WISCONSIN DEPARTMENT OF REGULATION & LICENSING



Wisconsin Department of Regulation & Licensing Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Regulation & Licensing website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Regulation and Licensing from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the Department of Regulation and Licensing data base. Because this data base changes constantly, the Department is not responsible for subsequent entries that update, correct or delete data. The Department is not responsible for notifying prior requesters of updates, modifications, corrections or deletions. All users have the responsibility to determine whether information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Regulation and Licensing, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. *All requests must cite the case number, the date of the order, and respondent's name* as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the
 appeal. Information about the current status of a credential issued by the Department of
 Regulation and Licensing is shown on the Department's Web Site under "License Lookup."
 The status of an appeal may be found on court access websites at:
 http://ccap.courts.state.wi.us/InternetCourtAccess and http://www.courts.state.wi.us/wscca.
- Records not open to public inspection by statute are not contained on this website.

By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.

Correcting information on the DRL website: An individual who believes that information on the website is inaccurate may contact the webmaster at <u>web@drl.state.wi.gov</u>

BEFORE THE AUCTIONEER BOARD

IN THE MATTER OF THE DISCIPLINARY

PROCEEDINGS AGAINST

RODNEY A. BACKES

RESPONDENT

FINAL DECISION AND ORDER

LS0002233AUC

Division of Enforcement Case No. 99 AUC 033

The parties to this action for the purposes of section 227.53 of the Wisconsin statute are:

Rodney A. Backes 1025 Hawley Street Jessup, IA 50648

Department of Regulation and Licensing Division of Enforcement PO Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Rodney A. Backes, (DOB 12/14/68; 1025 Hawley Street, Jessup, IA 50648) is duly licensed as an auctioneer in the state of Wisconsin (license #1333). This license was granted on February 5, 1998

2. On or about November 23, 1998, Respondent submitted his Auctioneer Renewal Application for the 1999-2000 biennium. On his application, Respondent indicated that he had passed the test out exam administered by the Department of Regulation and Licensing in satisfaction of the continuing education requirement for the 1997-1998 biennium. A true and correct copy of Respondent's Auctioneer Renewal Application is attached to this document as Exhibit A. Exhibit A is incorporated by reference into this document.

3. The representation by Respondent referred to in ¶2 above that he had passed the test out exam is false. At the time Respondent submitted his renewal application, he did not fully understand the requirements for renewal.

4 In resolution of this matter, Mr. Backes consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

Based on the conduct referred to in paragraphs 2 and 3 above, Respondent has violated sec. 480.24(2)(a), Stats. and Wis. Admin. Code secs. RL 126.02(10) and RL 128.02.

ORDER

1. NOW, THEREFORE, **IT IS HEREBY ORDERED** that the auctioneer's license of Rodney A. Backes is SUSPENDED for an INDEFINITE PERIOD OF TIME. This suspension shall last until such time as Respondent presents documentation of successful completion of the education examination conducted by the department in lieu of the educational program set forth in Wis. Admin. Code <u>s. RL 128.03</u>. Documentation shall be submitted to the Department Monitor.

2. The Respondent is responsible for all costs associated with fulfilling the requirements of this Order.

3. The Department Monitor is the designated agent of the Board responsible for receipt of all documentation required by this Order. The Department Monitor may be reached at

Department Monitor

Division of Enforcement

PO Box 8935

Madison, WI 53708-8935

FAX (608) 266-2264

TEL. (608) 261-7938

4. This Order shall become effective upon the date of its signing.

WISCONSIN AUCTIONEER BOARD

Ву

Richard O. Lust

March 27, 2000

A member of the Board

Date