WISCONSIN DEPARTMENT OF REGULATION & LICENSING



Wisconsin Department of Regulation & Licensing Access to the Public Records of the Reports of Decisions

This Reports of Decisions document was retrieved from the Wisconsin Department of Regulation & Licensing website. These records are open to public view under Wisconsin's Open Records law, sections 19.31-19.39 Wisconsin Statutes.

Please read this agreement prior to viewing the Decision:

- The Reports of Decisions is designed to contain copies of all orders issued by credentialing authorities within the Department of Regulation and Licensing from November, 1998 to the present. In addition, many but not all orders for the time period between 1977 and November, 1998 are posted. Not all orders issued by a credentialing authority constitute a formal disciplinary action.
- Reports of Decisions contains information as it exists at a specific point in time in the
 Department of Regulation and Licensing data base. Because this data base changes
 constantly, the Department is not responsible for subsequent entries that update, correct or
 delete data. The Department is not responsible for notifying prior requesters of updates,
 modifications, corrections or deletions. All users have the responsibility to determine whether
 information obtained from this site is still accurate, current and complete.
- There may be discrepancies between the online copies and the original document. Original documents should be consulted as the definitive representation of the order's content. Copies of original orders may be obtained by mailing requests to the Department of Regulation and Licensing, PO Box 8935, Madison, WI 53708-8935. The Department charges copying fees. All requests must cite the case number, the date of the order, and respondent's name as it appears on the order.
- Reported decisions may have an appeal pending, and discipline may be stayed during the
 appeal. Information about the current status of a credential issued by the Department of
 Regulation and Licensing is shown on the Department's Web Site under "License Lookup."
 The status of an appeal may be found on court access websites at:
 http://ccap.courts.state.wi.us/InternetCourtAccess and http://www.courts.state.wi.us/licenses.
- Records not open to public inspection by statute are not contained on this website.

By viewing this document, you have read the above and agree to the use of the Reports of Decisions subject to the above terms, and that you understand the limitations of this on-line database.

Correcting information on the DRL website: An individual who believes that information on the website is inaccurate may contact the webmaster at web@drl.state.wi.gov

FILE COPY

STATE OF WISCONSIN BEFORE THE REAL ESTATE BOARD

IN THE MATTER OF THE DISCIPLINARY

PROCEEDINGS AGAINST

:

:

FINAL DECISION AND ORDER

91 REB 199

JAMES I. STOPPLE,
RESPONDENT.

CASE #LS9305101REB

The parties to this action for the purposes of Wis. Stats. sec. 227.53 are:

James I. Stopple 10 North Charter Street Madison, WI 53715

Wisconsin Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

Wisconsin Real Estate Board P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Real Estate Board ("Board"). The Board has reviewed the Stipulation and considers it acceptable.

Accordingly, the Board adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. James I. Stopple, hereinafter called the Respondent, holds a credential as a real estate broker in the State of Wisconsin under provisions of Chapter 452 of the Wisconsin Statute.
- 2. Respondent's credential as a real estate broker carries the number 90-12003, was originally granted on July 30, 1971 and has been renewed so as to expire on December 31, 1994.
- 3. Respondent's last reported address to the Department of Regulation and Licensing is 10 North Charter Street, Madison, WI 53715.
- 4. On or about February 26, 1991, a criminal complaint against the Respondent was filed in Case Number 91-CF-283, in the Circuit Court for Dane County, Wisconsin.

