

## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN  
BEFORE THE ARCHITECT SECTION OF THE EXAMINING BOARD  
OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL  
ENGINEERS, DESIGNERS AND LAND SURVEYORS

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IN THE MATTER OF DISCIPLINARY :  
PROCEEDINGS AGAINST :  
: FINAL DECISION AND ORDER  
RODERICK M. TAYLOR, :  
RESPONDENT. : **0003932**

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Division of Legal Services and Compliance Case No. 14 ARC 002

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Roderick M. Taylor  
3125 South California Street  
Milwaukee, WI 53207

Wisconsin Examining Board of Architects, Landscape Architects,  
Professional Engineers, Designers and Land Surveyors  
Architect Section  
P.O. Box 8366  
Madison, WI 53708-8366

Division of Legal Services and Compliance  
Department of Safety and Professional Services  
P.O. Box 7190  
Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Land Surveyors (Section). The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Roderick M. Taylor (dob December 22, 1954) is licensed in the State of Wisconsin as an Architect, having license number 7511-5, first issued on December 10, 1992 and current through July 31, 2014. Roderick M. Taylor's most recent address on file with the

Wisconsin Department of Safety and Professional Services (Department) is 3125 South California Street, Milwaukee, WI 53207.

2. Between September 2004 and September 2005, Respondent, while providing architectural services, executed one or more verification letters that were submitted to State Financial Bank in support of payment applications for construction funds. Respondent falsely stated he had field viewed the construction project, reviewed the payment applications and agreed with the completion percentage represented on the applications.

3. On or about May 9, 2013, Respondent was convicted by guilty plea of felony Conspiracy to Commit Bank Fraud. Respondent was sentenced to three years of probation, the terms of which include, among other things, mandates for restitution and prohibitions against asset conveyances and the holding of employment involving fiduciary duties.

4. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

#### CONCLUSIONS OF LAW

1. The Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects, Professional Engineers, Designers and Land Surveyors has jurisdiction to act in this matter pursuant to Wis. Stat. § 443.11, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Roderick M. Taylor committed gross negligence in the practice of architecture as defined in Wis. Admin. Code § A-E 8.03(1) by performing professional services which did not comply with an acceptable standard of practice that had a significant relationship to the protection of health, safety or public welfare and was performed in a manner indicating he knew or should have known, but acted with indifference to or disregard of, the accepted standard of practice.

3. By the conduct described in the Findings of Fact, Roderick M. Taylor violated Wis. Admin. Code § A-E 8.09(1) by failing to comply with laws relating to the practice of architecture.

4. By the conduct described in the Findings of Fact, Roderick M. Taylor violated Wis. Admin. Code § A-E 8.09(2) by engaging in conduct that may adversely affect his fitness to practice architecture.

5. By the conduct described in the Findings of Fact, Roderick M. Taylor violated Wis. Admin. Code § A-E 8.06(1) by failing to use reasonable care and competence in providing professional services.

6. By the conduct described in the Findings of Fact, Roderick M. Taylor violated Wis. Admin. Code § A-E 8.04(2) by failing to represent the costs and completion times of a proposed project to a client or prospective client as accurately and truthfully as is reasonably possible.

7. As a result of the above violations, Roderick M. Taylor is subject to discipline pursuant to Wis. Stat. § 443.11(1)(d), (e) and (f).

ORDER

1. The attached Stipulation is accepted.
2. The VOLUNTARY SURRENDER of the Architect license granted to Roderick M. Taylor (number 7511-5) is hereby ACCEPTED.
3. Respondent shall not re-apply for licensure for a period of five (5) years following the date of this Order.
4. Should Respondent re-apply for licensure:
  1. Respondent shall pay the COSTS of this matter in the amount of \$300, before any such application may be considered.
  2. Respondent shall submit a new application for licensure and meet all requirements for licensure or certification existing at the time of the application.
  3. The Section may determine whether and under what terms and conditions such licensure may be granted.
5. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Section in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order.
6. This Order is effective on the date of its signing.

WISCONSIN ARCHITECT SECTION OF THE EXAMINING BOARD OF ARCHITECTS,  
LANDSCAPE ARCHITECTS, PROFESSIONAL ENGINEERS, DESIGNERS AND LAND  
SURVEYORS

by: Lawrence Schnuck  
A Member of the Board

4/15/15  
Date

STATE OF WISCONSIN  
BEFORE THE ARCHITECT SECTION OF THE EXAMINING BOARD  
OF ARCHITECTS, LANDSCAPE ARCHITECTS, PROFESSIONAL  
ENGINEERS, DESIGNERS AND LAND SURVEYORS

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IN THE MATTER OF DISCIPLINARY  
PROCEEDINGS AGAINST

RODERICK M. TAYLOR,  
RESPONDENT.

:  
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:  
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:

STIPULATION

**0003932**

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Division of Legal Services and Compliance Case No. 14 ARC 002

Respondent Roderick M. Taylor and the Division of Legal Services and Compliance,  
Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the  
Division of Legal Services and Compliance. Respondent consents to the resolution of this  
investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily  
and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has  
the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by  
subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral  
arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution,  
the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code,  
and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has  
been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent  
consulted about this matter with Attorney Christopher D. Donovan.

4. Respondent agrees to the adoption of the attached Final Decision and Order by  
the Architect Section of the Wisconsin Examining Board of Architects, Landscape Architects,  
Professional Engineers, Designers and Land Surveyors (Section). The parties to the Stipulation  
consent to the entry of the attached Final Decision and Order without further notice, pleading,

appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

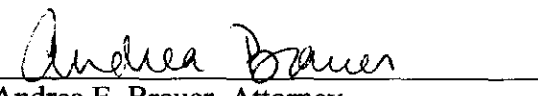
6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

7. Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.

  
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Roderick M. Taylor, Respondent  
3125 South California Street  
Milwaukee, WI 53207  
License no. 7511-5

11-28-14  
Date

  
\_\_\_\_\_  
Andrea E. Brauer, Attorney  
Division of Legal Services and Compliance  
P.O. Box 7190  
Madison, WI 53707-7190

12/3/14  
Date