## WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN
BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

95 BAC 125

DALE NELSON,

d/b/a/ KINGS BARBER SHOP,

RESPONDENT.

The parties to this action for the purposes of Wis. Stats. sec. 227.53 are:

Dale Nelson Kings Barber Shop 4304 W. Forest Home Ave. Milwaukee, WI 53215

Barbering and Cosmetology Examining Board Bureau of Business Professions Post Office Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

#### FINDINGS OF FACT

- 1. Dale Nelson (DOB 05/26/36), 4304 West Forest Home Avenue, Milwaukee, WI 53215, is duly licensed in the State of Wisconsin as a barbering and cosmetology manager, license # 22204.
- 2 Kings Barber Shop, 4304 West Forest Home Avenue, Milwaukee, WI 53215 is duly licensed in the State of Wisconsin as a barbering and cosmetology establishment, license # 18371. This establishment license was granted on June 16, 1964.

- 3. On November 7, 1995 an inspection of Kings Barber Shop was performed as the result of a consumer complaiant. That inspection revealed several sanitation and equipment violations, namely: 1) No disposable towels in rest room, 2) No sink, separate from the toilet for cleaning combs, brushes and other equipment, 3) No disinfectant for use on metal instruments, 4) No body fluid exposure protocol was posted, and 5) There were no gloves, bandages or topical disinfectant on hand as required in the event of accidental occupational exposure to body fluids. An order to correct was issued by Investigator Johnson and received by Mr. Nelson.
- 4. On November 14, 1995, The Department received a written Notice of Compliance from Mr. Nelson in which he indicated that all of the cited violations had been corrected. That Notice of Compliance was signed and dated by Mr. Nelson on November 12, 1995.
- 5. On February 7, 1996 Investigator Johnson did a re-inspection. Mr. Johnson discovered that the sink which Mr. Nelson indicated had been installed had not been attached to the building's plumbing. There was no hot or cold water plumbed to the sink not was there waste plumbed from the sink.
- 6. On February 6, 1997 Investigator Johnson made another stop at the shop and discovered that the sink still was not hooked up.
- 7. In resolution of this matter, Mr. Nelson consents to the entry of the following Conclusions of Law and Order.

#### **CONCLUSIONS OF LAW**

Dale Nelson, by the conduct referred to in ¶¶3 through 6, above, has violated § BC 3.01 (5), Wis. Admin. Code, failure to provide disposable towels in rest room; and violated § BC 4.02 (4), Wis. Admin. Code, failure to properly disinfect metal instruments between patrons; and violated § BC 4.02 (3), Wis. Admin. Code, failure to properly disinfect combs and brushes between patrons; and violated §BC 4.05, failure to post and follow the accidental occupational blood exposure protocol; and violated §BC 3.01 (5) used the rest room as a dispensary.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED that Dale Nelson shall pay to the Department of Regulation and Licensing a forfeiture of FIVE HUNDRED dollars (\$500.00). Payment shall be submitted no later than sixty (60) days from the date of this order.

Payment shall be by certified check or money order, payable to the Wisconsin Department of Regulation and Licensing and sent to:

Wisconsin Department of Regulation and Licensing Attn: Michelle Neverman, Department Monitor P.O. Box 8935 Madison, WI 53708 IT IS FURTHER ORDERED that in the event Respondent Dale Nelson fails to timely submit payment of the forfeiture as set forth above, his manager license and the establishment license of Kings Barber Shop, 4304 W. Forest Home Ave., Milwaukee, WI 53215 SHALL BE SUSPENDED, without further notice or hearing, until Mr. Nelson has complied with the terms of this order.

This order shall become effective upon the date of its signing.