- 5. On or about September 11, 1992, an amended criminal information was filed in Case Number 91-CF-283, in the Circuit Court for Dane County, Wisconsin, alleging that Respondent had feloniously participated, directly and indirectly, in an illegal enterprise, through a pattern of racketeering activity, which consisted of 19 offenses of securities fraud. A copy of the amended criminal information is attached hereto as Exhibit "A" and is incorporated into this complaint as if set forth at length.
- 6. On or about November 5, 1992, pursuant to a written decision dated on or about January 15, 1993, Respondent was convicted in the Circuit Court for Dane County, Wisconsin of one felony count of participating in an enterprise through a pattern of racketeering activity. The racketeering activity consisted of 18 offenses of securities fraud committed against farmers who bought promissory notes from FLS, a corporation controlled, in part, by the Respondent.
- 7. A copy of the Judgment of Conviction and Sentence, dated March 30, 1993, in case # 91-CF-283 is attached hereto as Exhibit "B" and incorporated herein as if set forth at length.
- 8. The circumstances of the crime which Respondent has been convicted of substantially relates to the practice of a real estate broker.
- 9. Respondent Stopple has offered to voluntarily surrender his real estate broker credentials pursuant to the terms of this Final Decision and Order.

CONCLUSIONS OF LAW

- 1. The Wisconsin Real Estate Board has jurisdiction to act in this matter pursuant to Section 452.14, Wis. Stats.
- 2. The Wisconsin Real Estate Board is authorized to enter into the attached Stipulation pursuant to Section 227.44(5), Wis. Stats.
- 3. The Respondent's felony conviction, as set forth above, is a violation of law, the circumstances of which substantially relate to the practice of a real estate broker and is, therefore, a violation of section RL 24.17(1), Wis. Adm. Code and sections 452.14(3)(i) and 452.14(3)(k), Wis. Stats.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted.

IT IS FURTHER ORDERED, that the VOLUNTARY SURRENDER of the Real Estate Broker credentials of Respondent James I. Stopple, license #90-12003, is hereby accepted and, effective on the date of this Order, all rights and privileges held by James I. Stopple pursuant to those credentials are terminated.

IT IS FURTHER ORDERED, that James I. Stopple shall not be issued a credential under Chapter 452 of the Wisconsin Statutes for a period of two years from the date of this Order

IT IS FURTHER ORDERED, that in the event the judgment of conviction of James I. Stopple in Case No. 91 CF 283 is overturned on appeal and vacated by the appeal or trial court, this Stipulation and Order shall be vacated. Respondent shall provide the Department of Regulation and Licensing with a certified copy of the decision and/or order vacating the judgment of conviction. The Stipulation and Order in this case shall be vacated promptly after receipt by the Department of the notice of vacation of the judgment of conviction, and Respondent's license shall be promptly reinstated. The vacation of the judgment of conviction and subsequent vacation of this Stipulation and Order, if applicable, shall not prejudice the rights of the Department of Regulation and Licensing and the Real Estate Board from taking disciplinary action relating to the facts underlying the original judgment of conviction; however, all rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes and the Wisconsin Administrative Code shall be applicable with respect to any further action taken against Respondent by the Department of Regulation and Licensing or the Real Estate Board.

IT IS FURTHER ORDERED, that investigative file 91 REB 199 be, and hereby is closed.

Dated this 27th day of Journey, 1994.

WISCONSIN REAL ESTATE BOARD

Ап

A member of the Board

ATY2 5293 12/93

Attachments

STATE OF WISCONSIN,

Plaintiff,

V.

MARK W. MUELLER, JAMES I. STOPPLE,

Defendants.

AMENDED CRIMINAL

Case Nos. 91-CF-282, 283

Sign of the Spain | 1992

Constitution of the original on file original origi

I, Matthew J. Frank, Assistant Attorney General, foods the court:

From on or about April 3, 1984, to on or about May 27, 1985, in the city of Madison, Dane County, Wisconsin, and other Wisconsin counties, the defendants, Mark Mueller and James Stopple, being persons associated with an enterprise, to-wit: Farm Loan Services, Inc. (FLS), did feloniously conduct and participate directly and indirectly in the enterprise, through a pattern of racketeering activity, which included the following nineteen predicate offenses of securities fraud, in violation of sec. 551.41(2), Stats, and one predicate offense of securities fraud, in violation of sec. 551.41(3), Stats.:

