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STATE OF WISCONSIN

BEFORE THE BOARD OF NURSING

IN THE MATTER OF

DISCIPLINARY PROCEEDINGS AGAINST :

FINAL DECISION AND ORDER

JULIE E. VAN PUFFELEN, RN, : LS0305098NUR

RESPONDENT :

Division of Enforcement Case File No's. 01 NUR 314 and 03 NUR 047

The parties to this action for the purposes of § 227.53, Wis. Stats., are:

Julie E. Van Puffelen 1113 Centennial Parkway Waunakee, WI 53597

Wisconsin Board of Nursing

PO Box 8935

Madison, WI 53708-8935

Department of Regulation and Licensing

Division of Enforcement

PO Box 8935

Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. Julie E. Van Puffelen (DOB 09/11/1967, 1113 Centennial Parkway, Waunakee, WI 53597) is duly licensed as a registered nurse in the State of Wisconsin (license # 137200). This license was first granted on 03/29/2001.
- 2. Beginning on or about January 1, 2001 and up to October 29, 2001, Respondent worked as a registered nurse at St. Mary's Hospital Medical Center, 707 South Mills Street, Madison, Wisconsin. On exact dates unknown, but during the period of time that Respondent worked at St. Mary's she diverted controlled substances from the hospital for her personal use.
- 3. Beginning on or about December 3, 2002 and up to February 13, 2003, Respondent worked as a registered nurse at Meriter Hospital, 202 South Park Street, Madison, Wisconsin. On exact dates unknown, but during the period of time that Respondent worked at Meriter, she diverted controlled substances from the hospital for her personal use.
- 4. To cover for her diversion of controlled substances, Respondent falsely charted medications as "wasted," when in fact Respondent saved the medications for her personal use. In addition, Respondent on some occasions diluted patient medications and utilized a portion of the pain medication for her personal use. Respondent charted the administration of such medication as if the patient had received the full dosage.
- 5. In resolution of this matter, Respondent consents to entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

- 1. The Wisconsin Board of Nursing has jurisdiction over this matter, pursuant to sec. 441.07, Stats.
- 2. The Wisconsin Board of Nursing is authorized to enter into the attached stipulation, pursuant to sec. 227.44(5), Stats.
- 3. The conduct described above violated sec. 441.07(1), Wis. Stats. and §§ N 7.03(2) and N 7.04 (4) and (15), Wis. Admin. Code. Furthermore, the Findings of Fact set forth in above constitute an agency finding within the meaning of secs. 48.685 and 50.065, Stats.

NOW, THEREFORE, IT IS HEREBY ORDERED that

- A. The Wisconsin nursing license of Julie E. Van Puffelen (license #137200) is hereby SUSPENDED for AN INDEFINITE PERIO D OF TIME.
 - 1. Respondent may apply for a stay of suspension at any time following submission of a) acceptable documentation of successful completion of a Caregiver Rehabilitation Review with the Wisconsin Department of Health and Family Services and b) proof satisfactory compliance with the terms and conditions set forth below for a period of three months immediately preceding the Respondent's application for a stay. In conjunction with the initial application for a stay of suspension, Respondent must submit proof of satisfactory participation in a chemical dependence treatment program acceptable to the Board. Stays shall be granted for a period of three months, conditioned upon compliance with the conditions and limitations outlined below. The Board reserves the right to require a personal appearance before the Board by Respondent in conjunction with a petition for a stay of suspension.
 - a. Respondent may apply for consecutive three (3) month extensions of the stay of suspension, which shall be granted upon acceptable demonstration of compliance with the conditions and limitations imposed on the Respondent for rehabilitation and practice during the prior three (3) month period.
 - b. The Board may without hearing deny an application for extension of the stay, or commence other appropriate action, upon receipt of information that Respondent has violated any of the terms or conditions of this Order. If the Board denies the petition by the Respondent for an extension, the Board shall afford an opportunity for hearing in accordance with the procedures set forth in ch. RL 1, Wis. Adm. Code upon timely receipt of a request for hearing.
 - c. Upon a showing by Respondent of continuous, successful compliance for a period of at least five years of active practice with the terms of this order and compliance with all other terms of this Order, the Board may grant a petition by the Respondent for return of full licensure. (See ¶B (15), below.)
- B. Following termination of the suspension referred to above, Respondent's license shall be LIMITED as follows

REHABILITATION, MONITORING AND TREATMENT

Treatment Required

1. Respondent shall continue successful participation in all components of a drug and alcohol treatment program at a treatment facility acceptable to the Board as Respondent's Supervising Health Care Provider shall determine to be appropriate for Respondent's rehabilitation.

Therapy. The rehabilitation program shall include and Respondent shall participate in individual and/or group therapy sessions for the first year of the stayed suspension upon a schedule as recommended by the supervising physician or therapist, but not less than once weekly. Such therapy shall be conducted by the supervising physician or therapist, or another qualified physician or therapist as designated by the supervising physician or therapist and acceptable to the Board. After the first year of stayed suspension, this requirement for therapy sessions may be modified only upon written petition and a written recommendation by the supervising physician or therapist expressly supporting the modifications sought. A denial of such petition for modification shall not be deemed a denial of the license under §§ 227.01(3) or 227.42, Wis. Stats., or ch. RL 1, Wis. Adm. Code, and shall not be subject to any right to further hearing or appeal.

