# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



# Wisconsin Department of Regulation & Licensing Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Regulation & Licensing website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

#### Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Regulation and Licensing from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the
  Department of Regulation and Licensing data base. Because this data base changes
  constantly, the Department is not responsible for subsequent entries that update, correct or
  delete data. The Department is not responsible for notifying prior requesters of updates,
  modifications, corrections or deletions. All users have the responsibility to determine whether
  information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Regulation and Licensing, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. All requests must cite the case number, the date of the order, and respondent's name as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the
  appeal. Information about the current status of a credential issued by the Department of
  Regulation and Licensing is shown on the Department's Web Site under "License Lookup."
  The status of an appeal may be found on court access websites at:
  <a href="http://ccap.courts.state.wi.us/InternetCourtAccess">http://ccap.courts.state.wi.us/InternetCourtAccess</a> and <a href="http://www.courts.state.wi.us/wscca">http://www.courts.state.wi.us/licenses</a>.
- Records not open to public inspection by statute are not contained on this website.

By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.

**Correcting information on the DRL website:** An individual who believes that information on the website is inaccurate may contact the webmaster at <a href="web@drl.state.wi.gov">web@drl.state.wi.gov</a>

STATE OF WISCONSIN
BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY : PROCEEDINGS AGAINST :

LISA DIEP NGUYEN, RESPONDENT FINAL DECISION AND ORDER 96 BAC 134

The parties to this action for the purposes of section 227.53 of the Wisconsin statutes are:

Lisa Diep Nguyen 2636 Mason St., Apt. 3 Appleton, WI 54914

Barbering and Cosmetology Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

# FINDINGS OF FACT

- 1. Lisa Diep Nguyen (DOB 09-05-1967), 2636 Mason St., Apt. 3, Appleton, WI 54914, is duly licensed as a manicurist in the state of Wisconsin (license #2996). This license was first granted on July 25, 1997.
- 2. Lovely Nails, 912 W. Northland Ave., Appleton, WI 54914, is duly licensed as a manicuring establishment in the state of Wisconsin (license #699). This license was first granted on April 17, 1996.

- 3. On exact dates unknown, but at least on March 18, 1997, Lisa Diep Nguyen was employed at Lovely Nails to provide manicuring services to the public. At no time relevant to this action did Ms. Nguyen hold a valid and current Wisconsin manicurist license.
- 4. In resolution of this matter, Ms. Nguyen consents to the entry of the following Conclusions of Law and Order.

### **CONCLUSIONS OF LAW**

By the conduct referred to in ¶ 3, above, Lisa Diep Nguyen, violated sec. 454.04(1)(d), Stats.

### **ORDER**

NOW, THEREFORE, IT IS HEREBY ORDERED that Lisa Diep Nguyen, shall pay to the Department of Regulation and Licensing a forfeiture of ONE HUNDRED dollars (\$100.00). Payment shall be submitted within 30 days from the date of this order. Payment shall be made by certified check or money order, payable to the Wisconsin Department of Regulation and Licensing and sent to:

Department Monitor
Division of Enforcement
PO Box 8935
Madison, WI 53708-8935

IT IS FURTHER ORDERED that in the event Lisa Diep Nguyen fails to timely submit payment of the forfeiture as set forth above, the manicurist license of Respondent (#2996) SHALL BE SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of this Order.

This Order shall become effective upon the date of its signing.

BARBERING AND COSMETOLOGY EXAMINING BOARD

A member of the Roard

Date

STATE OF WISCONSIN BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF : DISCIPLINARY PROCEEDINGS AGAINST :

LISA DIEP NGUYEN, : STIPULATION RESPONDENT : 96 BAC 134

It is hereby stipulated between Lisa Diep Nguyen, personally on her own behalf and Steven M. Gloe, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Enforcement (96 BAC 134). Ms. Nguyen consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Ms. Nguyen understands that by the signing of this Stipulation she voluntarily and knowingly waive her rights, including: the right to a hearing on the allegations against her, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against her; the right to call witnesses on her behalf and to compel their attendance by subpoena; the right to testify herself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to her under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes and the Wisconsin Administrative Code.
- 3. Ms. Nguyen is aware of her right to seek legal representation and has been Provided an opportunity to obtain legal advice prior to signing this stipulation.
- 4. Ms. Nguyen agrees to the adoption of the attached Final Decision and Order by the Barbering and Cosmetology Examining Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondents waive all rights to any appeal of the attached order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

الإناف في المواجعة

- 6. The parties to this stipulation agree that the attorney for the Division of Enforcement and the member of the Barbering and Cosmetology Examining Board assigned as an advisor in this investigation may appear before the Board for the purposes of speaking in support of this agreement and answering questions that the Board may have in connection with their deliberations on the stipulation.
- 7. The Division of Enforcement joins Ms. Nguyen in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Lisa Dien Nguven

Date

Steven M. Gloe, Attorney

Division of Enforcement

Date

# Department of Regulation & Licensing

State of Wisconsin

P O. Box 8935, Madison, WI 53708-8935

(608)

