# WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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# STATE OF WISCONSIN BEFORE THE PSYCHOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

LS 9705091 PSY

EUGENE BRAAKSMA, PHD, RESPONDENT.

96 PSY 023

#### FINAL DECISION AND ORDER

The parties to this action for the purposes of Wis. Stats. sec. 227.53 are:

Eugene Braaksma, Ph.D. 2228 E. Newberry Boulevard Milwaukee, WI 53211

Wisconsin Psychology Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Psychology Examining Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

#### **FINDINGS OF FACT**

- 1. Eugene Braaksma, Ph.D., Respondent, date of birth April 22, 1948, is licensed by the Wisconsin Psychology Examining Board as a psychologist in the state of Wisconsin pursuant to license number 1236, which was first granted September 12, 1986.
- 2. Respondent's last address reported to the Department of Regulation and Licensing is 2228 E. Newberry Boulevard, Milwaukee, WI 53211.
- 3. Pursuant to §§ 440.08(2)(a)64., and 455.06, Stats., each person licensed to practice as a psychologist in the state of Wisconsin must renew that license biennially, by October 1 of each odd numbered year.
- 4. Wis. Adm. Code § Psy 4.01 states that in order to be qualified to renew the license, the licensee must have met the continuing education requirements specified in Wis. Adm. Code § Psy 4.02.

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- 5. Wis. Adm. Code § PSY 4.02(1), promulgated pursuant to §§ 455.06 and 455.065, Stats., requires each licensee to complete at least 40 hours of board-approved continuing education in each biennial registration period to be eligible to register for the next biennial registration period.
- 6. Prior to October 1, 1995, the Wisconsin Department of Regulation and Licensing sent Respondent a form to execute and return with the required fee if Respondent wished to renew his license to practice psychology in the state of Wisconsin for the October 1, 1995 through September 30, 1997 biennium.
- 7. That renewal form contains the following language, immediately above the line which Respondent was to date and sign:

"I have, or will have completed the 40 contact hours of board-approved continuing education during the biennium immediately preceding this application (10/1/93-9/30/95), and I have or will have evidence of this which I will furnish to the Psychology Examining Board upon request."

- 8. Respondent signed and returned the renewal form certifying that Respondent had completed the required continuing education requirements of the Psychology Examining Board during the October 1,1993 through September 30, 1995 biennium.
- 9. On November 27, 1995, as part of a random audit which the Psychology Examining Board conducted of its licensees, Respondent was sent a letter requesting Respondent to send the Board verification that Respondent had completed the 40 hours of continuing education, which Respondent had claimed to have completed prior to renewing Respondent's license for the October 1, 1995 through September 30, 1997 biennium.
- 10. Respondent did not respond to the November 27, 1995 request for verification that Respondent had completed the required continuing education.
- 11. On March 27, 1996, as follow-up to the November 27, 1995 letter, Respondent was sent a second letter requesting Respondent to send the Board verification that Respondent had completed the 40 hours of continuing education, which Respondent had claimed to have completed prior to renewing Respondent's license for the October 1, 1995 through September 30, 1997 biennium. That letter noted that Respondent had not answered the earlier letter.
- 12. Respondent did not respond to that second request for verification that Respondent had completed the required continuing education.
- 13. The Board referred the matter to the Wisconsin Department of Regulation and Licensing, Division of Enforcement for investigation and possible prosecution, and investigative file 96 PSY 023 was opened on June 20, 1996.

- 14. On March 4, 1997, the Division wrote to Respondent and advised Respondent that, if Respondent did not provide proof within two weeks of the date of the letter that Respondent had completed the required continuing education, the Division would take appropriate action against Respondent. Respondent was also advised that if Respondent did not respond within thirty days, the Division would include a count in a disciplinary complaint that Respondent failed to cooperate with this investigation.
  - 15. Respondent did not respond to the March 4, 1997 letter from the Division.
- 16. On March 21, 1997, the Division contacted Respondent at his office by telephone to confirm Respondent's home address and to inquire if Respondent had received the March 4, 1997 letter. Respondent said he had been out of town for a couple of weeks and would look for the letter over the weekend.
- 17. Respondent did not make further response to the March 21, 1997 telephone call from the Division.
- 18. On April 4, 1997, the Division again contacted Respondent at his office by telephone to confirm that Respondent received the March 4, 1997 letter. Respondent acknowledged receipt of the letter and stated that verification of Respondent's completion of the required continuing education had been mailed to the Division the previous day or that morning.
- 19. However, it was actually not until April 10, 1997 that Respondent actually sent verification of those continuing education courses he had attended. Rather that sending the information to the person in the Division who had written to Respondent in March of 1997 and who had called Respondent in March and April of 1997, Respondent addressed the materials to the Psychology Examining Board and did not indicate that the materials were in response to a request by anyone.
- 20. Because Respondent did not address the materials to the Division and did not indicate that he was sending them in response to a request for the materials, the Board offices returned the materials to Respondent in June and the Division did not receive the materials sent by the respondent on April 10.
- 21. On April 16, 1997, the Division wrote to Respondent at Respondent's home and office addresses informing him that the Division had not received the verification of Respondent's completion of the required continuing education. The letter advised Respondent that, if Respondent did not provide proof within one week of the date of the letter that Respondent had completed the required continuing education, the Division would issue a formal disciplinary complaint against Respondent.
- 22. Respondent did not respond to the April 16, 1997 letter and on May 7, 1997 the Division mailed to Respondent a Notice of Hearing and Complaint, which alleged that Respondent had committed unprofessional conduct for having failed to cooperate with the Division's investigation and for having made a false statement on his application for renewal when he stated he had verification of the continuing education credits and that he would send them to the Board upon request.
- 23. On June 11, 1997, the Division received Respondent's mailing of verification of those continuing education programs completed by Respondent.

