

## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



### Wisconsin Department of Safety and Professional Services Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Safety and Professional Services website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

#### Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Safety and Professional Services from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the Department of Safety and Professional Services data base. Because this data base changes constantly, the Department is not responsible for subsequent entries that update, correct or delete data. The Department is not responsible for notifying prior requesters of updates, modifications, corrections or deletions. All users have the responsibility to determine whether information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Safety and Professional Services, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. *All requests must cite the case number, the date of the order, and respondent's name* as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the appeal. Information about the current status of a credential issued by the Department of Safety and Professional Services is shown on the Department's Web Site under "License Lookup."

The status of an appeal may be found on court access websites at:

<http://ccap.courts.state.wi.us/InternetCourtAccess> and <http://www.courts.state.wi.us/wscqa>

- Records not open to public inspection by statute are not contained on this website.

**By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.**

**Correcting information on the DSPS website:** An individual who believes that information on the website is inaccurate may contact [DSPS@wisconsin.gov](mailto:DSPS@wisconsin.gov)

STATE OF WISCONSIN  
BEFORE THE MEDICAL EXAMINING BOARD

---

IN THE MATTER OF DISCIPLINARY :  
PROCEEDINGS AGAINST :  
 : FINAL DECISION AND ORDER  
TROY D. SCHROCK, D.O., :  
RESPONDENT. :

**0004247**

---

Division of Legal Services and Compliance Case Numbers  
15 MED 150 and 15 MED 151

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Troy D. Schrock, D.O.  
102 E. 2<sup>nd</sup> Street  
Unity, WI 54488

Wisconsin Medical Examining Board  
P.O. Box 8366  
Madison, WI 53708-8366

Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190  
Madison, WI 53707-7190

The parties in these matters agree to the terms and conditions of the attached Stipulation as the final disposition of these matters, subject to the approval of the Medical Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in these matters adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Troy D. Schrock, D.O. (DOB March 25, 1976), is licensed in the state of Wisconsin to practice medicine and surgery, having license number 49460-21, first issued on July 10, 2006, with registration current through February 28, 2018. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is 102 E. 2nd Street, Unity, Wisconsin 54488.

2. Respondent practices family medicine.

3. At all times pertinent to these matters, Respondent was self-employed as a solo-practitioner at a clinic, located in Unity, Wisconsin (the Clinic).
4. On June 16, 2015, investigations were opened concerning the deaths of three patients.
5. On September 16, 2015, pursuant to a stipulated agreement between the parties, the Board issued an Interim Order (Order #0004247-00011792) limiting Respondent's license to practice medicine and surgery during the pendency of these matters.
6. Interim Order number 0004247-00011792 remains in effect.
7. The agreement herein supersedes and nullifies the Interim Order.

15 MED 150

8. On May 8, 2010, the parents of Patient A (a male born in 2008) contacted Respondent to request an appointment for Patient A for a reported liquid burn injury. Within hours of the telephone conversation, the parents transported Patient A to the Clinic.
9. Respondent's physical exam of Patient A revealed second degree burns over approximately two-thirds of Patient A's chest on the left side and one-third of his back, and more significant burns over an area just medial to the left armpit.
10. Respondent's charting for the visit states in pertinent part: "[e]xplained to them that this is a significant burn and does need attention. They opted to treat at home. I did show them the techniques from John Kelm and B&W salve. Mother makes some ointments which are similar and elected to use her own ointment. We discussed pain control and making sure he keeps up with fluid intake." Respondent administered a shot of morphine<sup>1</sup> to Patient A.
11. Respondent scheduled a follow-up visit with Patient A for May 10, 2010, but on May 9, Patient A's parent telephoned Respondent to report that Patient A was in significant pain. Respondent issued a prescription for Tylenol<sup>®</sup> with codeine.<sup>2</sup> Respondent did not direct Patient A's parents to seek emergency medical treatment, nor did he request that Patient A be brought to the Clinic for further evaluation.
12. On May 10, 2010, Patient A presented to Respondent, who charted the plan was to "continue with the herbal remedies the parents are concocting" and to keep a close eye on the patient until "we start seeing good skin healing." A follow up appointment was scheduled for May 11, 2010.
13. Patient A presented to the Clinic on May 11, 2010 as scheduled. Respondent concluded the burn injuries were not improving, and given his limited experience with burns,

---

<sup>1</sup> Morphine is a Schedule II Controlled Substance per Wis. Stat. § 961.16(2)(a)10

<sup>2</sup> Tylenol<sup>®</sup> with Codeine is a Schedule III Controlled Substance per Wis. Stat. § 961.18 (5)(b).

Respondent sought another opinion. Respondent consulted a physician in the burn center at a hospital in St. Paul, Minnesota, who requested to see the patient the following day.

14. At approximately 8:00 a.m. on May 12, 2010, Respondent was notified that Patient A had died during the night.

15. Patient A's cause of death was listed as complications of liquid burn, thermal injury, and liquid thermal injury, and was attributed to a combined process of infection and a toxicologic process.

16. Patient A's anatomic diagnosis upon autopsy included second-degree burn over approximately 17% of his body; bilateral acute to subacute pneumonitis; increased sinusoidal neutrophils of liver; and elevated codeine levels.

