

# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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**BEFORE THE WISCONSIN CERTIFICATION BOARD**

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**APPEAL OF COUNSELOR CAROL J. HORWATH FROM A DECISION OF  
THE WISCONSIN CERTIFICATION BOARD EXECUTIVE COMMITTEE  
DATED AUGUST 17, 2001**

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**STIPULATION**

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**IT IS HEREBY STIPULATED** by and between Carol J. Horwath represented by her attorneys Boardman, Suhr, Curry & Field LLP represented by Gary L. Antoniewicz and the Wisconsin Certification Board represented by its attorneys Halling & Cayo, S.C. appearing by David B. Halling as follows:

1. Carol J. Horwath's credentials as a CADC II counselor shall be suspended for the period June 11 through and inclusive of December 11, 2001; reinstatement of the credential at the end of the suspension period shall be automatic and shall require no further action on the part of Horwath.

2. Horwarth shall attend an ethic's workshop that in part is specific to boundary violations; that workshop shall be attended by Horwarth within one year of the date of the signing of this agreement subject to course availability and approval of the course by the Wisconsin Certification Board.

3. The appeal presently pending before the Wisconsin Certification Board hearing panel pursuant to the Code of Conduct ¶ VI shall be dismissed.

Dated: <sup>January</sup> ~~December~~ 4<sup>2</sup>, 2001.

Dated: <sup>January</sup> ~~December~~ 15<sup>2</sup>, 2001.

WISCONSIN CERTIFICATION BOARD

Carol Horwath  
Carol Horwath  
Respondent

BOARDMAN, SUHR, CURRY &  
FIELD LLP

By: Gary L. Antoniewicz  
Gary L. Antoniewicz  
State Bar I.D. No. 1017114  
Attorneys for Respondent

By: Jeff Percy  
Jeff Percy, Executive Director  
Petitioners

HALLING & CAYO, S.C.

By: David B. Halling  
David B. Halling, Esq.  
State Bar I.D. No. 1008284  
Attorneys for Petitioner



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**CERTIFICATION BOARD**

Jeff Percy, MPA, Executive Director

August 17, 2001

**Re:** Complaint # 2001-02  
  
Complainant: Pastricia Pendowski, CADCH, CCSII  
ARO Counseling Centers  
  
Respondent: Carol J Horwath, CADCH

**To:** Board of Directors  
Wisconsin Certification Board, Inc.

The Executive Committee having received the results of the investigation into the alleged misconduct of Carol J Horwath and in conformity with its rules now makes the following:

**FINDINGS OF FACT**

Carol J Horwath:

1. acquired Wisconsin Certification Board, Inc. (WCB) certification as a Certified Alcohol/Drug Counselor II on June 2, 2000;
2. received counseling due to the death of her spouse in July, 2000;
3. was employed at ARO Counseling Centers
4. did not work in the same ARO facility where her friends, Michael Roddy & his spouse were receiving counseling;
5. stipulated to the allegation that she developed a romantic relationship with her long time friend, Michael Roddy, during his & his spouse's treatment at ARO Counseling; and the respondent allowed Michael Roddy to move into her home;
6. is maintaining her romantic relationship with Michael Roddy;
7. is suspended without pay from ARO Counseling Centers; and

**CONCLUSION**

The Executive Committee concludes that the respondent, Carol J Horwath, breached the following Rules within the *Counselor Code of Conduct* (Rev. 1996):

Rule 4.1 A counselor shall not engage in any form of sexual contact/behavior with clients, nor engage in any form of sexual contact/behavior with former therapy clients for two years after the cessation or termination of professional services within the client's continuum of care. The prohibition shall apply with respect to any client/patient of the agency by which the counselor is employed, regardless of whether or not the client/patient is on the counselor's caseload.

Rule 6.1 – A counselor shall not develop, implement, or maintain exploitative relationships with current or past clients.

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Complaint # 2001-02

**DECISION**

Pursuant to Section V(A)(3) of the WCB *Counselor Code of Conduct*, Action by the Executive Committee, Carol J Horwath's certification as an Alcohol/Drug Counselor II is hereby revoked.

Please be advised of your rights to appeal, hearing, and reinstatement as outlined within the *Counselor Code of Conduct*, Sections VI and VII.

For the Executive Committee,



Jeff Percy  
Executive Director

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