# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN
BEFORE THE SOCIAL WORKER SECTION
MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING,
AND SOCIAL WORK EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY :

PROCEEDINGS AGAINST :

FINAL DECISION AND ORDER

HOSEA D. LONDON, : LS0709261SOC

RESPONDENT. :

[Division of Enforcement Case # 06 SOC 052]

The parties to this action for the purposes of Wis. Stat. § 227.53 are:

Hosea D. London 410 E. Ship Street #212 Milwaukee, WI 53212

Division of Enforcement

Department of Regulation and Licensing

1400 East Washington Avenue

P.O. Box 8935

Madison, WI 53708-8935

Social Worker Section

Wisconsin Marriage and Family Therapy, Professional Counseling, and Social Work Examining Board Department of Regulation and Licensing 1400 East Washington Avenue PO Box 8935 Madison, WI 53708-8935

## PROCEDURAL HISTORY

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision in this matter, subject to the approval of the Social Worker Section. The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section adopts the attached Stipulation and makes the following:

#### FINDINGS OF FACT

- 1. Hosea D. London, Respondent, date of birth September 4, 1971, was certified by the Social Worker Section as a social worker in the State of Wisconsin pursuant to certificate number 8330, which was first granted October 16, 2003.
- 2. Respondent has not renewed his certification since it expired on June 30, 2007, but could renew it pursuant to Wis. Stat. § 440.08(3)(a) and Wis. Adm. Code § MPSW 1.08(2) by payment of fees and proof of completion of continuing education requirements.
- 3. Respondent's last address reported to the Department of Regulation and Licensing 410 E. Ship Street, #212, Milwaukee. WI 53212.
- 4. From 2003 until his employment was terminated on May 12, 2006, Respondent was employed as a Young Adult Services Case Manager at Walker's Point Youth and Family Center (Walker's Point), a private non-profit organization engaged in community service in Milwaukee, Wisconsin. Respondent worked in the Insights Transitional Living Program (Insights) administered by Walker's Point. Insights offers transitional housing, counseling, case management, independent living skills services and aftercare to youth ages 16 to 21 years old.
- 5. Walker's Point receives a federal grant from the U.S. Department of Health and Human Services, Administration for Children & Families (ACF), to fund the Insights program. Walker's Point received approximately \$200,000 in federal assistance from ACF during each of three separate 12-month periods: March 2004 to February 2005, March 2005 to February 2006 and March 2006 to February 2007.

- 6. In his position as case manager, Respondent was responsible for helping homeless youth ages 18 to 21 years old find housing in the community and for providing case management services to this population for a period up to eighteen months. His duties included assessment of applicants' eligibility for Insights and distribution of benefits to individuals selected as clients.
- 7. In 2006, concerns were raised about Respondent having failed to provide certain information in files and about a lack of accountability regarding rent payments from grant funds for two current and two former clients. From August 2004 through May 2006, these particular clients received over \$21,900 in rent payments on their behalf. On May 12, 2006, administrators met with Respondent and several serious problems were established:
  - a. Respondent kept these particular client files in a separate location from the normal filing cabinet where they could be locked. Information contained in the files was minimal.
    - b. The client files contained no documentation of services having been provided.
  - c. Group attendance records showed no evidence that these particular clients had attended any groups in the program, which is a program requirement.
  - d. Respondent asserted that the files were representative of real persons, but that he was trying to help individuals financially who would not have met eligibility for grant-funded services.
  - e. Checks made out for the purposes of rent for these clients were made out to and hand delivered to MW, an individual who Respondent knew was not a landlord.
  - f. Respondent was not able to account for these funds. He contended that he believed MW was passing the funds on to these individuals, but did not follow up. Respondent denied any personal financial gain.
- 8. Respondent was discharged from his employment effective immediately. Reasons for Respondent's discharge included falsifying eligibility for client services, allowing funds to be disbursed to clients in violation of agency policies and procedures, covering up these offenses, and these acts resulting in eligible clients not being able to receive services.
- 9. On November 21, 2006, as a result of his conduct at Walker's Point, Respondent was indicted by a grand jury and charged in United States District Court for the Eastern District of Wisconsin case number 06-CR-308 (LSA) with violating Title 18 United States Code § 666(a) embezzlement of government program funds. That count alleged:
  - a. While employed as a case manager, Respondent caused Walker's Point to issue twenty-three (23) checks made payable to MW, an associate of Respondent, to which neither MW nor Respondent was entitled. MW then cashed the checks and provided the money to Respondent.
  - b. Between August 2004 and May 2006, Respondent embezzled and intentionally misapplied approximately \$21,000 under the care, custody, and control of Walker's Point.
- 10. On February 28, 2007, pursuant to a plea agreement, Respondent pled guilty and was convicted of violating Title 18 United States Code § 666(a) embezzlement of government program funds. On June 15, 2007, Respondent was sentenced to 5 years of probation and ordered to pay restitution in the amount of \$21,950.00 to Walker's Point.
- 11. Title 18, United States Code, Section 666(a) embezzlement of government program funds is an offense the circumstances of which substantially relate to Respondent's practice of social work under his certificate.

