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Web Site: wisconsincertificationboard.org
Email: wcb@wisconsincertificationboard.org

CERTIFICATION BOARD

Jeff Percy, MPA, Executive Director

August 15, 2003

Re: Complaint # : 2003-03
Complainant: Jeff Percy for the Wisconsin Certification Board
Respondent: Joseph K. Sumner, CADC III, CCS-G

To: Board of Directors
Wisconsin Certification Board, Inc.

The Executive Committee having received the results of the investigation into the alleged misconduct of Joseph K. Sumner and in conformity with its rules now makes the following:

FINDINGS OF FACT

Joseph K. Sumner:

1. lists his residence as 2904 Venus Ave, Eau Claire, WI 54703-1052;
2. became a certified alcohol/drug counselor III on 5/8/1998 and a certified clinical supervisor-grandpersoned on 3/2/2001;
3. is the director of Serenity House, Inc.
4. was cited by Wisconsin's Dept. of Health & Family Services (DHFS) Bureau of Quality Assurance (BQA) regarding sections HFS 75.03(13)(a) and HFS 75.02(84) of the Wisconsin Administrative Code. Joe Sumner allowed Robert K. Sumner, CADC III Retired Emeritus to function as an active counselor at Serenity House; and
5. On November 4, 2002 and May 22, 2003 was cited by DHFS BQA to have failed to provide clinical supervision to staff as required by HFS 75.14(5)(a)1 and HFS 75.03(4)(g)

CONCLUSION

The Executive Committee concludes that the citations given to the respondent by Wisconsin's DHFS BQA makes a prima facie case that the respondent failed to comply with the Wisconsin Administrative Code. As a result of the respondent's failure to comply with the Wisconsin Administrative Code, this committee finds that the respondent breached the following Rules within the *Code of Conduct for Clinical Supervisors*:

2.2 - AODA Clinical Supervisors do not permit students, employees, or supervisees to perform or to hold themselves out as competent to perform professional services beyond their training, level of experience, and competence.

4.1 - AODA Clinical Supervisors remain accountable to the standards of the profession when acting as members or employees of organizations.

6.6 - AODA Clinical Supervisors make certain that the qualifications of persons under their supervision are represented in a manner that is not false, misleading, or deceptive.

DECISION

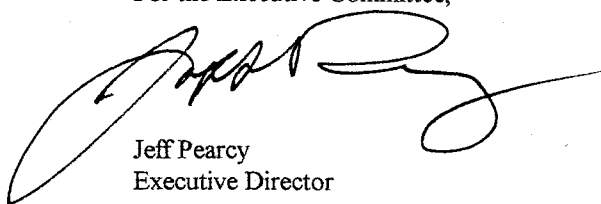
Pursuant to Section V(A)(3) of the WCB *Counselor Code of Conduct* (Rev. 1996), Action by the Executive Committee, Joseph K. Sumner is hereby given a Public Reprimand.

In addition, Joseph K. Sumner at his own expense is ordered to partake in no less than 30 hours of education and training covering the clinical supervision domain areas of: assessment and evaluation, counselor development, professional responsibility, and management and administration. The respondent's training must cover all Knowledge

Base education areas, 1001 through 1012, and Skill Based training areas, 1101 through 1111 as identified in the WCB AODA Certification Handbook for Clinical Supervisors. This education and training is to be completed by March 1, 2005.

Please be advised of your rights to appeal, hearing, and reinstatement as outlined in Sections VI and VII of the Counselor and Clinical Supervisor's Codes of Conduct.

For the Executive Committee,

A handwritten signature in black ink, appearing to read 'Jeff Percy', with a large, stylized flourish extending from the end of the signature.

Jeff Percy
Executive Director

Cc file



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Jeff Percy, MPA, Executive Director

August 18, 2003

Joseph K. Sumner
2904 Venus Ave
Eau Claire, WI 54703-1052

Dear Mr. Sumner:

Enclosed is the Wisconsin Certification Board's (WCB) Executive Committee Decision regarding the complaint made against you and its subsequent investigation.

The Executive Committee has asked me to caution you that if you continue to be found to be in non compliance with the Wisconsin Administrative Code in your responsibilities as a counselor or clinical supervisor, you may likely find additional complaint actions made against you. This could be placing your WCB credentials at risk.

If you have any questions, please contact me at the Board office.

Sincerely,

A handwritten signature in dark ink, appearing to read "Jeff Percy", is written over a large, stylized, loopy flourish that extends to the left and right.

Jeff Percy
Executive Director

Enclosure: Decision

cc file