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STATE OF WISCONSIN BEFORE THE REAL ESTATE APPRAISERS BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST : FINAL DECISION

AND ORDER

DAVID R. OSTERTAG, : LS0503028APP

RESPONDENT.

The parties to this action for the purposes of Wis. Stat. sec. 227.53 are:

David R. Osteratg 3019 Walter Street Maplewood, MN 55109

Real Estate Appraisers Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. David R. Ostertag (Date of Birth: September 21, 1961) possesses a certificate of licensure and a certificate of certification to practice in the state of Wisconsin as a Certified Residential Appraiser and a certificate of licensure to practice in the state of Wisconsin as a Licensed Appraiser in the state of Wisconsin (both using certificate number 9-658). These certificates were first granted on May 13, 1993, and are current through December 31, 2005.
- 2. Mr. Ostertag's most recent address known to the Department of Regulation and Licensing is 3019 Walter Street, Maplewood, MN 55109.
- 3. Each person possessing a certificate of licensure and a certificate of certification to practice as a Certified Residential Appraiser in the state of Wisconsin and a certificate of licensure to practice as a Licensed Appraiser in the state of Wisconsin must renew those certificates of licensure and certification biennially, by January 1 of each even-numbered year. [Wis. Stat. secs. 440.08 (2)(a)11 and 440.08 (2)(a)12.]
- 4. To be eligible to renew the certificate of licensure for the next biennial registration period, the licensee must have completed at least 28 hours of Department approved continuing education in the previous biennial registration period. [Wis. Stat. sec. 458.13, and Wis. Admin. Code § RL 85.01(1)]
- 5. Prior to January 1, 2004, the Wisconsin Department of Regulation and Licensing sent Mr. Ostertag a Real Estate Appraiser Renewal Application to execute and return with the required fee if Mr. Ostertag wished to renew his certificates of licensure and certification in the state of Wisconsin for the January 1, 2004, through December 31, 2005, biennium.

6. Mr. Ostertag elected to renew his certificates by utilizing the Department of Regulation's Online Renewal via the Internet.

The Online Renewal Real Estate Appraiser Renewal Application contains the following language:

- "I have completed 28 hours of Department-approved education credits, including at least 4 hours in the Uniform Standards of Professional Appraisal Practice (USPAP), between January 1, 2002 and December 31, 2003. I have evidence of this which I will furnish to the Department upon request."
- 7. Mr. Ostertag completed the Online Real Estate Appraiser Renewal Application certifying that he had completed the continuing education requirements of the Real Estate Appraisers Board during the January 1, 2002 through December 31, 2002 biennium. A copy of the Online renewal form, dated November 24, 2003 is attached as Exhibit A and is incorporated herein by reference.
- 8. On February 9, 2004, as part of a random audit which the Real Estate Appraisers Board conducted of its licensees, Mr. Ostertag was sent a letter requesting that he send the Board verification that he had completed the 28 hours of approved continuing education, which he had claimed to have completed prior to renewing his license for the January 1, 2004 through December 31, 2005 biennium.
- 9. Mr. Ostertag submitted documentation that he had completed 23 hours of approved continuing education hours during the January 1, 2002 through December 31, 2003 biennium. He latter completed 5 additional hours of approved continuing education hours shortly after December 31, 2003. Those 3 additional hours were applied to the January 1, 2002 through December 31, 2003 biennium.
- 10. Mr. Ostertag did not complete the required 28 hours of approved continuing education during the January 1, 2002 through December 31, 2003 biennium, prior to renewing his license for the January 1, 2004 through December 31, 2005 biennium.
- 11. Mr. Ostertag made a material misstatement on his December 21, 2003 renewal application when he attested that he had completed 28 hours of approved continuing education during the January 1, 2002 through December 31, 2003 biennium.

CONCLUSIONS OF LAW

- 1. The Wisconsin Real Estate Appraisers Board has jurisdiction over this matter, pursuant to Wis. Stat. sec. 458.26 and Wis. Admin. Code Ch. RL 85.
- 2. The Wisconsin Real Estate Appraisers Board is authorized to enter into the attached stipulation, pursuant to Wis. Stat. sec. 227.44 (5).
- 3. By not completing the required 28 continuing education credits during the required time frame David R. Ostertag has violated:
 - a. Wis. Stat. sec. 458.13. Continuing Education Requirements.
 - b. Wis. Stat. sec. 458.26 (3)(a). Made a material misstatement in an application for the certificate or renewal of the certificate or in any other information furnished to the Board or Department.
 - c. Wis. Stat. sec. 458.26 (3)(b), Stats. Engaged in unprofessional conduct in violation of rules promulgated under Wis. Stat. sec. 458.24.
 - d. Wis. Stat. sec. 458.26 (3)(i). Violated this chapter or any rule promulgated under this chapter.
 - e. Wis. Admin. Code sec. RL 85.01. Continuing Education Requirements.

NOW, THEREFORE, IT IS HEREBY ORDERED that:

- 1. David R. Ostertag (certificate #9-658) is hereby **REPRIMANDED.**
- 2. IT IS FURTHER ORDERED that Mr. Ostertag shall pay a forfeiture in the amount of **five hundred dollars** (\$500.00); and the **amount of two hundred dollars** (\$200.00) partial **assessment of costs** of this proceeding, all to be paid within **one hundred twenty** (120) days of the effective date of the Board's Order adopting the Stipulated Agreement (The effective date of the board's Order is the date of signing by the Board's chair or his designee).
- 3. The assessed forfeiture and partial assessment of costs shall be payable by cashier's check or money order made payable to the Department Monitor (Place case number on the check or money order and the words "Forfeiture" and/or "Costs").

Department Monitor Division of Enforcement Post Office Box 8935 Madison, WI 53708-8935

- 4. If David R. Ostertag shall fail to pay the forfeiture and partial assessment of costs, or fails to obtain a written extension from the Board to complete same, then David R. Ostertag shall be considered to be in violation of the Board's Order and may be subjected to further discipline.
 - a. The Department of Regulation and Licensing, pursuant to Wis. Stat. sec. 458.26(5), reserves the right to appeal the Board's Final Decision and Order.

REAL ESTATE APPRAISERS BOARD

By: La Marr Franklin 3-2-05
On Behalf of the Board Date