#### 15 MED 151

17. In 2013, Respondent began providing prenatal care to Patient B (a female born in 1989) who was pregnant with her first child.

18. Patient B delivered at about 38 weeks gestation. The fetus was in breech position, which was corrected. Other than experiencing extra bleeding, Patient B delivered a nine-pound male without further complication.

19. On or about October 9, 2014, Respondent began providing prenatal care to Patient B for her second pregnancy, with an expected due date of May 4, 2015.

20. On or about February 10, 2015, at 28 weeks gestation, Patient B's glucose level was elevated. In light of the large size of Patient B's first child and Respondent's expectation that the second child would be as large, Respondent scheduled Patient B for an elective induction of labor for April 28, 2015.

21. Patient B presented for the April 28, 2015 induction, which began at approximately 10:30 a.m. At approximately 1:30 p.m. Patient B had dilated to 3 cm and Respondent ruptured Patient B's membranes. Thereafter, labor progressed and at approximately 2:00 p.m., Patient B requested medication for pain.

22. Respondent instructed his nurse to administer fentanyl<sup>3</sup> intravenously. Thereafter, Patient B became nauseated, lost consciousness, and stopped breathing. After approximately 30-60 seconds, Patient B turned dusky bluish in color.

23. Respondent inserted a nasal cannula with high flow oxygen, but Patient B failed to respond. Respondent left the room to instruct staff to call 911.

24. When Respondent returned to the room, he administered naloxone to Patient B, and he and his staff began artificial ventilation with a bag valve mask and high flow oxygen.

---

<sup>3</sup> Fentanyl is a Schedule II Controlled Substance per Wis. Stat. § 961.16(3)(f)

25. At varying intervals throughout Patient B's labor and delivery, Respondent's staff monitored Patient B's unborn child's heart tones and noted the child's heart tones were slowly dropping.

26. An emergency medical services team (EMS) arrived at the Clinic at approximately 2:15 p.m. Upon entering Patient B's room, they witnessed Respondent's nurse ventilating Patient B with a bag valve mask on high flow oxygen. There was no oropharyngeal airway in place, no one was performing chest compressions, and Patient B was pulseless. Respondent was unable to inform EMS of the amount of fentanyl or naloxone administered to Patient B.

27. Emergency medical services personnel could not revive Patient B utilizing an AED machine or by performing chest compressions.

28. At approximately 2:30 p.m., fire and rescue personnel arrived at the clinic and assumed care of Patient B.

29. Fire and rescue personnel immediately intubated Patient B, started an IV, and continued chest compressions. Patient B was subsequently transported to a hospital emergency room where she arrived pulseless and non-breathing.

30. Patient B expired. An emergency post-mortem cesarean section was performed on Patient B and a nine-pound male infant was delivered. The infant was not breathing or moving upon delivery, and despite resuscitation efforts, the infant expired.

31. Patient B's cause of death was a catastrophic and lethal amniotic fluid embolism associated with childbirth. Toxicologic testing results were noncontributory to Patient B's cause of death. Michael Stier, MD, Staff Pathologist provided the following interpretative statement:

*"The decedent's cause of death is attributed to amniotic fluid embolism experienced during childbirth. This process is relatively rare occurring in approximately 1 out of 50,000 pregnancies although has a reported mortality in excess of 80%. This reportedly accounts for approximately 10% of all maternal deaths. An associated or contributing additional visceral or toxicologic disease is not identified."*

32. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

#### CONCLUSIONS OF LAW

1. The Wisconsin Medical Examining Board has jurisdiction to act in these matters pursuant to Wis. Stat. § 448.02(3), and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact concerning Patient A, Respondent Troy D. Schrock, D.O., engaged in unprofessional conduct as defined by Wis. Admin. Code § Med 10.02(2)(h)<sup>4</sup> by engaging in any practice or conduct which tends to constitute a danger to the health, welfare or safety of patient or public.

3. By the conduct described in the Findings of Fact concerning Patient A, Respondent Troy D. Schrock, D.O., engaged in unprofessional conduct as defined by Wis. Admin. Code § Med 10.02(2)(i) and 10.03(2)(a) by practicing under any license when unable to do so with reasonable skill and safety to patients.

4. By the conduct described in the Findings of Fact concerning Patient B, Respondent Troy D. Schrock, D.O., engaged in unprofessional conduct as defined by Wis. Admin. Code § Med 10.03(2)(b) by departing from or failing to conform to the standard of minimally competent medical practice which creates an unreasonable risk of harm to a patient or the public.

5. By the conduct described in the Findings of Fact concerning Patient B, Respondent Troy D. Schrock, D.O., engaged in unprofessional conduct as defined by Wis. Admin. Code § Med 10.03(2)(c) by prescribing, ordering, dispensing, administering, supplying, selling, giving, or obtaining any prescription medication in any manner that is inconsistent with the standard of minimal conduct.