- 24. On July 9, 1997, the Division called Respondent and explained that the verifications the Division received from Respondent included a course from the incorrect biennium and the verifications for courses taken in the correct biennium totaled totaled less that 40 credits. Respondent indicated that he would look for additional verifications and send them to the Division.
- 25. On July 18, 1997 the Division received another mailing from Respondent containing verifications of continuing education courses.
- 26. The information provided by Respondent to the Division includes verification that Respondent had completed the following hours of continuing education, during the October 1, 1993 through September 30, 1995 biennium, prior to renewing Respondent's license for the October 1, 1995 through September 30, 1997 biennium:

Date	Course	Hours	
7/29-30/94	Many Faces of PTSD Implications and Intervention	16	
9/09/94	Private Practice Sanity Workshop		
9/28/94	DPI - 10th Annual State Superintendent Conf. for Special		
	Education and Pupil Services Leadership	10	
9/05/95	Tarasoff & Beyond	4	
TOTAL		37	

#### **CONCLUSIONS OF LAW**

- 1. The Wisconsin Psychology Examining Board has jurisdiction over this matter pursuant to § 455.09, Stats.
- 2. The Wisconsin Psychology Examining Board has authority to enter into this stipulated resolution of this matter pursuant to § 227.44(5), Stats.
- 3. Respondent, by failing to respond in a timely manner to the requests for verification that Respondent had completed the required continuing education, has committed unprofessional conduct and is subject to discipline pursuant to Wis. Adm. Code § PSY 5.01(24) and § 455.09(1)(g), Stats.
- 4. Respondent, by signing the license renewal form which states "I have, or will have completed the 40 contact hours of board-approved continuing education during the biennium immediately preceding this application (10/1/93-9/30/95), and I have or will have evidence of this which I will furnish to the Psychology Examining Board upon request", is subject to discipline pursuant to § 455.09(1)(d), Stats., for using fraud or deception in the application for a license.
- 5. Respondent, by failing to obtain 40 contact hours of board-approved continuing education during the biennium immediately preceding his application for the renewal of his license for the 10/1/95-9/30/95 biennium, as required by Wis. Adm. Code § PSY 4.01, is subject to discipline pursuant to Wis. Adm. Code § PSY 5.01(28) and § 455.09(1)(g), Stats.

#### ORDER

### NOW, THEREFORE, IT IS HEREBY ORDERED:

- 1. That Respondent is hereby REPRIMANDED for his conduct.
- 2. That Respondent shall complete at least 43 hours of Board-approved continuing education during the 10/01/95 through 9/30/97 biennial registration period prior to be being eligible to apply for registration for the 10/01/97 through 9/30/99 biennial registration period.
- 3. That Respondent shall provide verification to the Division of Enforcement that he has complete at least 43 hours of Board-approved continuing education during the 10/01/95 through 9/30/97 biennial registration period prior to be applying for registration for the 10/01/97 through 9/30/99 biennial registration period.
- 4. That Respondent shall, within 30 days of the date of this Order, pay to the Department of Regulation and Licensing the costs of this proceeding in the amount of \$400.00.

The rights of a party aggrieved by this Decision to petition the Board for rehearing and to petition for judicial review are set forth on the attached "Notice of Appeal Information".

Dated at Madison, Wisconsin this 9th day of September, 1997.

Stephen F. Seaman, Ph.D.

Chairperson

Psychology Examining Board

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### STATE OF WISCONSIN BEFORE THE PSYCHOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY	•			
PROCEEDINGS AGAINST	;	LS 9705091 PSY		
	:			
EUGENE BRAAKSMA, PHD,	:	96 PSY 023		
RESPONDENT	:	• · · · · · · · · · · · · · · · · · · ·		
STIPULATION				

It is hereby stipulated and agreed, by and between Eugene Braaksma, Ph.D., Respondent; and, John R. Zwieg, as attorney for the Complainant, Department of Regulation and Licensing, Division of Enforcement, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation (file 96 PSY 023) and disciplinary proceeding (LS 9705091 PSY) against Respondent by the Department of Regulation and Licensing, Division of Enforcement on behalf of the Psychology Examining Board.
- 2. The Parties agree that this stipulated resolution may be presented directly to the Psychology Examining Board and need not be presented to the Administrative Law Judge assigned to the disciplinary proceeding.
- 3. Respondent understands that by the signing of this stipulation Respondent voluntarily and knowingly waives Respondent's rights, including: the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against Respondent; the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena; the right to testify; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 4. Respondent is aware of his right to seek legal representation prior to signing this stipulation.
- 5. Respondent admits the allegations in this matter, and agrees to the adoption of the attached Final Decision and Order by the Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

- 6. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 7. The parties to this stipulation agree that the Respondent, Respondent's attorney, if any, an attorney for the Division of Enforcement, and the member of the Board who has been appointed as the investigative advisor may appear before the Board for the purposes of speaking in support of this agreement and answering questions that the members of the Board may have in connection with their deliberations on the stipulation.

Dated this 30Hday of August, 1997.

Eugene Braaksma, Ph.D.

Respondent

Dated this /8th day of August, 1997.

John R. Źwieg

Attorney for Complainant

Department of Regulation & Licensing

Division of Enforcement

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