In connection with the offer and sale of securities in this state, those securities being unsecured corporate promissory notes ("corporate notes") issued by FLS to investors, did directly and indirectly and wilfully omit to state material facts necessary in order to make the statements made, in light of the circumstances

under which they were made, not misleading, said material facts relating to the ability of FLS to repay the principal and interest on the promissory notes, including but not limited to the following: that there were substantial risks in investing in FLS; , that FLS was unprofitable, and had operated at a loss since 1981; that FLS's retained earnings and stockholder's equity had decreased every year since 1981; that FLS was a highly leveraged company; that investors' money was transferred from FLS to its parent company DAS, Inc., in the form of loans and management fees; that FLS had to sell new promissory notes to make principal and interest payments on old notes when they came due; that a substantial portion of FLS assets in the form of notes receivable due to FLS from farmers were pledged as collateral to First Bank Milwaukee; and that a substantial portion of FLS's assets consisted of intangible "good will"; to the following persons on the dates specified:

- 1. Sylvester and Mary Ellen Acker, 6593 Balser Road, Middleton, Wisconsin, on or about July 7, 1984, at which time Ackers were offered and sold an FLS corporate note in the amount of \$21,000, in violation of secs. 551.41(2) and 551.58, Stats.
- 2. Sylvester and Mary Ellen Acker, 6593 Balser Road, Middleton, Wisconsin, on or about December 7, 1984, at which time Ackers were offered and sold an FLS corporate note in the amount of \$12,000, in violation of secs. 551.41(2) and 551.58, Stats.
- 3. Sylvester and Mary Ellen Acker, 6593 Balser Road, Middleton, Wisconsin, on or about April 7, 1985, at which time

Ackers were offered and sold an FLS corporate note in the amount of \$21,000, in violation of secs. 551.41(2) and 551.58, Stats.

- 4. James and Lucille Lauderdale, Route 1, Box 794, Elkhorn, Wisconsin, on or about April 3, 1984, at which time Lauderdales were offered and sold an FLS corporate note in the amount of \$16,499.28, in violation of secs. 551.41(2) and 551.58, Stats.
- 5. James and Lucille Lauderdale, Route 1, Box 794, Elkhorn, Wisconsin, on or about April 3, 1985, at which time Lauderdales were offered and sold an FLS corporate note in the amount of \$8,249.64, in violation of secs. 551.41(2) and 551.58, Stats.
- 6. Dale Mielke, Goose Pond Road, Arlington, Wisconsin, on or about August 16, 1984, at which time Mielke was offered and sold am FLS corporate note in the amount of \$8,300.75, in violation of secs. 551.41(2) and 551.58, Stats.
- 7. Martin and Kathryn Ripp, 6961 Highway 51, Township of Windsor, Wisconsin, on or about November 27, 1984, at which time Ripps were offered and sold an FLS corporate note in the amount of \$20,000, in violation of secs. 551.41(2) and 551.58, Stats.
- 8. Martin and Kathryn Ripp, 6961 Highway 51, Township of Windsor, Wisconsin, on or about August 27, 1984, at which time Ripps were offered and sold an FLS corporate note in the amount of \$10,000, in violation of secs. 551.41(2) and 551.58, Stats.
- 9. Martin and Kathryn Ripp, 6961 Highway 51, Township of Windsor, Wisconsin, on or about May 27, 1985, at which time Ripps were offered and sold an FLS corporate note in the amount of \$10,000, in violation of secs. 551.41(2) and 551.58, Stats.

- 10. Lloyd and Doris Stapelmann, 552 North Main Street, Cottage Grove, Wisconsin, on or about August 27, 1984, at which time Stapelmanns were offered and sold an FLS corporate note in the amount of \$6,625, in violation of secs. 551.41(2) and 551.58, Stats.
- 11. Lloyd and Doris Stapelmann, 552 North Main Street, Cottage-Greve, Wisconsin, on or about November 27, 1984, at which time Stapelmanns were offered and sold an FLS corporate note in the amount of \$6,625, in violation of secs. 551.41(2) and 551.58, Stats.
- 12. Lloyd and Doris Stapelmann, 552 North Main Street, Cottage Grove, Wisconsin, on or about February 27, 1985, at which time Stapelmanns were offered and sold an FLS corporate note in the amount of \$6,625, in violation of secs. 551.41(2) and 551.58, Stats.
- . 13. Lloyd and Doris Stapelmann, 552 North Main Street, Cottage Grove, Wisconsin, on or about May 27, 1985, at which time Stapelmanns-were offered and sold an FLS corporate note in the amount of \$6,625, in violation of secs. 551.41(2) and 551.58, Stats.
- 14. Carl and Ruth Becker, Route 1, Box 306, Pardeeville, Wisconsin, on or about March 22, 1985, at which time Beckers were offered and sold four FLS corporate notes in the total amount of \$23,402.36, in violation of secs. 551.41(2) and 551.58, Stats.
- 15. Frank J. Alt, Route 4, #5269, Platteville, Wisconsin, on or about February 16, 1985, at which time Alt was offered and sold

five FLS corporate notes in the total amount of \$83,178.23, in violation of secs. 551.41(2) and 551.58, Stats.

- 16. William and Geraldine Bergman, Route 1, Plain, Wisconsin, on or about March 31, 1985, at which time Bergmans were offered and sold an FLS corporate note in the amount of \$17,931.02, in violation of secs. 551.41(2) and 551.58, Stats.
- 17. Curtis and Ferne Brown, 2110 Windsor Street, Sun Prairie, Wisconsin, on or about March 4, 1985, at which time Browns were offered and sold three FLS corporate notes in the total amount of \$74,656.30, in violation of secs. 551.41(2) and 551.58, Stats.
- 18. Jack and Mary Gratton, N1712 Radloff Street, Fort Atkinson, Wisconsin, on or about February 15, 1985, at which time Grattons were offered and sold an FLS corporate note in the amount of \$12,732.39, in violation of secs. 551.41(2) and 551.58, Stats.
- 19. Anna Jensen, Meadow Park Nursing Home, Clinton, Wisconsin, on or about April 27, 1985, at which time Jensen was offered and sold an FLS corporate note in the amount of \$33,563.46, in violation of secs. 551.41(2) and 551.58, Stats.

And further, on or about April 30, 1985, in connection with the offer and sale of securities in this state, those securities being unsecured corporate promissory notes ("corporate notes") issued by FLS to investors, did directly and indirectly and wilfully engage in an act, practice or course of business, to-wit: transferred accounts and notes receivable to FLS, which were entered on FLS' books at a value in excess of one million dollars, when in fact the assets were substantially worthless, in exchange for the elimination of debt in the same amount owed to FLS by DAS,

Inc., a corporation in which defendants Mueller and Stopple owned a majority of the stock and in which they had controlling interest, which act, practice or course of business would operate or operated as a fraud or deceit against persons who purchased FLS corporate notes at about the time of or after said transfer as further set forth below, in violation of secs. 551.43(3) and 551.58, Stats.:

Date(s) of Promissory Note(s)	Investor(s)	Amount
9/7/85 4/7/85	Sylvester and Mary Ellen Acker 6593 Balser Road, Middleton, WI	\$33,000.00
9/29/85 6/29/85	Leo and Dorothy Ballweg 5263 River Road, DeForest, WI	\$9,618.55
12/25/85	Virgil and Helen Benzine Route 1, Rio, WI	\$15,000.00
10/3/85 7/2/85	William Beu 14505 Nelson Road, Woodstock, IL	\$22,000.00
7/10/85 •	Wilbur and Dorothy Boltz Route 4, Beloit, WI	\$10,000.00
12/17/85	Floyd and Lorraine Brill Malone, WI	\$14,500.00
10/15/85	Milford and Rosemary Campbell Route 1, Box 249, Barneveld, WI	\$10,000.00
10/1/85	George and Elinor Dahle Route 1, Clinton, WI	\$41,080.90
10/2/85 4/2/85	Al and Laureen Dreischmeier Dreischmeier Road, LaValle, WI	\$20,000.00
11/16/85	Ivan and Helen DuCharme 210 S. Dousman, Prairie du Chien, WI	\$3,400.00
4/14/85 4/2/85	Fred and Jane Gygax W267 54098 Saylesville Rd., Waukesha	\$29,540.00
8/9/85	Dorothy Hahn Route 1, DeForest, WI County of Dane	\$4,738.26
8/9/85	Elmer Hahn Correct copy of the original on and of record in my office and Route 1, DeForest, WIbeen compared by me.	lles 4,738.26

Attest_______19____

Date(s) of Promissory Note(s)	Investor(s)	Amount
10/2/85 7/2/85	Edward and Marie Hall 21280 Hwy. 76, Poplar Grove, IL	\$27,540.00
10/6/85 4/6/85 7/6/85	Robert and Betty Hartwig 220 11th Avenue, Monroe, WI	\$32,250.00
10/5/85 4/12/85	Arden and Beverly Henslin Route 1, Box 224, Green Lake, WI	\$52,259.64
10/4/85 4/3/85	Lloyd or Meta Hoel Rt. 1, 2381 Cty. Trk. BN, Stoughton	\$8,000.00
4/27/85	David Jensen for Anna Jensen Route 2, Clinton, WI	\$33,563.46
5/21/85	Forrest and Lenore Jensen \$10,50 Route 4, Viroqua, WI	
7/3/85	Duane and Annabelle Johnson Route 1, Sharon, WI	\$10,000.00
9/16/85	Charles and Geraldine Kamrath Route 1, Pardeeville, WI	\$33,166.72
11/27/85	LaVerne Kleckner 3225 White Oaks Road, Harvard, IL	\$21,500.00
12/5/85 4/5/85	James and Lucile Lauderdale Route 1, Box 794, Elkhorn, WI	\$16,499.28
8/2/85	Arthur and Betty Mamerow 39628 Valley Road, Oconomowoc, WI	\$27,002.40
9/12/85 7/7/85	Carroll P. and Marvel Mattox 904 Hackett Street, Beloit, WI	\$15,000.00
12/8/85	Harmon and Ruth Milner Route 4, Kutz Rd., Whitewater, WI	\$5,171.69
8/16/85	Elmer Orcutt Route 1, Box 152, Arena, WI	\$30,000.00
10/10/85 4/10/85	Elmer Palmer 102 Valley View Court, Verona, WI	\$28,718.58
8/20/85	Stanley and Mary Margaret Palmer \$6,188.16 724 S. Perry Pkwy., Oregon, WI	
4/10/85	Lois Pruess Route 1, Montello, WI	\$42,205.64

15 ×

١.

÷

Date(s) of Promissory Note(s)	Investor(s)	Amount
7/3/85	Rasmussen Trust 3417 Greenwood Rd., Woodstock, IL	\$18,750.00
4/7/85	Charles and Anna Reinen 2825 Happy Valley Rd., Sun Prairie	\$2,000.00
8/26/85 5/27/85	Martin Ripp 6961 Hwy. 51, DeForest, WI	\$40,000.00
4/5/85 7/5/85	C.B. Sanderson Route 2, Cambria, WI	\$15,421.63
8/23/85	Thomas and Ann Saunders Route 1, Monticello, WI	\$31,750.00
7/5/85	Donald and Kathryn Smith Route 2, LaValle, WI	\$30,000.00
5/27/85	Lloyd and Doris Staplemann 552 N. Main St., Cottage Grove, WI	\$6,625.00
5/28/85	Clarence and Sue Thelen Route 2, Box 63, Elkhorn, WI	\$30,000.00
10/18/85	Donald and Irene Trocinski W6983 Olson Road, Holmen, WI	\$15,183.13
,9/17/85 12/17/85	Robert and Arlene Waege Route 5, Tomah, WI	\$8,465.53
10/25/85	Ethel Weisensel 1799 Greenway Road, Sun Prairie, WI	\$10,000.00
10/2/85	Earl or Foletha Wiederholt 622 Jefferson St., Cuba City, WI	\$10,000.00
9/14/85 6/14/85	Helma Wildes Route 1, Loganville, WI	\$10,000.00

.

all of the above contrary to secs. 946.83(3), and 946.82(2), (3) and (4), Stats., of the Wisconsin Organized Crime Control Act.

Dated this May of September, 1992.

MATTHEW J/ FRANK

Assistant Attorney General

Wisconsin Department of Justice Post Office Box 7857 Madison, Wisconsin 53707-7857 (608) 266-0929

VISCONSIN	CIRCUIT BRA	ANCH # 11		3R	DANE	COUNTY
State of Wisconsin, Plain	tiff -			YPE OF CONV		
-vs-(.		XX	_ Sente	ence to Wiscons	in State Pris	sons
•			_ 06110	ence Withheld, F		
JAMES I. STOPPLE	Defend	ant	_ Sent	ence imposed &	Stayed, Pro	obation Ordered
11 50	11 22 1	cou	JRT CA	SE NUMBER	91 CF 28	83
The defendant entered plea(s) of:	Guilty XX	Not Guilty		No Contest		
The Court y Jury found the d	efendant guilty of the	•	crime(s):		DATE(8)
The XX odi, round the	o.o ga,	WES STATU		FELONY OR MISDEMEANOR	CLASS	CRIME
CRIME(9)		VIOLAT	ED	(F OR M)	(A-E)	COMMITTED
SALES AND PURCHASES (RACKETE	ERTNG)	551.41	(2) a	nd (3) F		FROM ON OR
SALLO HID TURCHEDED (TURCHE)	,	946.83		• •		ABOUT 4-3-84
		946.82	(2)			TO ON OR ABC
						5-27-85
,			WRTTT	EN DECISION	DATED 1-1	5-93
IT IS ADJUDGED that the defendant is on	11-	5-92 VIA /				
IT IS ADJUDGED that the detendant is or	ouvicted on		_ as 10	diki guliy and.		
on is sente	enced to prison for					
on is sente	enced to intensive s	sanctions for				
on is sente	enced to county jail	/HOC for				
	• •					
xx on 3-29-93 is place	ed on probation for	10 YE				,. <u></u>
CONDITIONS OF SENTENCE/PROBATI	ON			<u> </u>		
Obligations: (Total amounts only)		L		rcerated in the c		
Fine \$				E COUNTY JAI		
(includes jail assessments; drug assessments; penalty assessments)		AT 9:	<u>MA 0C</u>	WITH WORK RE	LEASE	JAIL SENTENCI
Court costs \$	20.00	STAYE!) PEND ment C	ING APPEAL E	ive Sanction	order os sentence
(includes service lees; witness lees; restitution		only - ler				
surcharge; domestic abuse lees; subpoena lees;		1	J		 -	
automation fees)		Miscella	ineous			
Attorney fees \$. 100 н	OURS (OF COMMUNITY	SERVICE V	VORK.
Restitution - AG HAS 45 DAYS TOS						
DRAFT ORDER *		1			_	
Other \$	<u> </u>	-			(OU)	RT DA
Mandatory victim/witness surcharge(felony counts \$		+(MTN	TMAN C	OF 20% OF INC	CO CO	RT DAN
misdemeanor counts \$				EAR) BE APPL		
		- \$1,00	0 11 11	2 II., DB . II . D.	[[S]]	1/2 1/3
IT IS ADJUDGED that da	ys sentence credit	are due purs	uant to	s. 973.155 Wis.	\$ars and	spartie gredien
if on probation and it is revoked.				•		E/NAA/IS
IT IO ODDEDED A SAME OF SWING SEAR A	C Ab d. a 6 a m al m m d	into the even		the Department	110/個	
IT IS ORDERED that the Sheriff shall de	giver the detendant	mo ule cus	ody of	ule Department	indaga wa	114//
	 	 ·		. 4	13	CONST
NAME OF JUDGE] B'	Y THE	count:	20	
DANIEL R. MOESER	State of Wis	consin		11. 110	well	/
DISTRICT ATTORNEY	County of C This docume	aht is a full, tru	bns e	XX	<u> </u>	W/XXXVDeputy Clerk
MATTHEW FRANK AAG	Correct con-	of the original in my office	L ON THE	***	J	
DEFENSE ATTORNEY STEPHEN HURLEY	heen compa	ed by me, -		3-3	0-93	Date Signed
444	Attest	1.4-17	19 <u></u>	<u> </u>		
DEPARTMENT OF CORRECTIONS DOC-20 (Rev. 02/92)	201	SITH A COLEMA	Wisconsir ∕	n Statutes, Sections :	339.50, 939.51, TOE COMMOT	972.13 & Chapter 97
20 (1.00. 02.02)		MAKLA	. /. n	JUDGMEN	J. CONTRICT	11 //

