WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

LISA T. HARRIS, R.Ph. RESPONDENT. 96 PHM 12

The parties to this action for the purposes of §227.53, Wis. Stats., are:

Lisa T. Harris, R.Ph. 10201 W. Fond du Lac Ave., #202 Milwaukee, WI 53224

Wisconsin Pharmacy Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. Respondent Lisa T. Harris (dob 2/14/70) is and was at all times relevant to the facts set forth herein a registered pharmacist licensed in the State of Wisconsin pursuant to license #12465, originally granted on 3/29/96.
- 2. On April 17, 1996, a department investigator observed respondent, while on duty as the only pharmacist at a community pharmacy in Wauwatosa, Wisconsin, to permit unlicensed persons to transfer prescribed drugger devices to eight separate patients, without providing a consultation.

CONCLUSIONS OF LAW

- 3. The Wisconsin Pharmacy Examining Board has jurisdiction to act in this matter pursuant to §450.10, Wis. Stats. and is authorized to enter into the attached Stipulation pursuant to §227.44(5), Wis. Stats.
- 4. The conduct described in paragraph 2, above, violated § Phar 7.01(1)(e), Wis. Adm. Code. Such conduct constitutes unprofessional conduct within the meaning of the Code and statutes. Each and every patient (or patient's agent) must receive a face-to-face consultation from a registered pharmacist (or supervised intern) at the time a prescribed drug or device is transferred to the patient in the pharmacy, whether the prescription is new or a refilled or renewed prescription.

This duty is non-delegable and is not satisfied by having auxiliary staff ask if the patient has questions.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted.

IT IS FURTHER ORDERED, that Lisa T. Harris, R.Ph., is REPRIMANDED for her unprofessional conduct in this matter.

IT IS FURTHER ORDERED, that respondent shall FORFEIT \$100, to be paid within 30 days of this order.

IT IS FURTHER ORDERED, that respondent shall pay COSTS in this matter in the amount of \$300, within 30 days of this order.

IT IS FURTHER ORDERED, that pursuant to §227.51(3), Wis. Stats., and ch. RL 6, Wis. Adm. Code, if the Board determines that there is probable cause to believe that respondent has violated any term of this Final Decision and Order, the Board may order that the license of respondent be summarily suspended pending investigation of the alleged violation.

Dated this September 10, 1996,

WISCONSIN PHARMACY EXAMINING BOARD by:

a member of the board

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STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

LISA T. HARRIS, R.Ph., RESPONDENT. STIPULATION 96 PHM 12

It is hereby stipulated between the above Respondent and the undersigned prosecuting attorney for the Division of Enforcement of the Department of Regulation and Licensing, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation of licensure of Respondent by the Division of Enforcement. Respondent consents to the resolution of this investigation by agreement and without the issuance of a formal complaint.
- 2. Respondent understands that by signing this Stipulation, respondent waives the following rights with respect to disciplinary proceedings: the right to a statement of the allegations against respondent; a right to a hearing at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against respondent; the right to call witnesses on respondent's behalf and to compel attendance of witnesses by subpoena; the right to testify personally; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent is aware of respondent's right to seek legal representation and has been provided the opportunity to seek legal advice before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Board. The parties consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties agree that an attorney for the Division of Enforcement may appear before the Board, in open or closed session, without the presence of Respondent or Respondent's attorney, for the purposes of speaking in support of this agreement and answering questions that the members of the Board and its staff may have in connection with their deliberations on the case.
- 7. The Board Advisor in this matter may participate freely in any deliberations of the Board regarding acceptance of this Stipulation and the proposed Final Order, and may relate to the Board any knowledge and views of the case acquired during the investigation.

- 8. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.
- 9. Respondent is informed that should the Board adopt this stipulation, the board's final decision and order is a public record and will be published in the monthly *Report of Decisions* issued by the department. A summary of the order will be published in the *Wisconsin Regulatory Digest* issued semiannually by the Board. This is standard department procedure and in no way specially directed at Respondent.

Respondent

Prosecuting Attorney
Division of Enforcement

Date

Department of Regulation & Licensing P.O. Box. 8935, Madison, WI 53708-8935

State of Wisconsin

TTY# (608) 267-2416₁ hearing or speech TRS# 1-800-947-3529 impaired only

GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES

On September 10, 1996 , the Pharmacy Examining Board
took disciplinary action against your license. Part of the discipline was an assessment of costs and/or a forfeiture.
The amount of the costs assessed is: \$300.00 Case #: 96 PHM 12
The amount of the forfeiture is: \$100.00 Case # 96 PHM 12
Please submit a check or a money order in the amount of \$ 400.00
The costs and/or forfeitures are due: October 10, 1996
NAME: Lisa T. Harris LICENSE NUMBER: 12465
STREET ADDRESS: 10201 W. Fond du Lac Avenue #202
CITY: Milwaukee STATE: WI ZIP CODE: 53224
Check whether the payment is for costs or for a forfeiture or both:
X COSTS X FORFEITURE
Check whether the payment is for an individual license or an establishment license:
X INDIVIDUAL ESTABLISHMENT
If a payment plan has been established, the amount due monthly is: For Receipting Use Only
Make checks payable to:
DEPARTMENT OF REGULATION AND LICENSING 1400 E. WASHINGTON AVE., ROOM 141 P.O. BOX 8935 MADISON, WI 53708-8935
#2145 (Rev. 9/96)

Ch. 440.22, Stats. G\BDLS\FM2145 DOC

Committed to Equal Opportunity in Employment and Licensing

BEFORE THE STATE OF WISCONSIN PHARMACY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

AFFIDAVIT OF SERVICE

LISA T. HARRIS, R.PH., RESPONDENT.

Katie Rotenberg, being first duly sworn on oath deposes and states that she is in the employ of the Department of Regulation and Licensing, and that on September 13, 1996, she served the following upon the respondent:

Final Decision and Order and Guidelines for Payment of Costs and/or Forfeitures dated September 10, 1996

by mailing a true and accurate copy of the above-described document, which is attached hereto, by certified mail with a return receipt requested in an envelope properly addressed to the above-named respondent at:

10201 W. Fond du Lac Avenue, #202 Milwaukee, WI 53224 Certified P 213 148 283

an address which appears in the files and records of the Pharmacy Examining Board as the respondent's last known address.

Katie Rotenberg

Department of Regulation and Licensing

Substribed and sworn to before me

this 13th day of September, 1996. Ruly Jefferson-Morere

RUBY JEFFERSON -

MOORE

Notary Public / / / Dane County, Wisconsin

My Commission is Permanent

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review, The Times Allowed For Each, And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN PHARMACY EXAMINING BOARD

P.O. Box 8935
Madison, WI 53708.

The Date of Mailing this Decision is:

September 13, 1996

1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)