<u>AA/NA Meetings</u>. Respondent shall attend Narcotics Anonymous and/or Alcoholic Anonymous meetings or an equivalent program for recovering professionals, upon a frequency as recommended by the supervising physician or therapist, but not less than one meeting per week. Attendance of Respondent at such meetings shall be verified and reported monthly to the supervising physician or therapist.

Sobriety

- 2. Respondent shall abstain from all personal use of controlled substances as defined in Sec. 161.01(4), Stats. except when necessitated by a legitimate medical condition and then only with the prior approval of Respondent's Supervising Health Care Provider.
- 3. Respondent shall abstain from all personal use of alcohol.
- 4. Respondent shall in addition refrain from the consumption of over-the-counter medications or other substances which may mask consumption of controlled substances or of alcohol, or which may create false positive screening results, or which may interfere with Respondent's treatment and rehabilitation. Respondent shall report all medications and drugs, over-the-counter or prescription, taken by Respondent to the Supervising Health Care Provider within 24 hours of ingestion or administration, and shall identify the person or persons who prescribed, dispensed, administered or ordered said medications or drugs. Within 24 hours of a request by the Supervising Health Care Provider or the Board or its designee, Respondent shall provide releases which comply with state and federal laws authorizing release of all health care records by the person who prescribed, dispensed, administered or ordered this medication for Respondent. These releases shall also authorize the Supervising Health Care Provider, the Board or its designee to discuss the Respondent's health care with the person who prescribed, dispensed, administered or ordered this medication. The terms of this paragraph shall not be deemed to modify or negate Respondent's obligations as set forth in this Order.

Department Monitor

5. The Department Monitor is the individual designated by the Board as its agent to coordinate compliance with the terms of this Order, including receiving and coordinating all reports and petitions, and requesting additional monitoring and surveillance. The Department Monitor may be reached as follows:

Releases

6. Respondent shall provide and keep on file with the Supervising Health Care Provider, all treatment facilities and personnel, laboratories and collections sites current releases which comply with state and federal laws authorizing release of all urine, blood and hair specimen screen results and medical and treatment records and reports to, and permitting the Supervising Health Care Provider and all treating physicians and therapists to disclose and discuss the progress of Respondent's treatment and rehabilitation with the Board or any member thereof, or with any employee of the Department of Regulation and Licensing acting under the authority of the Board. Copies of these releases shall be filed simultaneously with the Department Monitor.

Drug and/or Alcohol Monitoring

- 7. At least ninety (90) days prior to the submission of a Petition for stay of suspension under this Order the Respondent shall enroll and begin participation in a drug and alcohol monitoring program that is approved by the department pursuant to Wis. Admin. Code § RL 7.11, ("Approved Program").
 - a. The Department Monitor, Board or Board designee shall provide to the Respondent a list of approved programs; however, the Respondent is solely responsible for timely enrollment in any such approved program.
 - b. Unless otherwise ordered by the Board, the approved program shall require the testing of urine specimens at a frequency of not less than 60 times each year.
 - c. The Department Monitor, Board or Board designee shall determine the tests to be performed upon the urine specimens.
 - d. The Respondent shall comply with all requirements for participation in drug and alcohol monitoring established by the approved program, including but not limited to;
 - (1) contact with the approved program as directed on a daily basis, including weekends and holidays, and;
 - (2) production of a urine specimen at a collection site designated by the approved program within five (5) hours of notification of a test.
 - e. The Board in its discretion without a hearing and without further notice to the Respondent may modify this Order to require the submission of hair or breath specimens or that any urine or hair specimen be furnished in a directly witnessed manner.
 - f. All expenses of enrollment and participation in the Approved Program shall be borne by the Respondent. The Respondent shall keep any account for such payments current in all respects.
 - g. For purposes of further Board action under this O rder it is rebuttably presumed that all confirmed positive test results are valid. Respondent has the burden of proof to establish by a preponderance of the evidence an error in collection, testing or other fault in the chain of custody which causes an invalid confirmed positive test result.
- 8. Respondent shall keep the Supervising Health Care Provider informed of Respondent's location and shall be available for contact by the Supervising Health Care Provider at all reasonable times.