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

:

STIPULATION

JAMES I. STOPPLE, RESPONDENT.

91 REB 199 Case #LS9305101REB

The undersigned parties in this matter agree and stipulate as follows:

- I. This Stipulation is entered into for the purpose of resolving investigative case file 91 REB 199. This case is currently scheduled for a formal administrative disciplinary hearing before Administrative Law Judge Wayne R. Austin as Disciplinary Action LS9305101REB. Upon the approval of this stipulation and issuance of the stipulated Order of the Wisconsin Real Estate Board ("Board"), this case will be closed and the attached Final Decision and Order will be issued.
- 2. Respondent James I. Stopple, ("Respondent"), understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent has had the opportunity to consult with legal counsel regarding this matter and the legal implications of this Stipulation. Respondent is represented in this matter by Attorney Kevin F. Milliken, 301 North Broom Street, Madison, WI 53703-2067.
- 4. Respondent voluntarily and knowingly waives the rights set forth in paragraph 2 above, on the condition that all of the provisions of this Stipulation are approved by the Board.
- 5. Respondent hereby offers to voluntarily surrender his credentials as a real estate broker and consents to the findings, terms and conditions of the attached Final Decision and Order. With respect to the attached Final Decision and Order, Respondent specifically admits the facts as set forth in the Findings of Fact, agrees that the Board may make the Findings of Fact and may reach the Conclusions set forth in the Conclusions of Law and that the Board may enter the Order accepting the Voluntary Surrender.

- 6. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order. The matter shall then be returned to the Administrative Law Judge for further proceedings. In the event that the Stipulation is not accepted by the Board the parties agree not to contend that the Board or the Administrative Law Judge have been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 7. If the Board accepts the terms of this Stipulation, the parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties.
- 8. Respondent agrees that Complainant's attorney, Charles J. Howden, may appear at any meeting with the Board with respect to the Stipulation but that his appearance is limited to statements in support of the Stipulation and to answer any questions the Board may have regarding the Stipulation. Respondent waives his right to have notice of that hearing and to be present at the meeting with the Board.
- 9. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.

LA Lo	1/4/94
James I. Stopple	Date

Kevin F. Milliken, Attorney
for the Respondent

301 North Broom Street Madison, WI 53703-2067 1-6-94

Charles J. Howden, Attorney
Division of Enforcement

Date

ATY2-5292 December 1993

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review, The Times Allowed For Each, And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN REAL ESTATE ROARD

1400 East Washington Avenue
P.O. Box 8935

Madison, WI 53708.

The Date of Mailing this Decision is:

JANUARY 28, 1994

1. REHEARING

Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filed in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a petition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)