WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

STATE OF WISCONSIN BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

CINDY BALZ, d/b/a ANTHONY'S INC., RESPONDENT 96 BAC 084

The parties to this action for the purposes of section 227.53 of the Wisconsin statutes are:

Cindy M. Balz d/b/a Anthony's Inc. 120 Dehne Dr., Ste. D Colby, Wisconsin 54421

Department of Regulation and Licensing Division of Enforcement PO Box 8935 Madison, Wisconsin 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. Cindy M. Balz, 2289 Eldred St., Milan, WI 54411, is duly licensed as a barbering and cosmetology manager in the state of Wisconsin (license #18487). This license was first granted on February 9, 1989.
- 2. Anthony's Inc., 120 Dehne Dr., Suite D, Colby, WI 54421, is duly licensed as a barbering and cosmetology establishment in the state of Wisconsin (license #23582). This license was first granted on July 8, 1994. Ms. Balz is listed with the Department of Regulation and Licensing as the owner of Anthony's Inc. As owner and manager, Ms. Balz was and is responsible for compliance with ch. 454, Stats. and chapters BC 2, 3 and 4 of the Wisconsin Administrative Code.

- 3. On exact dates unknown, but beginning at least on June 19, 1996 and continuing on through at least August 25, 1996, Mr. Balz rented a chair to Cheryl Lawrence, who was only licensed as a barbering and cosmetology practitioner (license # 42958) up until August 13, 1996, when she was granted a managers license. At no time relevant to this action did Ms. Lawrence hold an establishment license for the chair she was renting from Ms. Balz.
- 4. In resolution of this matter, Ms. Balz consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

By the conduct referred to in ¶ 3, above, Cindy M. Balz, d/b/a Anthony's Inc., violated Wisconsin Administrative Code §§ BC 2.04(1) and BC 2.08(1)(b).

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED that Cindy M. Balz shall pay to the Department of Regulation and Licensing a forfeiture of ONE HUNDRED dollars (\$100.00). Payment shall be submitted within thirty (30) days from the date of this order.

Payment shall be made by certified check or money order, payable to the Wisconsin Department of Regulation and Licensing and sent to:

Michelle Neverman Department Monitor Division of Enforcement PO Box 8935 Madison, WI 53708-8935.

IT IS FURTHER ORDERED that in the event that Cindy M. Balz fails to timely submit payment of the forfeiture as set forth above, the establishment license of Anthony's Inc. (license #23582) SHALL BE SUSPENDED, without further notice or hearing, until Ms. Balz has complied with the terms of this Order.

This Order shall become effective upon the date of its signing.

WISCONSIN BARBERING AND COSMETOLOGY BOARD

member of the Board

3//v/57 Date STATE OF WISCONSIN
BEFORE THE BARBERING AND
COSMETOLOGY EXAMINING BOARD

IN THE MATTER OF

DISCIPLINARY PROCEEDINGS AGAINST:

CINDY M. BALZ, d/b/a ANTHONY'S INC., RESPONDENT STIPULATION 96 BAC 084

It is hereby stipulated between Cindy M. Balz, d/b/a Anthony's Inc., personally on her own behalf and Steven M. Gloe, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Enforcement (96 BAC 084). Ms. Balz consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Ms. Balz understands that by the signing of this Stipulation she voluntarily and knowingly waives her rights, including: the right to a hearing on the allegations against her, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against her; the right to call witnesses on her behalf and to compel their attendance by subpoena; the right to testify herself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to her under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes and the Wisconsin Administrative Code.
- 3. Ms. Balz is aware of her right to seek legal representation and has been provided an opportunity to obtain legal advice prior to signing this stipulation.
- 4. Ms. Balz agrees to the adoption of the attached Final Decision and Order by the Barbering and Cosmetology Examining Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondents waive all rights to any appeal of the attached order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this stipulation agree that the attorney for the Division of Enforcement and the member of the Barbering and Cosmetology Examining Board assigned as an advisor in this investigation may appear before the Board for the purposes of speaking in support of this agreement and answering questions that the Board may have in connection with their deliberations on the stipulation.
- 7. The Division of Enforcement joins Ms. Balz in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Cindy M. Balz

d/b/a Anthony's Inc.

Date

Steven M. Gloe, Attorney

Division of Enforcement

Date

STATE OF WISCONSIN DEPARTMENT OF REGULATION AND LICENSING BEFORE THE BARBERING AND COSMETOLOGY EXAMINING BOARD

In the Matter of the Disciplinary Proceedings Against

Respondent.

Cindy Balz, d/b/a Anthony's Inc.,

AFFIDAVIT OF MAILING

TATE OF WISCONSIN)
COLINTY OF DANE))

I, Kate Rotenberg, having been duly sworn on oath, state the following to be true and correct based on my personal knowledge:

- I am employed by the Wisconsin Department of Regulation and Licensing. 1.
- 2. On March 14, 1997, I served the Final Decision and Order dated March 10, 1997 upon the Respondent Cindy Balz, d/b/a Anthony's Inc., by enclosing a true and accurate copy of the above-described document in an envelope properly stamped and addressed to the above-named Respondent and placing the envelope in the State of Wisconsin mail system to be mailed by the United States Post Office by certified mail. The certified mail receipt number on the envelope is P 201 373 769.
- The address used for mailing the Decision is the address that appears in the records of the Department as the Respondent's last-known address and is:

Cindy Balz, d/b/a Anthony's Inc. 120 Dehne Drive, Suite D Colby WI 54421

Kate Rotenberg

Department of Regulation and Licensing

Office of Legal Counsel

Subscribed and sworn to before me

Notary Public, State of Wisconsin

My commission is permanent.

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review. The Times Allowed For Each. And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN BARBERING AND COSMETOLOGY EXAMINING BOARD

1400 East Washington Avenue P.O. Box 8935 Madison. WI 53708.

The Date of Mailing this Decision is:

March 14, 1997

1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a perition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.