Required Reporting by Supervising Health Care Provider, and laboratories

- 9. The Supervising Health Care Provider shall report immediately to the Department Monitor in the Department of Regulation and Licensing, Divisior of Enforcement by FAX or telephonic communication: any failure of Respondent to provide a urine, blood or hair specimen within five (5) hours from the time it was requested; or of any inability to locate Respondent to request a specimen. The laboratory shall immediately report all urine specimens suspected to have been tampered with and all urine, blood or hair specimens that are positive or suspected positive for controlled substances or alcohol to the Department Monitor, and to the Supervising Health Care Provider.
- 10. The laboratory shall within 48 hours of completion of each drug or alcohol analysis mail the report from **all** specimens requested of Respondent under this O rder to the Department Monitor (regardless of whether the laboratory analysis of the specimen was positive or negative for controlled substances, their metabolites or alcohol). Each report shall state the date and time the specimen was requested; the date and time the specimen was collected; the results of the tests performed to detect tampering; and the results of the laboratory analysis for the presence of controlled substances and alcohol.
- 11. The Supervising Health Care Provider shall submit formal written reports to the Department Monitor in the Department of Regulation and Licensing, Division of Enforcement, PO Box 8935, Madison, Wisconsin 53708-8935 on a quarterly basis, as directed by the Department Monitor. These reports shall assess Respondent's progress in the drug and alcohol treatment program and summarize the results of the urine, blood or hair specimen analyses. The Supervising Health Care Provider shall report immediately to the Department Monitor [Division of Enforcement, PO Box 8935, Madison, Wisconsin 53708-8935, FAX (608) 266-2264, telephone No's. (608) 267-3817] any violation or suspected violation of the Board's Final Decision and Order.

Required reporting by Respondent

12. Respondent is responsible for compliance with all of the terms and conditions of this Final Decision and Order. It is the responsibility of Respondent to promptly notify the Department Monitor, of any suspected violations of any of the terms and conditions of this Order, including any failures of the Supervising Health Care Provider, treatment facility, laboratory or collection sites to conform to the terms and conditions of this Order.

Facility approval

13. If the Board determines that the Supervising Health Care Provider, treatment facility, laboratory or collection sites have failed to satisfy the terms and conditions of this Final Decision and Order, the Board may, at its sole discretion, direct that Respondent continue treatment and rehabilitation under the direction of another Supervising Health Care Provider, treatment facility, laboratory or collection site which will conform to the terms and conditions of this Final Decision and Order.

PETITIONS FOR MODIFICATION OF TERMS

- 14. Respondent may petition the Board for modification of the terms of this limited license. Any such petition shall be accompanied by a written recommendation from Respondent's Supervising Health Care Provider expressly supporting the specific modifications sought. Denial of the petition in whole or in part shall not be considered a denial of a license within the meaning of Sec. 227.01(3)(a), Stats. and Respondent shall not have a right to any further hearings or proceedings on any denial in whole or in part of the petition for modification of the limited license.
- 15. After five years of continuous active professional practice under this Order and without relapse, and upon recommendation of the Supervising Health Care Provider, Respondent may petition the Board for a termination of all limitations on the license, and restoration of an unlimited license. Such restoration shall be in the sole discretion of the Board, and denial of the petition in whole or in part shall not be considered a denial of a license within the meaning of Sec. 227.01(3)(a), Stats. Respondent shall not have a right to any further hearings or proceedings on any denial in whole or in part of the petition for termination of the limitations and restoration of unlimited licensure.

EXPENSES OF TREATMENT AND MONITORING

16. Respondent shall be responsible for all costs and expenses incurred in conjunction with the monitoring, screening, supervision and any other expenses associated with compliance with the terms of this Order.

PRACTICE LIMITATIONS

Controlled Substance Access

17. Until otherwise ordered by the Board, Respondent IS RESTRICTED from access to or administration of controlled substances in her work setting.

Work settings

- 18. Until otherwise ordered by the Board
- a. Respondent shall practice only in settings where she works under direct supervision by another nurse or other licensed health care professional. Respondent shall refrain from nursing employment as a charge nurse.
 - b. Respondent shall refrain from nursing employment as a pool nurse; and
 - c. Respondent shall refrain from nursing employment as a visiting nurse or other home care practitioner

Reporting Required

19. Respondent shall arrange for her nursing employer to provide formal written reports to the Department Monitor in the Department of Regulation and Licensing, Division of Enforcement, PO Box 8935, Madison, Wisconsin 53708-8935 on a quarterly basis, as directed by the Department Monitor. These reports shall assess Respondent's work performance.

Change in Address or Work Status

- 20. Respondent shall report to the Board any change of employment status, residence, address or telephone number within five (5) days of the date of a change.
- 21. Respondent shall furnish a copy of this Order to all present nursing employers immediately upon issuance of this Order, and to any prospective employer when Respondent applies for employment as a health care provider.

COSTS

22. Within ninety (90) days from date of this Order, the Respondent shall pay to the Department of Regulation and Licensing partial COSTS of the investigation and prosecution of this action in the sum of TWO HUNDRED dollars (\$200.00). Payment shall be made by certified check or money order, made payable to the Wisconsin Department of Regulation and Licensing and submitted to the Department Monitor.

SUMMARY SUSPENSION

23. Violation of any of the terms of this Order may in addition be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license pursuant to the procedures set forth in Wis. Admin. Code RL Ch. 6. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order.

Effective date of Order

24. This Order shall become effective upon the date of its signing.

By: Linda Sanner 5-9-03 Date

On behalf of the Board