the timely submission of quarterly reports.
  - d. Respondent shall notify the Department Monitor of any change of dentistry employment during the time in which this Order is in effect. Notification shall occur within fifteen (15) days of a change of employment and shall include an explanation of the reasons for the change.

- e. Respondent may petition the Board for modification or termination of the limitations contained within paragraph four (4) after completion of two (2) years of practice in compliance with all terms and conditions of this Order. "Practice in compliance" includes the submission of work reports, the content of which are satisfactory to the Board. Whether to grant or deny the petition is in the discretion of the Board.
- 5. Respondent shall comply with all terms and conditions of her probation, resulting from her felony conviction, and shall notify the Department Monitor within ten (10) days of any change in the terms or conditions of her probation.
- 6. Within ninety (90) days from the date of this Order, Kristine M. Blumm shall pay COSTS of this matter in the amount of \$380.00.
- 7. Any reports, requests, or petitions, request for approval of courses, proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264

<u>DSPSMonitoring@wisconsin.gov</u>

- 8. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. In the event Respondent violates any term of this Order, Respondent's license (license no. 10932-16) may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of the Order. The Board may, in addition and/or in the alternative, refer any violation of this Order to the Division of Legal Services and Compliance for further investigation and action.
  - 9. This Order is effective on the date of its signing.

WISCONSIN DENTISTRY EXAMINING BOARD

	Clau	3/2/16
by:	A Member of the Board	Date

## STATE OF WISCONSIN BEFORE THE DENTISTRY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

**PROCEEDINGS AGAINST** 

**STIPULATION** 

KRISTINE M. BLUMM, RESPONDENT.

0004577

Division of Legal Services and Compliance Case No. 15 DEN 054

Respondent Kristine M. Blumm and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
  - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
  - the right to confront and cross-examine the witnesses against Respondent;
  - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
  - the right to testify on Respondent's own behalf;
  - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision:
  - · the right to petition for rehearing; and
  - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Thomas Devine.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Dentistry Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

KERS	1-12-16
Kristine M. Blumm, Respondent	Date
9974 49 <sup>th</sup> Ave.	
Pleasant Prairie, WI 53158	
License no. 10932-16	
	1-14-16
Thomas Devine, Attorney for Respondent	Date
Hostak Henrik & Bichler SC Davine Hahn, SC	
840 Lake Ave. #300	
Racine, WI 53403	
Suther Mrszenh.	1-20-16
Gretchen Mrozinski, Attorney	Date
Department of Safety and Professional Services	

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190