# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

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FINAL DECISION

: AND ORDER NILES KNIGHT BAKKE, D.D.S., : LS9512191DEN RESPONDENT.

The State of Wisconsin, Dentistry Examining Board, having considered the abovecaptioned matter and having reviewed the record and the Proposed Decision of the Administrative Law Judge, makes the following:

### **ORDER**

NOW, THEREFORE, it is hereby ordered that the Proposed Decision annexed hereto, filed by the Administrative Law Judge, shall be and hereby is made and ordered the Final Decision of the State of Wisconsin, Dentistry Examining Board.

The Division of Enforcement and Administrative Law Judge are hereby directed to file their affidavits of costs, and mail a copy thereof to respondent or his or her representative, within 15 days of this decision.

Respondent or his or her representative shall mail any objections to the affidavit of costs filed pursuant to the foregoing paragraph within 30 days of this decision, and mail a copy thereof to the Division of Enforcement and Administrative Law Judge.

The rights of a party aggrieved by this Decision to petition the board for rehearing and the petition for judicial review are set forth on the attached "Notice of Appeal Information."

Dated this \_\_\_\_\_ day of \_\_\_\_\_

## IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

### PROPOSED DECISION

Case No. LS-9512191-DEN

NILES KNIGHT BAKKE, D.D.S., RESPONDENT.

.. . B 3'

### **PARTIES**

The parties in this matter under § 227.44, Stats., and for purposes of review under § 227.53, Stats., are:

Niles Knight Bakke, D.D.S. 1521H Golf View Road Madison, Wisconsin 53704

Dentistry Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation & Licensing Division of Enforcement P.O. Box 8935 Madison, Wisconsin 53708

This matter was commenced by the filing of a Notice of Hearing and Complaint on December 19, 1995. A hearing was held in the above-captioned matter on March 8, 1996. Atty. James W. Harris appeared on behalf of the Department of Regulation and Licensing, Division of Enforcement. Dr. Bakke appeared in person without legal counsel.

Based upon the record herein, the Administrative Law Judge recommends that the Dentistry Examining Board adopt as its final decision in this matter, the following Findings of Fact, Conclusions of Law and Order.

#### FINDINGS OF FACT

1. The respondent is Niles Knight Bakke, (D.O.B. 4/18/51). Dr. Bakke is licensed as a dentist in the state of Wisconsin, license #0150002379, first granted in 1977. Respondent's most recent address on file with the Department of Regulation and Licensing is 2457 N. Mayfair Road, #203, Wauwatosa, WI 53226. Respondent's current address is 1521H Golf View Road, Madison, Wisconsin 53704.

- 2. During the period of June 1990, through March 1993, respondent obtained, for his own personal use, at least 425 tablets of diazepam from Harris Pharmacy, Waunakee, Wisconsin as follows:
  - a) June 21, 1990 100 (5mg) tablets.
  - b) July 5, 1990 50 (5mg) tablets.

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- c) September 19, 1991 75 (5mg) tablets.
- d) April 7, 1992 100 (5mg) tablets.
- e) March 22, 1993 100 (10mg) tablets.
- 3. At some point in time between June 1990 and March 1993 respondent tested positive for the presence of diazepam and tetrahydrocannabinol on at least one occasion during a screening for the presence of alcohol.
  - 4. Diazepam is listed in s. 161.20 (2) (cr), Stats., as a Schedule IV controlled substance.
- 5. Tetrahydrocannabinol, which is contained in marijuana, is listed in s. 161.14 (4) (t), Stats., as a Schedule I controlled substance.
- 6. Respondent did not maintain an inventory record nor a dispensing record of the diazepam tablets which he obtained from Harris Pharmacy during the time period of June 1990, through March 1993.

### **CONCLUSIONS OF LAW**

- 1. The Dentistry Examining Board has jurisdiction in this matter pursuant to s. 447.07 (3), Wis. Stats.
- 2. Respondent's conduct, as described herein in Findings of Fact #2, constitutes a violation of s. 447.07 (3) (a), Wis. Stats., and s. DE 5.02 (6), Wis. Adm. Code.
- 3. Respondent's conduct, as described herein in Findings of Fact #2-3, constitutes a violation of ss. 161.38 (5), and 447.07 (3) (a), (f) and (L), Wis. Stats.
- 4. Respondent's conduct, as described herein in Findings of Fact #6, does not comply with the record-keeping requirements as set forth in 21 USC sec. 827 and 21 CFR sec. 1304.
- 5. Respondent's conduct, as described herein in Findings of Fact #2-6, constitutes a violation of s. 447.07 (3) (a), (f) and (L), Stats., and s. DE 5.02 (16) and (18), Wis. Adm. Code.

### **ORDER**

**NOW, THEREFORE, IT IS ORDERED** that the respondent, Niles Knight Bakke, be and hereby is REPRIMANDED.

### IT IS FURTHER ORDERED THAT:

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Pursuant to s. 440.22, Stats., the cost of this proceeding shall be and hereby is assessed against respondent.

This order is effective on the date on which it is signed by a designee of the Dentistry Examining Board.

### **OPINION**

Dr. Bakke was first licensed as a dentist in the state of Wisconsin in 1977. Prior to the commencement of this proceeding in December, 1995, there is no evidence in the record that he has violated any statutes or regulations relating to the practice of dentistry.

The Complainant alleges that by obtaining and using diazepam other than in the course of legitimate practice and by failing to maintain inventory and dispensing records of controlled substances, Dr. Bakke violated numerous statues and regulations relating to the practice of dentistry.

First, in reference to obtaining and using diazepam, the evidence establishes that between June 21, 1990 and March 22, 1993, Dr. Bakke obtained at least 425 tablets of diazepam from Harris Pharmacy other than in the course of legitimate practice. He admits that he obtained the tablets for his own personal use and that he did in fact use them. In addition to testing positive for the presence of diazepam on at least one occasion during a screening for the presence of alcohol, he also tested positive for the presence of tetrahydrocannabinol, which is a Schedule I controlled substance. Transcript, p. 11, lines 7-16; 12, lines 18-21; 17; 19, lines 3-5.

Second, in reference to his failure to maintain inventory and dispensing records of controlled substances, Dr. Bakke admits that he did not maintain inventory and dispensing records relating to the diazepam tablets as required by federal law. Other than the diazepam tablets, there is no evidence that he obtained or dispensed any other type of controlled substances in conjunction with his practice as a dentist. In fact, he testified that his major prescribing needs for patients are generally antibiotics, and that he does not have a need for any other type of prescription drugs or controlled substances in his practice. Transcript p. 7, lines 9-16; 8, lines 2-15; 18, lines 20-25; 19; 20.

1. There is no direct evidence in the record establishing that Dr. Bakke holds a DEA registration. This inference is based upon the fact that he obtained the diazeparn tablets directly from a pharmacy rather than from some other source.

Having found that Dr. Bakke violated statutes and regulations relating to the practice of dentistry, a determination must be made regarding what type of discipline, if any, should be imposed.

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The Dentistry Examining Board is authorized under s. 447.07 (3), Stats., to reprimand a licensee or limit, suspend or revoke the license of any licensee if it finds that the licensee has engaged in conduct described under that section.

The purposes of discipline by occupational licensing boards are to protect the public, deter other licensees from engaging in similar misconduct and to promote the rehabilitation of the licensee. <u>State v. Aldrich</u>, 71 Wis. 2d 206, 237 N.W. 2d 689 (1976). Punishment of the licensee is not a proper consideration. <u>State v. McIntyre</u>, 41 Wis. 2d 481, 164 N.W. 2d 235 (1969).

The Administrative Law Judge recommends that Dr. Bakke be reprimanded for his conduct in this matter. This measure is designed to assure protection of the public and to deter other licensees from engaging in similar misconduct.

First, regardless of the reasons given by Dr. Bakke for obtaining and using diazepam, the law is clear in Wisconsin that a dentist may not prescribe or take without a prescription controlled substances for his or her own personal use. ss. 161.38 (5), 447.07 (3) (a), (f), and (L), Stats.

Dr. Bakke admits that he obtained at least 425 diazepam tablets from Harris Pharmacy for his own personal use. He testified that he took the diazepam for therapeutic purposes. He also stated that he could have obtained the drugs from a number of friends who are physicians, but did not do so because he wanted to maintain his privacy. Transcript, p. 13, lines 1-3; 25, lines 1-9; 35, line 8; Answer, page 2. In his Answer to the Complaint, at page 1, paragraph #3, he stated the following in reference to his use of the drugs:

My actions were truly personal, intellectual and therapeutic to me at a time when life was not good and I needed something that could help me sleep at night. I had previously lost a brother, a father and a dear girlfriend that made me have to live through my male mid-life crisis. ...

Second, Dr. Bakke was not entirely honest when questioned by the Board's investigative staff regarding his reasons for obtaining the diazepam tablets from Harris Pharmacy. Initially, he told the investigator that he had obtained the diazepam for his office use. When questioned by the investigator concerning the lack of inventory and dispensing records, he admitted that he used the diazepam tablets for his own personal use. *Transcript*, p. 7-8, 11. Dr. Bakke testified concerning one of his discussions with the investigator, at page 19 of the Transcript, that:

But ... somewhere in one of those conferences with Lisa and you, I acknowledged the fact that I wasn't going to out clever anyone to myself, and I relinquish the fact that I was the one that used it. And clearly that, probably was predicated on the fact that you said where is your log. Where are the people that you would dispense it to. ...

Finally, the Administrative Law Judge does not recommend suspension or revocation of Dr. Bakke's license for several reasons. First, his conduct in this case did not involve patient care. There is no evidence in the record indicating that the health or safety of his patients was at risk during the time period in question. Second, Dr. Bakke is not chemically dependent or addicted to controlled substances; therefore, treatment is not being recommended. Transcript, p. 13, lines 4-8; 24, lines 3-13. Third, there is no evidence that Dr. Bakke engaged in any type of misconduct relating to the use of controlled substances prior to the time of the violations which occurred in this case, or at any time during the 3 year time period since the occurrence of the violations.

Based upon the record herein, the Administrative Law Judge recommends that the Dentistry Examining Board adopt as its final decision in this matter, the proposed Findings of Fact, Conclusions of Law and Order as set forth herein.

Dated at Madison, Wisconsin this 3rd day of May, 1996.

Respectfully submitted,

Ruby Jefferson-Moore

Administrative Law Judge

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review. The Times Allowed For Each. And The Identification Of The Party To Be Named As Respondent.

### Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN DENTISTRY EXAMINING BOARD

1400 East Washington Avenue P.O. Box 8935 Madison, WI 53708.

The Date of Mailing this Decision is:

July	11,	1996	
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### 1. REHEARING

Any person aggrieved by this order may file a written perition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

### 2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filled in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)



# BEFORE THE STATE OF WISCONSIN DENTISTRY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

AFFIDAVIT OF SERVICE

NILES KNIGHT BAKKE, DDS, RESPONDENT.

Pamela A. Haack, being first duly sworn on oath deposes and states that she is in the employ of the Department of Regulation and Licensing, and that on July 25, 1996, she served the following upon the respondent:

Letter with Affidavits of Costs

by mailing a true and accurate copy of the above-described document, which is attached hereto, by certified mail. with a return receipt requested in an envelope properly addressed to the above-named respondent at:

1521H Golf View Road Madison, WI 53704 Certified P 213 148 253

an address which appears in the files and records of the Dentistry Examining Board as the respondent's last known address.

Pamela A. Haack

Department of Regulation and Licensing

amela attrac

Subscribed and sworn to before me

thic

day of

1006

Notary/Pub

Dane County, Wisconsin

My Commission is Permanent



### State of Wisconsin \ DEPARTMENT OF REGULATION & LICENSING

Marlene A. Cummings Secretary

1400 E WASHINGTON AVENUE P O BOX 8935-8935 MADISON, WISCONSIN 53708 608 266-2112

Tommy G. Thompson Governor

July 25, 1996

NILES KNIGHT BAKKE, D.D.S. 1521H GOLF VIEW ROAD MADISON, WI 53704

RE: In The Matter of Disciplinary Proceedings Against

Niles Knight Bakke, D.D.S., Respondent, LS9512191DEN

Assessment of Costs

Dear Dr. Bakke:

On July 10, 1996, the Dentistry Examining Board took disciplinary action against your license. Part of the discipline was an assessment of costs. Enclosed please find the Affidavits of Costs of the Office of Board Legal Services and the Division of Enforcement in the above captioned matter. The total amount of the costs assessed is \$1,626.10.

If you have objections to the assessed costs, you shall file your objections in writing. Your objections must be received at the office of the Dentistry Examining Board, Room 178, 1400 East Washington Avenue, P.O. Box 8935, Madison, Wisconsin 53708, on or before August 9, 1996. After reviewing the objections, if any, the Dentistry Examining Board will issue an Order Fixing Costs.

Thank you.

Sincerely,

Pamela A. Haack Administrative Assistant Office of Board Legal Services

**Enclosures** 

cc:

Dentistry Examining Board

Department Monitor

Regulatory Boards

Accounting, Architects, Landscape Architects, Professional Geologists, Professional Engineers, Designers and Land Surveyors; Auctioneer, Barbering and Cosmetology; Chiropractic: Dentistry; Dietitians: Funeral Directors; Hearing and Speech; Medical; Nursing; Nursing Home Administrator; Optometry; Pharmacy; Physical Therapists; Psychology; Real Estate; Real Estate Appraisers; Social Workers, Marriage and Family Therapists and Professional Counselors, and Veterinary.

# IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

AFFIDAVIT OF COSTS LS9512191DEN

<b>NILES</b>	<b>KNIGHT</b>	BAKKE,	D.D.S.,
	RESPO	NDENT.	

STATE OF WISCONSIN )

) ss.

**COUNTY OF DANE** 

Ruby Jefferson-Moore, being first duly sworn on oath deposes and states:

- 1. That affiant is an attorney licensed to practice law in the State of Wisconsin, and is employed by the Wisconsin Department of Regulation and Licensing, Office of Board Legal Services.
- 2. That in the course of affiant's employment she was appointed administrative law judge in the above-captioned matter. That to the best of affiant's knowledge and belief, the costs for services provided by affiant are as follows:

<u>ACTIVITY</u>	<b>DATE</b>	<u>TIME</u>
Preparation and Hearing	03/08/96	1 hr.
Review record/draft decision	04/30/96	2 hrs./45 min.
Draft proposed decision	05/01/96	2 hrs./15 min.

Total costs for Administrative Law Judge: \$162.90.

3. That upon information and belief, the total cost for court reporting services provided by Magne-Script is as follows: \$160.80.

Total costs for Office of Board Legal Services: \$ 323.70.

Ruby Jefferson-Moore

Administrative Law Judge

Sworn to and subscribed to before me

this 17th day of July, 1996

Notary Fublic

My Commission: is permanent

IN THE MATTER OF THE DISCIPLINARY	:	
PROCEEDINGS AGAINST	:	
	:	AFFIDAVIT OF COSTS
NILES KNIGHT BAKKE, D.D.S.,	:	LS9512191DEN
RESPONDENT.	:	

STATE OF WISCONSIN )

COUNTY OF DANE )

: 5

James W. Harris, being duly sworn, deposes and states as follows:

- 1. I am an attorney licensed in the state of Wisconsin and employed by the Wisconsin Department of Regulation and Licensing, Division of Enforcement:
- 2. That in the course of those duties I was assigned as a prosecutor in the above-captioned matter; and
- 3. That set out below are the costs of the proceeding accrued to the Division of Enforcement in this matter, based upon Division of Enforcement records compiled in the regular course of agency business in the above-captioned matter.

