# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



# Wisconsin Department of Regulation & Licensing Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Regulation & Licensing website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

#### Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Regulation and Licensing from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the
  Department of Regulation and Licensing data base. Because this data base changes
  constantly, the Department is not responsible for subsequent entries that update, correct or
  delete data. The Department is not responsible for notifying prior requesters of updates,
  modifications, corrections or deletions. All users have the responsibility to determine whether
  information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Regulation and Licensing, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. All requests must cite the case number, the date of the order, and respondent's name as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the
  appeal. Information about the current status of a credential issued by the Department of
  Regulation and Licensing is shown on the Department's Web Site under "License Lookup."
  The status of an appeal may be found on court access websites at:
  <a href="http://ccap.courts.state.wi.us/InternetCourtAccess">http://ccap.courts.state.wi.us/InternetCourtAccess</a> and <a href="http://www.courts.state.wi.us/wscca">http://www.courts.state.wi.us/licenses</a>.
- Records not open to public inspection by statute are not contained on this website.

By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.

**Correcting information on the DRL website:** An individual who believes that information on the website is inaccurate may contact the webmaster at <a href="web@drl.state.wi.gov">web@drl.state.wi.gov</a>

#### STATE OF WISCONSIN

#### BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY

PROCEEDINGS AGAINST : FINAL DECISION

AND ORDER

DAWN M. SPALDING,

RESPONDENT : Case LS08110323BAC

Division of Enforcement Case #07 BAC 245

The parties to this action for the purposes of Wis. Stats. § 227.53 are:

Dawn M. Spaulding 2761 Swanson Road Nekoosa, Wisconsin 54457

Division of Enforcement Department of Regulation and Licensing 1400 East Washington Avenue P.O. Box 8935 Madison, WI 53708-8935

Barbering and Cosmetology Examining Board Department of Regulation and Licensing 1400 East Washington Avenue P.O. Box 8935 Madison, WI 53708-8935

## **PROCEDURAL HISTORY**

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Barbering and Cosmetology Board (Board). The Board has reviewed the attached Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

#### FINDINGS OF FACT

- 1. Hair Experts is licensed as a barbering and cosmetology establishment in the state of Wisconsin (license #20851-80). That license was first granted on April 13, 1990, and is current through March 31, 2009. The last address reported to the Department of Regulation and Licensing (Department) for Hair Experts is 2501 8th Street South, Wisconsin Rapids, Wisconsin 54494.
- 2. Dawn M. Spaulding (date of birth is March 15, 1967) is duly licensed in the state of Wisconsin as a barbering or cosmetology manager in the state of Wisconsin (license number 23700-81). That license was first granted on March 12, 1990, and is current through March 31, 2009. Ms. Spaulding is listed in Department records as one of the owners of Hair Experts. The last address reported to the Department of Regulation and Licensing (hereinafter Department) by Ms. Spaulding is 2761 Swanson Road, Nekoosa, Wisconsin 54457. From July 1, 2007, through November 28, 2007, Ms. Spaulding's barbering or cosmetology manager license was in expired status.
- 3. On November 16, 2007, Department Investigator Douglas Austin inspected Hair Experts. Investigator Austin found:
  - a. Poisonous substances were stored in unlocked cabinets in a public area.
  - b. Shampoo bowls were not kept in a sanitary manner.
  - c. Disinfectant was not being changed on a daily basis.
  - d. Laundry was not being disinfected by washing in a germicidal compound.

- e. Workstations were not equipped with a topical antiseptic.
- 4. During the November 16, 2007, inspection, Investigator Austin found Ms. Spaulding providing cosmetology services for compensation at Hair Experts. Ms. Spaulding's manager's license had expired on July 1, 2007, and had not been renewed. Ms. Spaulding's manager license was renewed on November 28, 2007.
- 5. During the November 16, 2007, inspection, Investigator Austin found that both Jennifer Benz and Lisa Dupree were renting both space at Hair Experts. Both Ms. Benz and Ms. Dupree had current manager's licenses, but did not possess establishment licenses for the booth rental. Establishment licenses for Mr. Benz's and Dupree's booth rentals were both granted by the Department on November 28, 2007.
- 6. In resolution of this matter, Dawn M. Spaulding and Hair Experts consent to the entry of the following Conclusions of Law and Order.

