WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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STATE OF WISCONSIN BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

DANIEL J. HANSEN, RESPONDENT.

0003348

Division of Legal Services and Compliance¹ Case No. 11 REB 109

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Daniel J. Hansen P.O. Box 22 101 Business 141 S. Coleman, WI 54112

Wisconsin Real Estate Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

PROCEDURAL HISTORY

A disciplinary proceeding was commenced in this matter by the filing of a Notice of Hearing and Complaint with the Real Estate Examining Board (Board) on August 8, 2013. Prior to the hearing, the parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent Daniel J. Hansen (dob July 11, 1952) is licensed in the State of Wisconsin as a Real Estate Broker, having license number 22869-90, first issued on October 31, 1979 and current through December 14, 2014. Respondent's most recent address on file with the

¹ The Division of Legal Services and Compliance was formerly known as the Division of Enforcement.

Wisconsin Department of Safety and Professional Services (Department) is Post Office Box 22, 101 Business 141 South, Coleman, Wisconsin 54112.

- 2. Prior to October 5, 2011, Respondent served as a sub-agent and/or cooperating agent to Person A, a seller in a prospective transaction.
- 3. On October 5, 2011, Respondent entered into a buyer agency agreement with Person B, a party or prospective buyer to a transaction. Prior to entering into the buyer agency agreement with Person B, the Department alleges Respondent provided advice and opinions relating to the prospective transaction to Person B that the Department alleges were contrary to the interests of Person A.
- 4. Respondent does not admit to the violations alleged in this case; he vehemently denies the allegations of the Complainant's statements to the Department. In order to avoid the further time and expense in defending his innocence, Respondent hereby agrees to resolve this matter according to the terms set forth herein and in the accompanying Stipulation.

CONCLUSIONS OF LAW

- 1. The Wisconsin Real Estate Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 452.14, and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct alleged in the Findings of Fact, if proven, Respondent would have violated Wis. Stat. § 452.133(5) by providing advice and opinions not required by law to a non-client prospective party to a transaction contrary to the interests of another person who was a party to the prospective transaction.
- 3. As a result of the above, if proven, Respondent would be subject to discipline pursuant to Wis. Stat. § 452.14(3)(L).

ORDER

- 1. The attached Stipulation is accepted.
- 2. The Real Estate Broker license issued to Daniel J. Hansen (license no. 22869-90) is LIMITED as follows:
 - a. Within sixty (60) days of the date of this Order, Respondent shall successfully complete six (6) hours of education on the topics set forth under Wis. Admin. Code § REEB 25.02(2)(a) and (g) and offered by a provider pre-approved by the Board's monitoring liaison, including taking and passing any exam offered for the courses.
 - b. Respondent shall submit proof of successful completion of the ordered education in the form of verification from the institution providing the education to the Department Monitor at the address stated below. The education completed

pursuant to this requirement may not be used to satisfy any continuing education requirements.

- c. This limitation shall be removed from Respondent's license and Respondent will be granted a full, unrestricted license after satisfying the Board or its designee that Respondent has successfully completed all of the ordered education.
- 3. Within ninety (90) days from the date of this Order, Respondent shall pay costs of this matter in the amount of \$1,000.00.
- 4. Proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

- 5. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of the costs as ordered or fails to submit proof of successful completion of the ordered education as set forth above, Respondent's license (no. 22869-90) may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with payment of the costs and completion of the education.
 - 6. This Order is effective on the date of its signing.

WISCONSIN REAL ESTATE EXAMINING BOARD

by:

A Member of the Board

8-21-14 Date

STATE OF WISCONSIN BEFORE THE REAL ESTATE EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

DANIEL J. HANSEN, RESPONDENT.

0003348

Division of Legal Services and Compliance Case No. 11 REB 109

Respondent Daniel J. Hansen and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
 - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
 - the right to confront and cross-examine the witnesses against Respondent;
 - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
 - the right to testify on Respondent's own behalf;
 - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
 - the right to petition for rehearing; and
 - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Attorney Timothy B. Anderson.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Real Estate Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance

¹ The Division of Legal Services and Compliance was formerly known as the Division of Enforcement.

or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- 7. Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- 8. The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Daniel J. Hansen, Respondent

P.O. Box 22

101 Business 141 S. Coleman, WI 54112

License no. 22869-90

Timothy B. Anderson, Attorney for Respondent

219 East Wisconsin Avenue

Neenah, WI 54986

Aloysius F. Rohmeyer, Attorney

Division of Legal Services and Compliance

P.O. Box 7190

Madison, WI 53707-7190

Date

Date