### PROSECUTING ATTORNEY EXPENSE

<u>Date</u>	Activity	Time Spent
09/23/94	Conf. investigator re investigation	0.3
12/23/94	File review, PIC	2.0
02/23/95	Review Milwaukee Cty. Corrections record	1.0
06/13/95	File review/prep for interview	2.0
06/13/95	Prepare subpoena, transmittal	1.0
06/30/95	Investigative interview of Respondent	2.0
07/18/95	Conf. investigator re: pharmacy records	0.5
07/24/95	Ltr. Respondent re: AODA evaluation	0.3
08/15/95	Telconf. Respondent	0.3
09/27/95	reviewMeriter records	0.5
10/23/95	Review report of Dr. Miller	0.5
11/15/95	Prepare stipulation/order/letter	2.0
12/08/95	Interview Respondent	1.0
12/12/95	Prepare Complaint and Notice of Hearing	2.0
01/23/96	Prehearing conference with ALJ	0.3
02/08/96	Prepare evidence stipulation	0.3

02/08/96	Trial preparation	2.0
02/09/96	Review Response of Respondent	0.3
02/21/96	Prepare subpoena/transmittal	0.3
02/26/96	Prehearing conference	0.3
02/27/96	Prepare witness list/transmital	0.5
03/08/96	Hearing	1.0
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TOTAL HOURS 20.4 hours min.

Total attorney expense for 20.4 hours and minutes at \$41.00 per hour (based upon average salary and benefits for Division of Enforcement attorneys) equals:

\$ 836.40

### INVESTIGATOR EXPENSE

Date	Activity	Time Spent
05/16/94	Conf. Atty re: records	0.3
06/13/94	File review	0.3
06/13/94	Telconf. Respondent	0.3
06/16/94	Telconf Atty. Flessas/ memo	0.75
07/20/94	Telconf Atty Flessas/memo	0.3
08/01/94	Telconf Atty Flessas/memo	0.3
08/09/94	Letter to Atty	0.5
08/11/94	Telconf Atty Flessa/FAX	0.75
08/22/94	Letters to medical care providers	0.3
09/26/94	Letter to Respondent	0.3
09/26/96	Conf with atty	0.3
10/11/94	Letter to health care provider	0.3
11/17/94	Telconf health care provider	0.3
11/28/94	Transmittal to Board Advisor	0.75
12/21/94	Conference Board Advisor	0.3
12/22/94	PIC memo/transmittal	1.0
01/11/95	Letters to Respondent and Milwaukee County	0.3
01/24/95	Letter Respondent	0.3
02/20/95	Letter Milwaukee County	0.3
03/02/95	Letter Respondent	0.3
05/22/95	Letter Atty Flessas	0.3
06/13/95	Serve subpoena	1.0
06/30/95	Investigative interview with Respondent	2.0
07/05/95	Letter pharmacy/pharmacy visit	2.0
07/07/95	Pharmacy visit	1.0
07/18/95	Conf atty re: pharmacy records	0.5
07/25/95	Office visit Dr. Martens	1.0
07/26/95	Canvas pharmacy records	2.0
08/18/95	Letter Respondent	0.3

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09/13/95	Telconf. Respondent	0.3
9/22/95	Telconf Respondent	0.3
10/03/95	Telconf. Dr. Miller	0.3
10/11/95	Letter Dr. Miller; letter Respondent	0.3
11/14/95	Office visit Dr. Martens	1.0
12/08/95	Interview Respondent	1.0
03/08/96	Attend Hearing	1.0

TOTAL HOURS

23.3 hours min.

