## WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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## STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

MICHAEL E. MAUERMANN, RESPONDENT.	0003361
IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST	: : : FINAL DECISION AND ORDER

Division of Legal Services and Compliance Case No. 13 RSG 027

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Michael E. Mauermann 1555 N. McCarthy Rd. #2 Appleton, WI 54914

Wisconsin Department of Safety and Professional Services P.O. Box 8935 Madison, WI 53708-8935

Division of Legal Services and Compliance Wisconsin Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Wisconsin Department of Safety and Professional Services (Department). The Department has reviewed this Stipulation and considers it acceptable.

Accordingly, the Department adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

## **FINDINGS OF FACT**

1. Respondent Michael E. Mauermann (dob October 11, 1981) is permitted in the State of Wisconsin as a private security person, having permit number 41849-108, first issued on September 20, 2010 and current through August 31, 2016. Respondent's most recent address on file with the Department is 1555 N. McCarthy Rd. #2, Appleton, WI 54914.

2. On April 27, 2007, Respondent was convicted of forfeiture OWI (1<sup>st</sup>) (Fond du Lac County Citation No. G545447-0).

3. On August 31, 2010, Respondent submitted an application for a private security permit and answered "NO" to the following question:

"Have you EVER been convicted of a MISDEMEANOR, DRIVING WHILE INTOXICATED (DWI) or a VIOLATION of any state or local law (other than traffic) that is punishable by a forfeiture or ordinance violation in this or any other state, OR are criminal or DWI charges currently pending against you in this or any other state? If YES, complete and attach the Convictions and Pending Charges (Form #2252)."

4. On March 27, 2012, Respondent was convicted of misdemeanor OWI (2nd) (Iowa County Case No. CT000091).

5. On August 9, 2012, Respondent was convicted of forfeiture Operating While Suspended (Brown County Case Number 2012TR003585).

6. Respondent did not report his March 27, 2012 or August 9, 2012 convictions to the Department within forty-eight (48) hours.

7. On or about October 28, 2013, Respondent submitted a renewal application for his private security permit and answered "NO" to the question:

"Since the date of your last license renewal (or original application if this is your first renewal), have you been convicted of a MISDEMEANOR, OPERATING WHILE INTOXICATED (OWI), a VIOLATION of any federal, state or local law, OR are criminal charges or OWI charges currently pending against you in this or any other state?

Since the date of your last license renewal (or original application if this is your first renewal), have you been convicted of a VIOLATION of ANY federal, state or local law or municipal ordinance that is punishable by a fine or forfeiture in this or any other state, OR are federal, state or municipal charges currently pending against you?

Since the date of your last license renewal (or original application if this is your first renewal), have you been convicted of a FELONY in this state or any other state, OR are FELONY charges currently pending against you?

If the answer to any of the three questions is yes, you must answer yes below. You may answer no only if the answer to all three questions is no."

8. In resolution of this matter, Respondent consents to the entry of the following Conclusions of Law and Order.

## **CONCLUSIONS OF LAW**

1. The Wisconsin Department of Safety and Professional Services has jurisdiction to act in this matter pursuant to Wis. Stat. § 440.26(6), and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).

2. By the conduct described in the Findings of Fact, Respondent engaged in conduct reflecting adversely on his professional qualification pursuant to Wis. Admin. Code § SPS 35.01(2) by violating a law the circumstances of which substantially related to the practice of a private security person.

3. By the conduct described in the Findings of Fact, Respondent engaged in conduct reflecting adversely on his professional qualification pursuant to Wis. Admin. Code § SPS 35.01(17) by providing false information in the application for a credential.

4. By the conduct described in the Findings of Fact, Respondent violated Wis. Stat. § 440.26(4m)(b) and Wis. Admin. Code § SPS 35.01(2) by failing to notify the Department within forty-eight (48) hours after the entry of a judgment of conviction.

5. As a result of the violations noted in the Conclusions of Law, Respondent is subject to discipline pursuant to Wis. Stat. § 440.26(6)(a)1.-4. and Wis. Admin. Code § SPS 35.01.

#### **ORDER**

1. The attached Stipulation is accepted.

2. The VOLUNTARY SURRENDER of Respondent's private security permit (no. 41849-108) is hereby ACCEPTED.

3. Respondent shall not apply for reinstatement of his private security permit for a period of at least five (5) years from the date of this Order.

4. Should Respondent re-apply for a permit:

- a. Respondent shall pay the COSTS of this matter in the amount of \$400, before any such application may be considered.
- b. Respondent shall submit a new application for a permit and meet all requirements for obtaining a permit, which exist at the time of the application.
- c. The Department may determine whether and under what terms and conditions such a permit may be granted.

5. Violation of any terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a revocation of Respondent's right to re-apply for a permit. In its discretion the Department may, in the alternative, impose additional

conditions and limitations or other additional discipline for a violation of any of the terms of this Order.

6. This Order is effective on the date of its signing.

WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

By:

Michael J. Berndt, Chief Legal Counsel On Behalf of the Department

9414 Date

## STATE OF WISCONSIN BEFORE THE DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES

		0003361	
RESPONDENT.	:		
MICHAEL E. MAUERMANN,	:		
	:	STIPULATION	
PROCEEDINGS AGAINST	:		
IN THE MATTER OF DISCIPLINARY	:		

Division of Legal Services and Compliance Case No. 13 RSG 027

Respondent Michael E. Mauermann and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.

2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:

- the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
- the right to confront and cross-examine the witnesses against Respondent;
- the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
- the right to testify on Respondent's own behalf;
- the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
- the right to petition for rehearing; and
- all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.

3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Department of Safety and Professional Services (Department). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Department's Order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Department, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the

Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Department, the parties agree not to contend that the Department has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance may appear before the Department, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that the Department may have in connection with deliberations on the Stipulation.

7. Respondent is informed that should the Department adopt this Stipulation, the 1: Department's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

8. The Division of Legal Services and Compliance joins Respondent in recommending that the Department adopt this Stipulation and issue the attached Final Decision and Order.

Michael E. Mauermann, Respondent 1555 N. McCarthy Rd. #2 Appleton, WI 54914 License no. 41849-108

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Andrea E. Brauer, Attorney Division of Legal Services and Compliance P.O. Box 7190 Madison, WI 53707-7190

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<u>9-25-19</u> Date

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