## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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## STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST	:	
	:	FINAL DECISION AND ORDER
MARK D. SCHAEFER, RESPONDENT.	:	0003642

Division of Legal	Services and	Compliance	Case No	o. 12 RSG	024
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The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Mark D. Schaefer 3801 Jacobs Rd. Waterford, WI 53185

Wisconsin Department of Safety and Professional Services P.O. Box 8368 Madison, WI 53708-8368

Division of Legal Services and Compliance Wisconsin Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Department of Safety and Professional Services (Department). The Department has reviewed this Stipulation and considers it acceptable.

Accordingly, the Department adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

#### **FINDINGS OF FACT**

1. Respondent Mark D. Schaefer (dob March 30, 1971) is permitted in the State of Wisconsin as a private security person, having permit number 10796-108, first issued on April 14, 1999 and current through August 31, 2016. Mark D. Schaefer's most recent address on file with the Department is 3801 Jacobs Rd., Waterford, WI 53185.

2. Respondent was at all times relevant to this proceeding the General Manager for G4S Secure Solutions (USA), Inc. As the General Manager, Respondent assigned employees to their duties within the company.

3. On August 6, 2012, the Department received an email from an individual inquiring about his private security person permit application status, as he had been working as a private security person for G4S Secure Solutions (USA), Inc. for over two months without holding a permit.

4. The Department subsequently performed an audit of private security person work assignments from May 2012 to January 2013 at six of G4S Secure Solutions (USA), Inc.'s approximately 300 Wisconsin worksites. These six worksites were chosen at random from Respondent's Milwaukee area worksites.

5. The Department's audit revealed that due to an administrative oversight, Respondent assigned six unpermitted individuals between May 2012 and January 2013 to perform private security personnel duties at the audited worksites.

6. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

### CONCLUSIONS OF LAW

1. The Wisconsin Department of Safety and Professional Services has jurisdiction to act in this matter pursuant to Wis. Stat. § 440.26(6), and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent Mark D. Schaefer engaged in conduct reflecting adversely on professional qualification pursuant to Wis. Admin. Code § SPS 35.01(13) by assigning any person to perform private security personnel duties who has not been issued a permit prior to performing the services.

3. As a result of the violations noted in the Conclusions of Law, Respondent Mark D. Schaefer is subject to discipline pursuant to Wis. Stat. § 440.26(6)(a)2. and Wis. Admin. Code § SPS 35.01.

#### <u>ORDER</u>

1. The attached Stipulation is accepted.

2. Respondent Mark D. Schaefer is REPRIMANDED.

3. Within 90 days from the date of this Order, Mark D. Schaefer shall pay COSTS of this matter in the amount of \$225.

4. Payment of costs shall be made payable to the Wisconsin Department of Safety and Professional Services and sent by Respondent to the Department Monitor at the address below:

Department Monitor Division of Legal Services and Compliance Department of Safety and Professional Services

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## P.O. Box 7190, Madison, WI 53707-7190 Telephone (608) 267-3817; Fax (608) 266-2264 DSPSMonitoring@wisconsin.gov

5. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's permit. The Department in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of the costs as ordered and as set forth above, Respondent's permit (no. 10796-108) may, in the discretion of the Department, be SUSPENDED, without further notice or hearing, until Respondent has complied with payment of the costs.

6. This Order is effective on the date of its signing.

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

Michael J. Bernet, Chief Legal Counsel By: On Behalf of the Department

1/23/15 Date

## STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

RESPONDENT.	:		000364
MARK D. SCHAEFER,	:		
	•	STIPULATION	
PROCEEDINGS AGAINST	:		
IN THE MATTER OF DISCIPLINARY	:		

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#### Division of Legal Services and Compliance Case No. 12 RSG 024

Respondent Mark D. Schaefer and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Department of Safety and Professional Services (Department). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Department's Order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Department, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the

Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Department, the parties agree not to contend that the Department has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance may appear before the Department, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that the Department may have in connection with deliberations on the Stipulation.

7. Respondent is informed that should the Department adopt this Stipulation, the Department's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending that the Department adopt this Stipulation and issue the attached Final Decision and Order.

Mark D. Schaefer, Respondent

Mark D. Schaefer, Respond 3801 Jacobs Rd. Waterford, WI 53185 Permit no. 10796-108

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Andrea E. Brauer, Attorney Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190

1/21/15

1/21/15 Date