6. As a result of the above conduct, Troy D. Schrock, D.O., is subject to discipline pursuant to Wis. Stat. § 448.02(3).

#### ORDER

1. The attached Stipulation is accepted.
2. Respondent Troy D. Schrock, D.O., is REPRIMANDED.
3. The medicine and surgery license issued to Troy D. Schrock, D.O. (license number 49460-21), is LIMITED as follows:
  - a. Respondent shall, within ninety (90) days of the date of this Order, successfully complete Advance Trauma Life Support (ATLS) training.
  - b. This limitation shall be removed from Respondent's license after satisfying the Board or its designee that Respondent has successfully fulfilled this requirement and is ATLS certified.
  - c. Within ninety (90) days of the date of this Order, Respondent shall successfully complete a course of at least four (4) hours of continuing medical education on the topic of safe and appropriate opioid prescribing.

---

<sup>4</sup> All references to the Wisconsin Administrative Code are to the applicable provisions of the Code in effect at the time of the alleged conduct.

- d. Respondent shall be responsible for obtaining the training and course(s) required under this Order, for providing adequate course descriptions to the Department Monitor, and for obtaining pre-approval of the course(s) from the Wisconsin Medical Examining Board, or its designee, prior to commencement of the course(s). The Board or its designee may reject any course and may accept a course for less than the number of hours for which Respondent seeks approval.
- e. Within thirty (30) days of completion of each training and educational component, Respondent shall file an affidavit with the Department Monitor stating under oath that he has attended, in its entirety, the training and course(s) approved for satisfaction of these requirements along with supporting documentation of attendance from the sponsoring organizations.
- f. Respondent is responsible for all costs associated with compliance with the training and educational requirements.
- g. None of the training or education completed pursuant to this requirement may be used to satisfy any other continuing education requirements that have been or may be instituted by the Board or Department.

4. The medicine and surgery license issued to Troy D. Schrock, D.O. (license number 49460-21), is LIMITED as follows:

- a. Respondent's practice of obstetrics is limited to the provision of care and treatment to patients in their first trimester of pregnancy. Respondent may provide care and treatment for the management of miscarriages in patients during the first trimester of pregnancy. Respondent shall not assume care for, or provide obstetrics treatment to, any patient beyond the first trimester of pregnancy, and Respondent is specifically prohibited from being involved in the labor and delivery of any obstetrics patient.
- b. Notwithstanding the above, Respondent may provide care and treatment for the management of miscarriages in patients beyond the first trimester of pregnancy in emergent situations only. Respondent shall refer all other such patients to another qualified healthcare provider.

5. Within six (6) months from the date of this Order, Troy D. Schrock, D.O., shall pay COSTS of these matters in the amount of \$2,671.00.

6. Proof of successful training, course completion, and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor

Final Decision and Order  
In the matter of disciplinary proceedings against  
Troy D. Schrock, D.O., Case Numbers 15 MED 150 and 15 MED 151

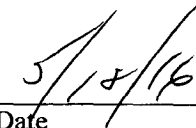
Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190, Madison, WI 53707-7190  
Telephone (608) 267-3817; Fax (608) 266-2264  
DSPSMonitoring@wisconsin.gov

7. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of costs as ordered or fails to submit proof of successful completion of the ordered training and education as set forth above, Respondent's license (no. 49460-21) may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with payment of the costs and completion of the training and education.

8. This Order is effective on the date of its signing.

WISCONSIN MEDICAL EXAMINING BOARD

by:   
A Member of the Board

  
Date



STATE OF WISCONSIN  
BEFORE THE MEDICAL EXAMINING BOARD

---

IN THE MATTER OF DISCIPLINARY	:	
PROCEEDINGS AGAINST	:	
	:	STIPULATION
TROY D. SCHROCK, D.O.,	:	
RESPONDENT.	:	0004247

---

Division of Legal Services and Compliance Case Numbers  
. 15 MED 150 and 15 MED 151

Respondent Troy D. Schrock, D.O., and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of pending investigations by the Division of Legal Services and Compliance. Respondent consents to the resolution of these investigations by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and is represented by Attorney Erik H. Monson.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Medical Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

15MED150/151 001


Stipulation  
in the matter of disciplinary proceedings against  
Troy D. Schrock, D.O., Case numbers 15 MED 150 and 15 MED 151

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and these matters shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

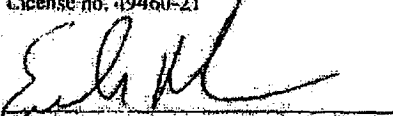
6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent or Respondent's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

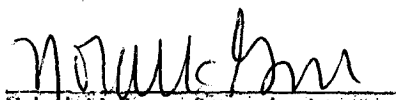
8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

  
Troy D. Schrock, D.O., Respondent  
102 E. 2<sup>nd</sup> Street  
Unity, WI 54488  
License no. 49460-21

5-11-2016  
Date:

  
Erik H. Manson, Attorney for Respondent  
Coyle, Schuliz, Becker & Bauer, S.C.  
130 E. Gilman Street, Suite 1000  
Madison, WI 53703

5-11-2016  
Date:

  
Yolanda McGowan, Prosecuting Attorney  
Division of Legal Services and Compliance  
P.O. Box 7190  
Madison, WI 53707-7190

5.12.16  
Date: