

WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

STATE OF WISCONSIN
BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

SETON HEALTH CARE SERVICES,
SETON PHARMACY,
RESPONDENTS.

93 PHM 54

The parties to this action for the purposes of §227.53, Wis. Stats., are:

Seton Health Care Services
2315 North Lake Drive
Milwaukee, WI 53211

Seton Pharmacy
2315 North Lake Drive
Milwaukee, WI 53211

Wisconsin Pharmacy Examining Board
P.O. Box 8935
Madison, WI 53708-8935

Department of Regulation and Licensing
Division of Enforcement
P.O. Box 8935
Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Respondent Seton Health Care Services, Inc., a Wisconsin corporation was at the times relevant to the facts set forth herein a community pharmacy licensed in the State of Wisconsin pursuant to license #7274, originally granted on 12/30/92. Respondent Seton Pharmacy, a business name for Seton Alliance Corp., a Wisconsin corporation, was at all times relevant to the facts set forth herein a community pharmacy licensed in the State of Wisconsin pursuant to license #6779, originally granted on 2/1/87. Respondents are both wholly owned subsidiaries of Seton Health Corporation of Wisconsin, a Wisconsin corporation.

2. Respondents did, for several years before and for two years after December 30, 1992, operate a home infusion business which included compounding prescription solutions for intravenous administration to patients at their homes. These solutions were compounded on the licensed pharmacy premises, and then routinely transported to a warehouse some miles from the pharmacy, where they were stored until delivered to the patients. Such warehouse was not licensed as a part of the pharmacy or any other pharmacy.

3. On and between October 7, 1991, and April 25, 1993, respondents failed to have in the warehouse a refrigerator adequate for the storage of drugs requiring refrigeration.

4. On and between October 7, 1991, and April 25, 1993, respondents failed to adequately refrigerate prescription drugs which were intended for dispensing to patients.

5. On more than one occasion between April 28, 1992 and September 25, 1992, Seton Pharmacy's agents delivered intravenous needles and prescription intravenous medications to the home of a patient, but left these items outside the house on the doorstep, without the consent of the owner of the property. On another occasion between these dates, Seton Pharmacy's agents delivered these items to a neighbor without consent of the patient.

6. On December 31, 1992, Seton Pharmacy transferred all of its home infusion pharmacy operations to Seton Health Care Services, Inc., which has in 1994 ceased all operations and transferred the home infusion patients to another licensee.

CONCLUSIONS OF LAW

7. The Wisconsin Pharmacy Examining Board has jurisdiction to act in this matter pursuant to §450.10, Wis. Stats. and is authorized to enter into the attached Stipulation pursuant to §227.44(5), Wis. Stats.

8. The conduct described in ¶2, above, constitutes dispensing from an unlicensed location, contrary to §450.06(1), Wis. Stats. The conduct described in ¶3, above, violated § Phar 6.07(1), Wis. Adm. Code. The conduct described in ¶4, above, violated § Phar 10.03(2) and (3), Wis. Adm. Code. The conduct described in ¶5, above, violated §450.15(1), Wis. Stats. and § Phar 10.03(6), Wis. Adm. Code. Such conduct is unprofessional conduct within the meaning of the Code and statutes.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted

IT IS FURTHER ORDERED, that Seton Health Care Services and Seton Pharmacy shall jointly and severally FORFEIT \$5,000, as discipline for their unprofessional conduct in this matter, to be paid within 30 days of this Order.

IT IS FURTHER ORDERED, that respondents shall jointly and severally pay COSTS in this matter in the amount of \$1,700, within 30 days of this order.

IT IS FURTHER ORDERED, that pursuant to §227.51(3), Wis. Stats., and ch. RL 6, Wis. Adm. Code, if the Board determines that there is probable cause to believe that either respondent has violated any term of this Final Decision and Order, the Board may order that the license of that respondent be summarily suspended pending investigation of the alleged violation.