WISCONSIN BARBERING AND COSMETOLOGY BOARD

By: La Car

Date

## STATE OF WISCONSIN BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

DALE NELSON, d/b/a/ KINGS BARBER SHOP RESPONDENT. 95 BAC 125

It is hereby stipulated between Dale Nelson, personally on his own behalf and on behalf of Kings Barber Shop; and Henry E. Sanders, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows that:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Enforcement (95 BAC 125). Mr. Nelson consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Mr. Nelson understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes and the Wisconsin Administrative Code.
- 3. Mr. Nelson is aware of his right to seek legal representation and has been provided an opportunity to obtain legal advice prior to signing this Stipulation.
- 4. Mr. Nelson agrees to the adoption of the attached Final Decision and Order by the Barbering and Cosmetology Examining Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the attached order, if adopted in the form as attached.
- 5, If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree to contend that the Board had not been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties to this Stipulation agree that the attorney for the Division of Enforcement and the member of the Barbering and Cosmetology Board assigned as advisor in

this investigation may appear before the Board for the purposes of speaking in support of this agreement and answering questions that the Board may have in connection with their deliberations on the Stipulation.

7. The Division of Enforcement joins Mr. Nelson in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Dale Nelson, Respondent

Kings Barber Shop

Henry F. Sanders, Attorney Division of Enforcement April 16, 1997
Date

Opil 16, 1997
Date

4/30/97 Date

# Department of Regulation & Licensing State of Wisconsin P.O. Box 8935, Madison, WI 53708-8935

(608)

TTY# (608) 267-2416<sub>]</sub>-hearing or speech TRS# 1-800-947-3529<sup>]</sup>-impaired only

#### **GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES**

On May 9, 1997 , tl	ne Barbering and Cosmetology Examining Board
took disciplinary action against your licen forfeiture.	se. Part of the discipline was an assessment of costs and/or a
The amount of the costs assessed is:	Case #:
The amount of the forfeiture is: \$500.	00 Case # 95BAC125
Please submit a check or a money order in the	e amount of \$ 500.00
The costs and/or forfeitures are due: June 8	3, 1997
NAME: Dale Nelson	LICENSE NUMBER: 22204
STREET ADDRESS: 4304 West Forest H	ome Avenue
CITY: Milwaukee	STATE: WI ZIP CODE: 53215
Check whether the payment is for costs or fo	r a forfeiture or both:
COSTS	X_ FORFEITURE
Check whether the payment is for an individ	ual license or an establishment license:
X INDIVIDUAL	ESTABLISHMENT
If a payment plan has been established, the a	mount due monthly is: For Receipting Use Only
Make checks payable to:	
DEPARTMENT OF REGULATION 1400 E. WASHINGTON AVE., ROC P.O. BOX 8935	
MADISON, WI 53708-8935	
#2145 (Rev. 9/96) Ch. 440.22, Stats.	

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#### STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

In the Matter of the Disciplinary Proceedings Against

Respondent.

Dale Nelson, d/b/a Kings Barber Shop,

AFFIDAVIT OF MAILING

STATE OF WISCONSIN	)
COUNTY OF DANE	

I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:

- 1. I am employed by the Wisconsin Department of Regulation and Licensing.
- On May 12, 1997, I served the Final Decision and Order dated May 9, 1997, and Guidelines for Payment of Costs and/or Forfeitures upon the Respondent Dale Nelson, d/b/a Kings Barber Shop by enclosing a true and accurate copy of the above-described document in an envelope properly stamped and addressed to the above-named Respondent and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 201 374 229.
- 3. The address used for mailing the Decision is the address that appears in the records of the Department as the Respondent's last-known address and is:

Dale Neison, RRY PURILL Kings Barber Shop 4304 W. Forest Home Avenue Milwaukee WI 53215

Subscribed and sworn to before me

JEFT MOL MISCORY Subscribe

My commission is permanent.

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

#### NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review. The Times Allowed For Each. And The Identification Of The Party To Be Named As Respondent.

#### Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN BARBERING AND COSMETOLOGY EXAMINING BOARD

1400 East Washington Avenue P.O. Box 8935 Madison, WI 53708.

#### The Date of Mailing this Decision is:

May 12, 1997

#### 1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

#### 2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filled in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)