

WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

STATE OF WISCONSIN
BEFORE THE EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS,
PROFESSIONAL GEOLOGISTS, PROFESSIONAL ENGINEERS,
DESIGNERS AND LAND SURVEYORS

IN THE MATTER OF
THE INVESTIGATION OF

THOMAS J. DRUML, P.E.,

Licensee

LS970522/EN6

MEMORANDUM & ORDER ON SETTLEMENT CONFERENCE

TO: Thomas J. Druml, P.E.
15530 Oak Lane
Brookfield, WI 53005

Roger R. Hall
Attorney at Law
1400 East Washington Avenue
P.O. Box 8935
Madison, WI 53708

An informal settlement conference was conducted in the above-captioned matter before the Professional Engineer section of the Examining Board of Architects, Landscape Architects, Professional Geologists, Professional Engineers, Designers and Land Surveyors on April 17, 1997. The purpose of the conference was to provide interested parties with an opportunity to discuss allegations received pertaining to the practice of Mr. Druml as a professional engineer, and to attempt to reach a fair and consensual resolution of the matter.

Mr. Druml appeared in person and without legal counsel. The committee consisted of Mark E. Nurczyk, P.E., Section Chairman, and Floyd E. Rathburn, public member. Others present included Roger R. Hall, attorney for the Department of Regulation & Licensing, Division of Enforcement, and Wayne Austin, the board's legal counsel.

The parties orally presented their respective positions regarding the matter to the board, and the board deliberated on a possible disposition of the matter. The board thereafter presented a proposed Stipulation for Mr. Druml's consideration, a copy of which is attached hereto and made a part hereof. The Stipulation was ultimately executed by Mr. Druml, Mr. Hall, and Mr. Nurczyk.

Based upon the proceedings at the conference, and upon the Stipulation of the parties, the board enters the following order.

ORDER

NOW, THEREFORE, IT IS ORDERED that Thomas J. Druml, P.E. shall be, and hereby is, reprimanded, and his license is limited to require that within one year following the date hereof, Mr. Druml shall successfully complete the two credit basic level correspondence course in ethics entitled *Independent Study and Research Program in Engineering Ethics and Professionalism*, offered by the Murdoch Center for Engineering Professionalism at Texas Tech University, Lubbock, Texas.

Dated this 22nd day of May, 1997.

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS,
LANDSCAPE ARCHITECTS, PROFESSIONAL GEOLOGISTS,
PROFESSIONAL ENGINEERS, DESIGNERS AND LAND SURVEYORS

by Mark Nurczyk
Mark Nurczyk, P.E.
Chairman, Professional Engineer Section

STATE OF WISCONSIN
BEFORE THE EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS,
PROFESSIONAL GEOLOGISTS, PROFESSIONAL ENGINEERS,
DESIGNERS AND LAND SURVEYORS

IN THE MATTER OF
THE INVESTIGATION OF

THOMAS J. DRUML, P.E.,

Licensee


STIPULATION

Thomas J. Druml, P.E. and the Professional Engineer Section of the Examining Board of Architects, Landscape Architects, Professional Geologists, Professional Engineers, Designers and Land Surveyors (board), having reached agreement on disposition of the informal complaint identified as 96 ENG 004, agree and stipulate as follows:


1. This Stipulation shall be made a part of a Memorandum and Order on Settlement Conference to be issued by the board, and all terms of the Stipulation shall be binding on Mr. Druml as a part of the board's order.
2. This Stipulation and the board's order shall be placed in Mr. Druml's permanent file, and may be used if there are further complaints against him.
3. Mr. Druml is licensed to practice as a professional engineer in Wisconsin by license #23874, issued on August 9, 1985, and he resides at 15530 Oak Lane, Brookfield, WI 53005.
4. On July 18, 1994, Mr. Druml found guilty on his plea of not guilty and was convicted in the Federal District Court for the Eastern District of Wisconsin on one Count of Fraudulent Transfer of Assets in a Bankruptcy Case, and one Count of Making False Statements in a Bankruptcy Case, in violation of federal law. Mr. Druml was convicted to concurrent sentences of 10 months for each of the Counts and ordered to pay restitution in the amount of \$37,500.00. Upon his release from prison, Mr. Druml was to be placed on probation for a period of three years.
5. By the conduct described, Mr. Druml has engaged in conduct which evidences a lack of trustworthiness to transact the business required by the profession, in violation of sec. A-E 8.03(2)(c), Code.

6. The parties agree that in resolution of this matter, Mr. Druml shall be reprimanded, and his license shall be limited to require that within one year following the date of the board's order adopting this Stipulation, Mr. Druml shall successfully complete the two credit basic level correspondence course in ethics entitled *Independent Study and Research Program in Engineering Ethics and Professionalism*, offered by the Murdoch Center for Engineering Professionalism at Texas Tech University, Lubbock, Texas.

Dated this 5TH day of MAY, 1997.

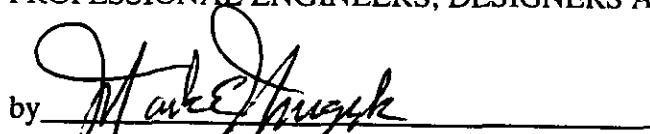

Thomas J. Druml, P.E.

Dated this 12th day of May, 1997.


Roger R. Hall, Esq.

Dated this 14th day of May, 1997.

STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS,
LANDSCAPE ARCHITECTS, PROFESSIONAL GEOLOGISTS,
PROFESSIONAL ENGINEERS, DESIGNERS AND LAND SURVEYORS

by 
Mark Nurczyk, P.E.
Chairman, Professional Engineer Section

STATE OF WISCONSIN
DEPARTMENT OF REGULATION AND LICENSING
BEFORE THE EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS,
PROFESSIONAL GEOLOGISTS, PROFESSIONAL ENGINEERS, DESIGNERS AND LAND
SURVEYORS

In the Matter of the Investigation of

Thomas J. Druml, P.E.,

AFFIDAVIT OF MAILING

Licensee.

STATE OF WISCONSIN)
)
COUNTY OF DANE)

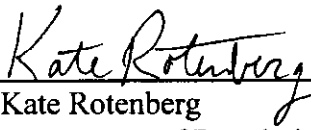
I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:

1. I am employed by the Wisconsin Department of Regulation and Licensing.

2. On May 23, 1997, I served the Memorandum & Order on Settlement Conference dated May 22, 1997 upon the Licensee Thomas J. Druml, P.E. by enclosing a true and accurate copy of the above-described document in an envelope properly stamped and addressed to the above-named Licensee and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 221 157 540.

3. The address used for mailing the Decision is the address that appears in the records of the Department as the Licensee's last-known address and is:

Thomas J. Druml, P.E.
15530 Oak Lane
Brookfield WI 53005



Kate Rotenberg
Department of Regulation and Licensing
Office of Legal Counsel

Subscribed and sworn to before me

this 23rd day of May, 1997.



Notary Public, State of Wisconsin

My commission is permanent.

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review. The Times Allowed For Each. And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:
STATE OF WISCONSIN EXAMINING BOARD OF ARCHITECTS, LANDSCAPE ARCHITECTS,
PROFESSIONAL GEOLOGISTS, PROFESSIONAL ENGINEERS, DESIGNERS AND LAND SURVEYORS

1400 East Washington Avenue

P.O. Box 8935

Madison, WI 53708.

The Date of Mailing this Decision is:

May 23, 1997

1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the *Wisconsin Statutes*, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, *Wisconsin Statutes* a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)