Dated this September 12, 1995

WISCONSIN PHARMACY EXAMINING BOARD

by: Thomas Mc Gregor
a member of the board

STATE OF WISCONSIN
BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY
PROCEEDINGS AGAINST

SETON HEALTH CARE SERVICES,
SETON PHARMACY,
RESPONDENTS.

STIPULATION
93 PHM 54

It is hereby stipulated between the above Respondents (referred to herein in the singular) and the undersigned prosecuting attorney for the Division of Enforcement of the Department of Regulation and Licensing, as follows:

1. This Stipulation is entered into as a result of a pending investigation of licensure of Respondent by the Division of Enforcement. Respondent consents to the resolution of this investigation by agreement and without a hearing on the formal complaint which has been issued in this matter.

2. Respondent understands that by signing this Stipulation, respondent waives the following rights with respect to disciplinary proceedings: the right to a statement of the allegations against respondent, a right to a hearing at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against respondent, the right to call witnesses on respondent's behalf and to compel attendance of witnesses by subpoena; the right to testify personally; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.

3. Respondent is aware of respondent's right to seek legal representation and has obtained legal advice before signing this Stipulation.

4. Respondent does not admit the truth of the Findings of Fact and does not agree that its actions in using a warehouse for temporary storage of fully compounded and packaged IV solutions constituted dispensing from an unlicensed location, but solely to settle this matter and to avoid the expenses and uncertainties of litigation, agrees to the adoption of the attached Final Decision and Order by the Board. The parties consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

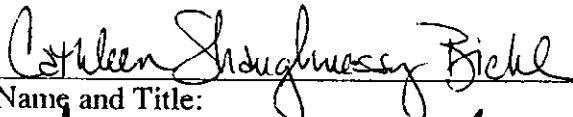
6. The parties agree that an attorney for the Division of Enforcement may appear before the Board, in open or closed session, without the presence of Respondent or Respondent's attorney, for the purposes of speaking in support of this agreement and answering questions that the members of the Board and its staff may have in connection with their deliberations on the case.

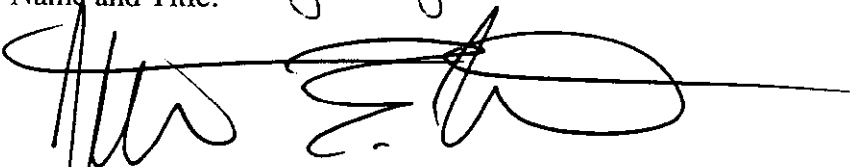
7. The Board Advisor in this matter may participate freely in any deliberations of the Board regarding acceptance of this Stipulation and the proposed Final Order, and may relate to the Board any knowledge and views of the case acquired during the investigation.

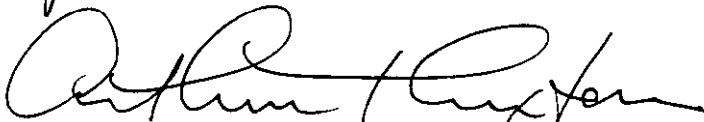
8. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.

9 Respondent is informed that should the Board adopt this stipulation, the board's final decision and order is a public record and will be published in the Monthly Disciplinary Report issued by the department. A summary of the order will be published in the Wisconsin Regulatory Digest issued semiannually by the Board. This is standard department procedure and in no way specially directed at Respondent.

SETON HEALTH CARE SERVICES, INC., BY:
SETON ALLIANCE CORP, DBA SETON PHARMACY, BY:

 9/12/95
Name and Title: Date


Stephen E. Kravit, Attorney for Respondents Date

 9/12/95
Arthur Thexton, Prosecuting Attorney Date
Division of Enforcement

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review, The Times Allowed For Each, And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:

THE STATE OF WISCONSIN PHARMACY EXAMINING BOARD.

1400 East Washington Avenue

P.O. Box 8935

Madison, WI 53708.

The Date of Mailing this Decision is:

SEPTEMBER 18, 1995.

1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the *Wisconsin Statutes*, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, *Wisconsin Statutes* a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)