TTY# (608) 267-2416 hearing or speech TRS# 1-800-947-3529 impaired only

# **GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES**

On June 1, 1998	, the Barbering ar	nd Cosmetology Examining Board
took disciplinary action against you forfeiture.	ir license. Part of the	discipline was an assessment of costs and/or a
The amount of the costs assessed is:		Case #:
The amount of the forfeiture is:	\$100.00	Case # LS98060117BAC
Please submit a check or a money ord	ler in the amount of \$	100.00
The costs and/or forfeitures are due:	July 1, 1998	
NAME: Lisa Diep Nguyen		LICENSE NUMBER: 2996
STREET ADDRESS: 2636 Mason	Street, Apt. 3	
CITY: Appleton		STATE: WI ZIP CODE: 54914
Check whether the payment is for cos	sts or for a forfeiture or l	ooth:
COSTS	X FORFE	ITURE
Check whether the payment is for an	individual license or an	establishment license:
X INDIVIDUAL	ESTAB	BLISHMENT
If a payment plan has been established	d, the amount due mont	hly is: For Receipting Use Only
Make checks payable to:		
DEPARTMENT OF REGUL 1400 E. WASHINGTON AVI P.O. BOX 8935 MADISON, WI 53708-8935		ING
#2145 (Rev. 9/96) Ch. 440.22, Stats. G \BDLS\FM2145 DOC	nitted to Equal Opportunity in En	nployment and Licensing+

# STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

In the Matter of the Disciplinary Proceedings Against

Respondent.

Lisa Diep Nguyen,

AFFIDAVIT OF MAILING

STATE OF WISCONSIN	)
	)
COUNTY OF DANE	

I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:

- 1. I am employed by the Wisconsin Department of Regulation and Licensing.
- 2. On June 4, 1998, I served the Final Decision and Order dated June 1, 1998, and Guidelines for Payment of Costs and/or Forfeitures, LS98060117BAC, upon the Respondent Lisa Diep Nguyen by enclosing true and accurate copies of the above-described documents in an envelope properly stamped and addressed to the above-named Respondent and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 221 158 950.
- 3. The address used for mailing the Decision is the address that appears in the records of the Department as the Respondent's last-known address and is:

Lisa Diep Nguyen 2636 Mason Street, Apt. 3 Appleton WI 54914

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

oka to before me

0 100

Notary Public, State of Wisconsin My commission is permanent.

WISC

## NOTICE OF RIGHTS OF APPEAL

## TO: LISA DIEP NGUYEN

You have been issued a Final Decision and Order. For purposes of service the date of mailing of this Final Decision and Order is  $\frac{6/4/98}{}$  Your rights to request a rehearing and/or judicial review are summarized below and set forth fully in the statutes reprinted on the reverse side.

#### A. REHEARING.

Any person aggreeved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in section 227.49 of the Wisconsin Statutes. The 20 day period commences on the day of personal service or the date of mailing of this decision. The date of mailing of this Final Decision is shown above.

A petition for rehearing should name as respondent and be filed with the party identified below.

A petition for rehearing shall specify in detail the grounds for relief sought and supporting authorities. Rehearing will be granted only on the basis of some material error of law, material error of fact, or new evidence sufficiently strong to reverse or modify the Order which could not have been previously discovered by due diligence. The agency may order a rehearing or enter an order disposing of the petition without a hearing. If the agency does not enter an order disposing of the petition within 30 days of the filling of the petition, the petition shall be deemed to have been denied at the end of the 30 day period.

A petition for rehearing is not a prerequisite for judicial review.

#### B. JUDICIAL REVIEW.

Any person aggreed by this decision may petition for judicial review as specified in section 227.53, Wisconsin Statutes (copy on reverse side). The petition for judicial review must be filed in circuit court where the petitioner resides, except if the petitioner is a non-resident of the state, the proceedings shall be in the circuit court for Dane County. The petition should name as the respondent the Department, Board, Examining Board, or Affiliated Credentialing Board which issued the Final Decision and Order. A copy of the petition for judicial review must also be served upon the respondent at the address listed below.

A petition for judicial review must be served personally or by certified mail on the respondent and filed with the court within 30 days after service of the Final Decision and Order if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing. Courts have held that the right to judicial review of administrative agency decisions is dependent upon strict compliance with the requirements of sec. 227.53 (1) (a), Stats. This statute requires, among other things, that a petition for review be served upon the agency and be filed with the clerk of the circuit court within the applicable thirty day period.

The 30 day period for serving and filing a petition for judicial review commences on the day after personal service or mailing of the Final Decision and Order by the agency, or, if a petition for rehearing has been timely filed, the day after personal service or mailing of a final decision or disposition by the agency of the petition for rehearing, or the day after the final disposition by operation of the law of a petition for rehearing. The date of mailing of this Final Decision and Order is shown above.

The petition shall state the nature of the petitioner's interest, the facts showing that the petitioner is a person aggrieved by the decision, and the grounds specified in section 227.57, Wisconsin Statutes, upon which the petitioner contends that the decision should be reversed or modified. The petition shall be entitled in the name of the person serving it as Petitioner and the Respondent as described below.

# SERVE PETITION FOR REHEARING OR JUDICIAL REVIEW ON:

STATE OF WISCONSIN BARBERING AND COSMETOLOGY EXAMINING BOARD

P.O. Box 8935
Madison WI 53708-8935