Total investigator expense for 23.3 hours and minutes at \$20.00 per hour (based upon average salary and benefits for Division of Enforcement investigators) equals:

466.00

\$

TOTAL ASSESSABLE COSTS

\$ 1,302,40

James W. Harris, Attorney

Subscribed and sworn to before me this 16 day of July, 1996.

Notary Public

My Commission 15 Pennancut

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SENDER:  Complete items 1 and/or 2 for additional services.  Complete items 3, 4a, and 4b.  Print your name and address on the reverse of this form so that we card to you.  Attach this form to the front of the mailpiece, or on the back if space permit.  Write 'Return Receipt Requested' on the mailpiece below the article "The Return Receipt will show to whom the article was delivered and delivered.	o does not o number.	I also wish to receive the following services (for an extra fee):  1	ecaipt Servica.
3. Article Addressed to: Niles Knight Bakke 1521 H Golf View Rd Madison WI 53704	4a. Article N 4b. Service  Register Express Return Re 7 Date of D	Type ed Certified Mail Insured recipt for Merchandise  COD	for using Return R
5. Received By: (Print Name)  6. Signature: (Addressee br Agent)	8 Addresse and fee is	e's Address (Only if requested s paid)	Thank you
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# BEFORE THE STATE OF WISCONSIN DENTISTRY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

AFFIDAVIT OF SERVICE

NILES KNIGHT BAKKE, D.D.S., RESPONDENT.

Katie Rotenberg, being first duly sworn on oath deposes and states that she is in the employ of the Department of Regulation and Licensing, and that on September 6, 1996, she served the following upon the respondent:

Order Fixing Costs dated September 4, 1996, LS9512191DEN

by mailing a true and accurate copy of the above-described document, which is attached hereto, by certified mail with a return receipt requested in an envelope properly addressed to the above-named respondent at:

1521H Golf View Road Madison, WI 53704 Certified P 213 148 415

an address which appears in the files and records of the Dentistry Examining Board as the respondent's last known address.

Katie Rotenberg

Department of Regulation and Licensing

Subscribed and sworn to before me

this 6th

day of

1996

Notary Public

Dane County, Wisconsin

My Commission is Permanent

91 <u>0</u> 6	SENDER:  Complete items 1 and/or 2 for additional services.  Complete items 3, 4a, and 4b.  Print your name and address on the reverse of this form so that we card to you.  Attach this form to the front of the mailpiece, or on the back if space		I also wish to following serv extra fee):	receive the ices (for an	
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IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

ORDER FIXING COSTS Case # LS9512191DEN

NILES KNIGHT BAKKE, D.D.S., RESPONDENT.

On July 10, 1996, the Dentistry Examining Board filed its Final Decision and Order in the above-captioned matter by which the board ordered that pursuant to sec. 440.22, Wis. Stats., 100% of the costs of this proceeding be assessed against respondent. Pursuant to sec. RL 2.18 (4), Wis. Adm. Code, on July 16, 1996, the Dentistry Examining Board received the Affidavit of Costs in the amount of \$1,302.40, filed by Attorney James W. Harris. On July 17, 1996, the Dentistry Examining Board received the Affidavit of Costs of Office of Board Legal Services in the amount of \$323.70, filed by Administrative Law Judge Ruby Jefferson-Moore. The Dentistry Examining Board considered the affidavits on September 4, 1996, and orders as follows:

### **ORDER**

NOW, THEREFORE, IT IS ORDERED that pursuant to sec. 440.22, Wis. Stats., the costs of this proceeding in the amount of \$1,626.10, which is 100% of the costs set forth in the affidavits of costs of Attorney James W. Harris and Administrative Law Judge Ruby Jefferson-Moore, which are attached hereto and made a part hereof, are hereby assessed against respondent, and shall be payable by him to the Department of Regulation and Licensing. Failure of respondent to make payment on or before October 4, 1996, shall constitute a violation of the Order unless respondent petitions for and the board grants a different deadline. Under sec. 440.22 (3), Wis. Stats., the Dentistry Examining Board may not restore, renew or otherwise issue any credential to the respondent until respondent has made payment to the department in the full amount assessed.

