# WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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# STATE OF WISCONSIN BEFORE THE COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

ANNIE K. TRAN, RESPONDENT.

0004377

Division of Legal Services and Compliance Case No. 14 BAC 045

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Annie K. Tran 601 Ryan Street, Unit G Pewaukee, WI 53072

Wisconsin Cosmetology Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Cosmetology Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law, and Order.

#### FINDINGS OF FACT

- 1. Respondent Annie K. Tran (dob 8/5/1970) is licensed in the State of Wisconsin as a manicurist, having license number 3250-85, first issued on January 30, 1998, and current through March 31, 2017. This license was expired from April 1, 2011 through June 25, 2014. Annie K. Tran's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is 601 Ryan Street, Unit G, Pewaukee, Wisconsin 53072.
- 2. On June 2, 2003, the Board ordered Respondent to pay a forfeiture of \$400.00 for failing to change disinfectant daily (Wis. Admin. Code §§ BC 2.06 and BC 2.10(1)(b)); for not having poisonous substances stored in a locked cabinet or closet (Wis. Admin. Code § BC 3.01(6)); for reusing disposable manicuring equipment (Wis. Admin. Code § BC 4.02(4)); for

using a common powder bowl on multiple clients (Wis. Admin. Code § BC 4.02(4)); and for not having a protocol describing the procedure for exposure to bodily fluids posted (Wis. Admin. Code § BC 4.05(2)).

- 3. On February 2, 2004, the Board ordered Respondent to pay a forfeiture of \$3,450.00 for authorizing employees to provide services to clients without valid or current Wisconsin manicuring licenses (Wis. Admin. Code § BC 2.04(1)); for failing to dispense all liquids, creams, powders and semi-solids substances from a container which prevents contamination of the unused portion (Wis. Admin. Code § BC 4.02(5)); for reusing disposable manicuring equipment (Wis. Admin. Code § BC 4.02(4)); and for failing to properly sanitize pedicure bowls (Wis. Admin. Code § BC 4.01(1)).
- 4. Respondent is identified in Department records as the owner of Nail N Tan salon, a manicuring establishment having license number 2846-71, first issued on January 26, 2006, and current through March 31, 2017. This license was expired from April 1, 2009 through June 25, 2014. Nail N Tan's most recent address on file with the Department is 601 Ryan Street, Unit G, Pewaukee, Wisconsin 53072.
- 5. On June 24, 2014, an inspection of Nail N Tan was performed by a Department investigator. During the inspection the investigator found the following:
  - a. Respondent practicing manicuring without a valid manicuring license;
  - b. Respondent practicing manicuring outside the confines of a licensed establishment;
  - c. The establishment and the equipment were not maintained in a clean, sanitary, and safe condition;
  - d. The disinfectant used for decontamination was not kept in a covered container; and
  - e. Respondent used nail products formulated with methyl methacrylate monomer, commonly referred to as MMA in liquid form.
- 6. On August 24, 2015, Nail N Tan changed ownership and name. The Department moved Nail N Tan's manicuring establishment license into inactive status.
- 7. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

#### CONCLUSIONS OF LAW

1. The Wisconsin Cosmetology Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 454.15, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

- 2. By the conduct described in the Findings of Fact, Annie K. Tran violated Wis. Stat. § 454.04(1)(d) and Wis. Admin. Code § Cos 2.04(1) by engaging in manicuring without a current manicurist license.
- 3. By the conduct described in the Findings of Fact, Annie K. Tran violated Wis. Stat. § 454.08(1)(b) and Wis. Admin. Code §§ Cos 3.01(1) and Cos 2.045(1) by practicing manicuring outside the confines of a licensed establishment.
- 4. By the conduct described in the Findings of Fact, Annie K. Tran violated Wis. Admin. Code § Cos 4.01(1) by failing to maintain an establishment and the equipment, tools and implements used by licensees for services in the establishment, in a clean, sanitary and safe condition.
- 5. By the conduct described in the Findings of Fact, Annie K. Tran violated Wis. Admin. Code § Cos 4.10(2) by failing to keep disinfectant used for decontamination in a covered container.
- 6. By the conduct described in the Findings of Fact, Annie K. Tran violated Wis. Admin. Code § Cos 2.03(9) by using nail product formulated with MMA as one of its ingredients.
- 7. As a result of the above violations, Annie K. Tran is subject to discipline pursuant to Wis. Stat. § 454.15(2)(i).

#### **ORDER**

- 1. The attached Stipulation is accepted.
- 2. Respondent Annie K. Tran's manicurist license no. 3250-85 is hereby SUSPENDED for a period of 10 working days, beginning 10 days after the date of this Order.
- 3. Respondent Annie K. Tran shall pay a FORFEITURE in the amount of \$3,350 pursuant to the following schedule:

Payment Date	Amount
February 1, 2016	\$300
May 1, 2016	\$300
August 1, 2016	\$300
November 1, 2016	\$300
February 1, 2017	\$300
May 1, 2017	\$300
August 1, 2017	\$300
November 1, 2017	\$300
February 1, 2018	\$300
May 1, 2018	\$300
August 1, 2018	\$350

Payment of forfeiture shall be made payable to the Wisconsin Department of Safety and Professional Services and sent by Respondent to the Department Monitor at the address below:

> Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 267-3817; Fax (608) 266-2264 DSPSMonitoring@wisconsin.gov

- Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of the forfeiture as ordered, Respondent's license (no. 3250-85) may, in the discretion of the Board or its designee, be further SUSPENDED, without other notice or hearing, until Respondent has complied with payment of the forfeiture.
  - 6. This Order is effective on the date of its signing.

WISCONSIN COSMETOLOGY EXAMINING BOARD

by: Vicia d'Merlado, 11/16/15

A Member of the Board Date

## STATE OF WISCONSIN BEFORE THE COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

ANNIE K. TRAN, RESPONDENT.

0004377

Division of Legal Services and Compliance Case No. 14 BAC 045

Respondent Annie K. Tran and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
  - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
  - the right to confront and cross-examine the witnesses against Respondent;
  - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
  - the right to testify on Respondent's own behalf;
  - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
  - the right to petition for rehearing; and
  - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Cosmetology Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division

of Legal Services and Compliance for further proceedings. In the event that the Supulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced in biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and unswering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Annie K. Tran, Respondent

601 Ryan Street, Unit G

Pewaukee, WI 53072 Ligense no. 3250-85

Renee M. Parton, Attorney

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190

\_\_\_\_\_// Date

11/11/15

Date