WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN

BEFORE THE SOCIAL WORKER SECTION OF THE MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING, AND SOCIAL WORK EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST	:	FINAL DECISION AND ORDER
KATHLEEN E. ROSS, RESPONDENT.	:	0003826
		0002020

Division of Legal Services and Compliance Case No. 14 SOC 212

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Kathleen E. Ross 6320 Wentzel Rd Winneconne, WI 54986

Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board (Section). The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Kathleen E. Ross, (DOB March 15, 1955) is licensed in the state of Wisconsin to practice social work, having license number 7181-123, first granted on February 2, 2005, and current through February 28, 2015. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is 6320 Wentzel Road, Winneconne, Wisconsin 54986.

2. In 2013, the Department conducted an audit of social workers to determine their compliance with the continuing education requirements for the 2011-2013 biennium.

3. Respondent's name was randomly selected as a subject of the audit.

4. Respondent failed to demonstrate compliance with the social work continuing education requirements for the 2011-2013 biennium as follows:

- a. Respondent submitted evidence that she had completed 47.75 credits of continuing education;
- b. 25 of the 47.75 credits were during the 2011-2013 biennium; 22.75 credits were after the biennium;
- c. All of the credits submitted by the Respondent were self-study; only 10 can be counted in a single biennium and ethics and boundaries cannot be completed through self-study.
- d. Only 10 credits were accepted for the 2011-2013 biennium.

5. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 457.26, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent, Kathleen E. Ross, violated Wis. Admin. Code § MPSW 8.02(1), by failing to complete thirty (30) continuing education hours in courses and programs for social workers..

3. In violating Wis. Admin. Code § MPSW 8.02(1), Respondent, Kathleen E. Ross, has engaged in unprofessional conduct as defined by Wis. Admin. Code § MPSW 20.02(2).

4. By the conduct described in the Findings of Fact, Respondent, Kathleen E. Ross, violated Wis. Admin. Code § MPSW 8.02(1)(b), by failing to complete four (4) continuing education hours in the subject area of social work ethics and boundaries.

5. In violating Wis. Admin. Code § 8.02(1)(b), Respondent, Kathleen E. Ross has engaged in unprofessional conduct as defined by Wis. Admin. Code § MPSW 20.02(2).

6. As a result of the above violations, Kathleen E. Ross, is subject to discipline pursuant to Wis. Stat. \S 457.26(2)(a) and (h).

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<u>ORDER</u>

1. The attached Stipulation is accepted.

2. Respondent, Kathleen E. Ross, license no. 7181-123, is hereby REPRIMANDED.

3. Courses taken by the Respondent on March 14-15, 2013 and May 4, 2013 were retroactively applied to the 2011-2013 biennium and may not be used to fulfill continuing education requirements for the 2013-2015 biennium.

4. The license to practice social work in the state of Wisconsin of Respondent, Kathleen E. Ross, is hereby LIMITED as follows:

- a. Within ninety (90) days of the date of this Order Respondent shall complete four (4) hours of required continuing education which shall be on the topic of social work ethics and boundaries. The hours may not be earned through self-study.
- b. Respondent shall be responsible for obtaining the course(s) required under this Order, for providing adequate course(s) descriptions to the Department Monitor, and for obtaining preapproval of the course(s) from the Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board, or it designee, prior to commencement of the course(s).
- c. The Section or its designee may reject any course(s) and may accept a course(s) for less than the number of hours for which Respondent seeks approval. Any decision under this subsection is not reviewable.
- d. Within thirty (30) days of completion of each educational component, Respondent shall file an affidavit with the Department Monitor stating under oath that she has attended, in its entirety, the course(s) approved for satisfaction of this requirement along with supporting documentation of attendance from the sponsoring organization(s).
- e. Respondent is responsible for all costs associated with compliance with this educational requirement.
- f. The continuing education completed pursuant to this requirement will be used to satisfy only the continuing education required for the 2011-2013 biennium. None of the education completed pursuant to this requirement may be used to satisfy any other continuing education requirements that have been or may be instituted by the Section or Department.

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- g. Satisfaction of this limitation does not relieve Respondent of the obligation to complete continuing education in the current biennium.
- h. Respondent is on notice that the Department may audit her compliance with continuing education requirements in the next biennium.
- i. This limitation shall be removed upon Respondent demonstrating to the Section or its designee that Respondent has successfully completed continuing education requirements for the 2011-2013 biennium.

5. Within ninety (90) days from the date of this Order, Respondent Kathleen E. Ross, shall pay COSTS of this matter in the amount of \$65.00.

6. Request for approval of courses, proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 267-3817; Fax (608) 266-2264 DSPSMonitoring@wisconsin.gov

7. Violation of any of the terms of this Order, including failure to complete continuing education or to pay costs as ordered may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Section in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of costs as ordered or fails to submit proof of successful completion of the continuing education as set forth above, Respondent's license (no. 7181-123) may, in the discretion of the Section or its designee, be SUSPENDED without further notice or hearing, until Respondent has complied with payment of the costs and completion of the continuing education.

8. This Order is effective on the date of its signing.

SOCIAL WORKER SECTION OF THE WISCONSIN MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING, AND SOCIAL WORK EXAMINING BOARD

by:

<u>3 - 18 - 15</u> Date

STATE OF WISCONSIN BEFORE THE SOCIAL WORKER SECTION OF THE MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING, AND SOCIAL WORK EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY	:	
PROCEEDINGS AGAINST	:	
	;	STIPULATION
KATHLEEN E. ROSS,	:	
RESPONDENT.	:	0003826

Division of Legal Services and Compliance Case No. 14 SOC 212

Respondent Kathleen E. Ross, and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board (Section). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's order, if adopted in the form as attached.

. . .

If the terms of this Stipulation are not acceptable to the Section, the parties shall 5. not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

The parties to this Stipulation agree that the attorney or other agent for the 6. Division of Legal Services and Compliance and any member of the Section ever assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

Respondent is informed that should the Section adopt this Stipulation, the 7. Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

The Division of Legal Services and Compliance joins Respondent in 8. recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.

Kathleen E. Ross, Respondent 6320-Wentzel-Road-Winneconne, WI-54986 License no. 7181-123

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Current 1510SW 22 nd st. Boynton Beach H. 33426

Cody Wagner, Attorney Department of Safety and Professional Services Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190 Tel. (608) 261-0116 Fax: (608) 266-2264

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