To ensure that payments for assessed costs are correctly receipted, the attached "Guidelines for Payment of Costs and/or Forfeitures" should be enclosed with the payment.

Dated this 4th day of September, 1996.

**DENTISTRY EXAMINING BOARD** 

Member of the Board

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

AFFIDAVIT OF COSTS LS9512191DEN

NILES KNIGHT BAKKE, D.D.S., RESPONDENT.

**STATE OF WISCONSIN**)

) ss.

**COUNTY OF DANE** 

Ruby Jefferson-Moore, being first duly sworn on oath deposes and states:

- 1. That affiant is an attorney licensed to practice law in the State of Wisconsin, and is employed by the Wisconsin Department of Regulation and Licensing, Office of Board Legal Services.
- 2. That in the course of affiant's employment she was appointed administrative law judge in the above-captioned matter. That to the best of affiant's knowledge and belief, the costs for services provided by affiant are as follows:

<u>ACTIVITY</u>	<u>DATE</u>	<u>TIME</u>
Preparation and Hearing	03/08/96	1 <b>hr</b> .
Review record/draft decision	04/30/96	2 hrs./45 min.
Draft proposed decision	05/01/96	2 hrs./15 min.

Total costs for Administrative Law Judge: \$162.90.

3. That upon information and belief, the total cost for court reporting services provided by Magne-Script is as follows: \$160.80.

Total costs for Office of Board Legal Services: \$ 323.70.

Ruby Jefferson-Moore

Administrative Law Judge

Sworn to and subscribed to before me

this 17th day of <u>July</u>, 1996

Notary Fublic

My Coramussion: is permanent

IN THE MATTER OF THE DISCIPLINARY : PROCEEDINGS AGAINST :

AFFIDAVIT OF COSTS LS9512191DEN

NILES KNIGHT BAKKE, D.D.S., RESPONDENT. :

STATE OF WISCONSIN )
) ss.
COUNTY OF DANE )

James W. Harris, being duly sworn, deposes and states as follows:

- l. I am an attorney licensed in the state of Wisconsin and employed by the Wisconsin Department of Regulation and Licensing, Division of Enforcement:
- 2. That in the course of those duties I was assigned as a prosecutor in the above-captioned matter; and
- 3. That set out below are the costs of the proceeding accrued to the Division of Enforcement in this matter, based upon Division of Enforcement records compiled in the regular course of agency business in the above-captioned matter.

#### PROSECUTING ATTORNEY EXPENSE

<u>Date</u>	<u>Activity</u>	<u>Time Spent</u>
09/23/94	Conf. investigator re investigation	0.3
12/23/94	File review, PIC	2.0
02/23/95	Review Milwaukee Cty. Corrections record	1.0
06/13/95	File review/prep for interview	2.0
06/13/95	Prepare subpoena, transmittal	1.0
06/30/95	Investigative interview of Respondent	2.0
07/18/95	Conf. investigator re: pharmacy records	0.5
07/24/95	Ltr. Respondent re: AODA evaluation	0.3
08/15/95	Telconf. Respondent	0.3
09/27/95	reviewMeriter records	0.5
10/23/95	Review report of Dr. Miller	0.5
11/15/95	Prepare stipulation/order/letter	2.0
12/08/95	Interview Respondent	1.0
12/12/95	Prepare Complaint and Notice of Hearing	2.0
01/23/96	Prehearing conference with ALJ	0.3
02/08/96	Prepare evidence stipulation	0.3

02/08/96	Trial preparation	2.0
02/09/96	Review Response of Respondent	0.3
02/21/96	Prepare subpoena/transmittal	0.3
02/26/96	Prehearing conference	0.3
02/27/96	Prepare witness list/transmital	0.5
03/08/96	Hearing	1.0
TOTAL HOURS		20.4 hours min.