### CONCLUSIONS OF LAW

- 1. The Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 454.15, and is authorized to enter into the attached Stipulation and Order, pursuant to Wis. Stats. § 227.44 (5).
- 2. Wis. Stat. § 454. 04 (1) (a) provides that except for licensed aestheticians and manicurists, no person may engage in barbering or cosmetology unless the person has received training in the areas of service provided and holds a current barber or cosmetologist license, manager license, temporary permit issued by the examining board or is an apprentice under s. 454.10 or a student in a barbering or cosmetology course of instruction.
- 3. By the conduct described in paragraph 4 above, Dawn M. Spaulding violated Wis. Stat. § 454.04 (1) (a) by engaging in the practice of barbering or cosmetology without a current license.
- 4. By the conduct described in paragraph 4 above, Dawn M. Spaulding and Hair Experts have violated Wis. Admin. Code § BC 2.04(1), which states that licensees may not assist or participate in the unauthorized or unlicensed practice of barbering and cosmetology, aesthetics, electrology or manicuring.
- 5. Wis. Stat. § 454.15 (2) (c) provides that engaging in the practice of barbering or cosmetology which evidences a lack of knowledge or ability to apply professional principles or skills constitutes grounds for discipline.
- 6. By the conduct described in paragraphs 3, 4 and 5 above, Dawn M. Spaulding and Hair Experts have engaged in the practice of barbering or cosmetology which evidences a lack of knowledge or ability to apply professional principles or skills.
- 7. Wis. Stat. § 454.15 (2) (i) provides that violation of Wis. Stat. chapter 454 or the Administrative Code promulgated under chapter 454 is cause for discipline.
- 8. By the conduct described in paragraphs 3, 4 and 5 above, Dawn M. Spaulding and Hair Experts have violated Wis. Stat. chapter 454 and the Administrative Code promulgated under chapter 454.
- 9. Wis. Administrative Code § BC 3.02 (2) (b) requires the lessee of a chair or booth to hold a manager's license and an establishment license.
- 10. Wis. Administrative Code § BC 2.04 (1) provides that licensees may not assist or participate in the unauthorized or unlicensed practice of barbering and cosmetology.
- 11. By permitting Jennifer Benz and Lisa Dupree to rent booth space at Hair Experts without possessing establishment licenses, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § BC 2.04 (1).
- 12. Wisconsin Administrative Code § BC 3.01 (6) requires establishments to store poisonous substances that are in public areas in a locked cabinet or closet.
- 13. By the conduct described in paragraphs 3 a. above, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § BC 3.01 (6).
- 14. Wis. Administrative Code § BC 4.01 (5) requires shampoo bowls and basins to be drained after each use and kept in a sanitary and safe condition.
- 15. By the conduct described in paragraphs 3 b. above, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § BC 4.01 (5).

- 16. Wis. Administrative Code § BC 4.02 (5) requires disinfectant used for decontamination to be changed daily.
- 17. By the conduct described in paragraphs 3 c. above, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § 4.02 (5).
- 18. Wis. Administrative Code § BC 4.02 (6) requires laundry in an establishment to be disinfected by washing with a solution containing a germicidal compound.
- 19. By the conduct described in paragraphs 3 d. above, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § 4.02 (6).
- 20. Wis. Administrative Code § BC 4.04 (1) requires all work stations to be supplied with at least one of the antiseptics listed in Wis. Administrative Code BC 4.05 (1).
- 21. By the conduct described in paragraphs 9 e. above, Dawn M. Spaulding and Hair Experts have violated Wis. Administrative Code § BC 4.04 (1).

# **ORDER**

# NOW, THEREFORE, IT IS HEREBY ORDERED:

- 1. The barbering and cosmetology manager license of Dawn M. Spaulding (#23700-81) is hereby REPRIMANDED.
- 2. Dawn M. Spaulding shall pay to the Department of Regulation and Licensing a forfeiture of FIVE HUNDRED dollars (\$500.00).
- 3. Dawn M. Spaulding shall pay to the Department of Regulation and Licensing costs in the amount of ONE HUNDRED FIFTY DOLLARS (\$150.00) in this matter.

Payment of the forfeiture and costs shall be submitted in eight (8) installments. The installments shall be submitted on the following payment schedule:

Payment Number	Due Date	Payment Amount
1	March 15, 2009	\$81.25
2	June 15, 2009	\$81.25
3	September 15, 2009	\$81.25
4	December 15, 2009	\$81.25
5	March 15, 2010	\$81.25
6	June 15, 2010	\$81.25
7	September 15, 2010	\$81.25
8	December 15, 2010	\$81.25

4. Respondent may pay the full amount of forfeiture and costs at any time prior to each quarterly due date for payment. Payment shall be made *by certified check or money order*, payable to the Wisconsin Department of Regulation and Licensing, and mailed to:

Department Monitor
Division of Enforcement
Department of Regulation and Licensing
P. O. Box 8935
Madison, WI 53708-8935
Telephone (608) 267-3817
FAX (608) 266-2264

5. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any

of the terms of this Order.

6. In the event Ms. Spaulding fails to timely submit any payment of the forfeiture and costs as set forth above, the barbering or cosmetology manager license of Dawn M. Spaulding (#23700-81) SHALL BE SUSPENDED, without further notice or hearing, until Ms. Spaulding has complied with the terms of this Order.

7. This Order is effective on the date of its signing.

By: Jeannie M. Bush A Member of the Board 11/3/08 Date