# **CONCLUSIONS OF LAW**

- 1. The Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling and Socia Work Examining Board has jurisdiction over this matter pursuant to Wis. Stat. § 457.26(2) and has authority to enter into this stipulated resolution of this matter pursuant to Wis. Stat. § 227.44(5).
- 2. Respondent, by having been convicted of an offense, the circumstances of which substantially relate to the practice of social work, is subject to discipline pursuant to Wis. Stat. § 457.26(2)(b).

### **ORDER**

- 1. The SURRENDER by Hosea D. London, Respondent, of his certification as a social worker and his right to renew his certification as a social worker in the State of Wisconsin is hereby ACCEPTED.
- 2. Respondent shall, within 120 days of the date of this Order, pay the costs of this proceeding in the amount of \$750.00 to the Department of Regulation and Licensing, pursuant to Wis. Stat. § 440.22(2).
  - 3. Payment shall be mailed or delivered to:

Department Monitor
Department of Regulation and Licensing
Division of Enforcement
1400 East Washington Ave.
P.O. Box 8935
Madison, WI 53708-8935

Fax: (608) 266-2264 Telephone: (608) 267-3817

4. This Order is effective on the date it is signed.

Social Worker Section Wisconsin Marriage and Family Therapy, Professional Counseling and Social Work Examining Board

By: Mary Jo Walsh 9/26/07 A Member of the Section Date STATE OF WISCONSIN
BEFORE THE SOCIAL WORKER SECTION
MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING,
AND SOCIAL WORK EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY

IN THE MATTER OF THE DISCIPLINARY
PROCEEDINGS AGAINST

: STIPULATION

HOSEA D. LONDON, : LS \_\_\_\_\_SOC

RESPONDENT.

[Division of Enforcement Case # 06 SOC 052]

It is hereby stipulated and agreed, by and between Hosea D. London, Respondent; and John R. Zwieg, attorney for the Complainant, Department of Regulation and Licensing, Division of Enforcement, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation of Respondent's certification by the Division o Enforcement (file 06 SOC 052). Respondent consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Respondent understands that by signing this Stipulation, he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and any other provisions of state or federal law.
  - 3. Respondent has been provided an opportunity to obtain advice of legal counsel prior to signing this Stipulation
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Section. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance o consent of the parties. Respondent waives all rights to any appeal of the Section's Order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents o this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. Attached to this Stipulation are Respondent's most recent wall and wallet registration certificates. If the Section does not accept this Stipulation, Respondent's certificates shall be returned to the Respondent with a notice of the Section's decision not to accept the Stipulation
- 7. The parties to this Stipulation agree that the attorney or other agent for the Division of Enforcement and any member of the Section ever assigned as a case advisor in this investigation may appear before the Section in open or closed session, without the presence of Respondent or his attorney, if any, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with the Section's deliberations on the Stipulation. Additionally, any such case advisor may vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.
- 8. Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

9. The Division of Enforcement joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.		
Hosea D. London Respondent 410 E. Ship Street, #212 Milwaukee, WI 53212	Date	
John R. Zwieg Attorney for Complainant Division of Enforcement Department of Regulation and Licensing P.O. Box 8935 Madison, WI 53708-8935	Date	
[06 SOC 052: Costs \$750.00]		
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