Total attorney expense for 20.4 hours and minutes at \$41.00 per hour (based upon average salary and benefits for Division of Enforcement attorneys) equals:

\$ 836.40

### INVESTIGATOR EXPENSE

<u>Date</u>	Activity	Time Spent
05/16/94	Conf. Atty re: records	0.3
06/13/94	File review	0.3
06/13/94	Telconf. Respondent	0.3
06/16/94	Telconf Atty. Flessas/ memo	0.75
07/20/94	Telconf Atty Flessas/memo	0.3
08/01/94	Telconf Atty Flessas/memo	0.3
08/09/94	Letter to Atty	0.5
08/11/94	Telconf Atty Flessa/FAX	0.75
08/22/94	Letters to medical care providers	0.3
09/26/94	Letter to Respondent	0.3
09/26/96	Conf with atty	0.3
10/11/94	Letter to health care provider	0.3
11/17/94	Telconf health care provider	0.3
11/28/94	Transmittal to Board Advisor	0.75
12/21/94	Conference Board Advisor	0.3
12/22/94	PIC memo/transmittal	1.0
01/11/95	Letters to Respondent and Milwaukee County	0.3
01/24/95	Letter Respondent	0.3
02/20/95	Letter Milwaukee County	0.3
03/02/95	Letter Respondent	0.3
05/22/95	Letter Atty Flessas	0.3
06/13/95	Serve subpoena	1.0
06/30/95	Investigative interview with Respondent	2.0
07/05/95	Letter pharmacy/pharmacy visit	2.0
07/07/95	Pharmacy visit	1.0
07/18/95	Conf atty re: pharmacy records	0.5
07/25/95	Office visit Dr. Martens	1.0
07/26/95	Canvas pharmacy records	2.0
08/18/95	Letter Respondent	0.3

09/13/95	Telconf. Respondent	0.3
9/22/95	Telconf Respondent	0.3
10/03/95	Telconf. Dr. Miller	0.3
10/11/95	Letter Dr. Miller: letter Respondent	0.3
11/14/95	Office visit Dr. Martens	1.0
12/08/95	Interview Respondent	1.0
03/08/96	Attend Hearing	1.0
	· <b>U</b>	

TOTAL HOURS

23.3 hours min.

Total investigator expense for 23.3 hours and minutes at \$20.00 per hour (based upon average salary and benefits for Division of Enforcement investigators) equals:

466.00

\$

TOTAL ASSESSABLE COSTS

\$ 1.302.40

James W. Harris, Attorney

Subscribed and sworn to before me this 16 day of July, 1996.

Notary Public

My Commission 15 Pannanent

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# Department of Regulation & Licensing PO. Box 8935, Madison, WI 53708-8935

TTY# (608) 267-2416<sub>]</sub>-hearing or speech TRS# 1-800-947-3529 impaired only

### GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES

On July 10, 1996	theDentistry Examin	ning Board				
took disciplinary action against your forfeiture.	license. Part of the discipi	ine was an assessment of costs and/or a				
The amount of the costs assessed is:	\$1,302.40 Case	#: <u>LS9512191DEN</u>				
The amount of the forfeiture is:	Case	» #:				
Please submit a check or a money order in the amount of \$1,626.10						
The costs and/or forfeitures are due:  October 4, 1996						
NAME: Niles Knight Bakke LICENSE NUMBER: 2379						
STREET ADDRESS: 1521H Golf View Road						
CITY: Madison	STATE	WI ZIP CODE: 53704				
Check whether the payment is for costs or for a forfeiture or both:						
X COSTS FORFEITURE						
Check whether the payment is for an in	dividual license or an establis	hment license:				
X INDIVIDUAL ESTABLISHMENT						
If a payment plan has been established, the amount due monthly is:  For Receipting Use Only						
Make checks payable to:						
DEPARTMENT OF REGUL 1400 E, WASHINGTON AV P.O. BOX 8935						
MADISON, WI 53708-8935						

#2145 (4/95) Ch. 440.22, Stats.