# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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## STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF : DISCIPLINARY PROCEEDINGS AGAINST :

JOSEPH E. TRADER, M.D., RESPONDENT. FINAL DECISION AND ORDER 93 MED 8

The parties to this action for the purposes of § 227.53, Wis. Stats., are:

Joseph E. Trader, M.D. 501 North Tenth Street Manitowoc, WI 54220

Wisconsin Medical Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

# FINDINGS OF FACT

- 1 Respondent Joseph E. Trader (dob 11/2/46) is and was at all times relevant to the facts set forth herein a physician and surgeon licensed in the State of Wisconsin pursuant to license #18035, first granted on 7/12/72. Respondent is an orthopedic surgeon. Respondent has not been the subject of any other disciplinary proceedings before the Board.
- 2. The Respondent did, on June 10, 1991, perform arthroscopic surgery on the right knee of patient K.R. which hospital personnel had previously mistakenly prepared for surgery. In fact, surgery had been scheduled and intended only for the left knee of the patient, and consent had been given only for the left knee.

#### **CONCLUSIONS OF LAW**

3. The Wisconsin Medical Examining Board has jurisdiction to act in this matter pursuant to §448.02(3), Wis. Stats. and is authorized to enter into the attached Stipulation pursuant to §227.44(5), Wis. Stats.

4. The conduct described in paragraph 2, above, was negligence.

#### **ORDER**

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted.

IT IS FURTHER ORDERED, that respondent shall, within 30 days of this order, enter the risk management assessment program of the University of Wisconsin School of Medicine, Continuing Medical Education department, as outlined below. Respondent shall cooperate fully and promptly with the program, and complete any educational program recommended during the assessment. Respondent shall pay all costs associated with the assessment, and program, and shall complete the program within fifteen months of its commencement.

The risk management assessment program shall include:

- A. An on-site assessment of the physician's office practices and procedures (and those of the hospital, in the discretion of the assessors), to include the office's layout, personnel issues, procedures, medical records, informed consent procedures, followup systems, drug and prescription policies, and such other relevant practices and procedures as the assessors may determine are appropriate for review, in their professional judgment and discretion. Respondent and his staff shall cooperate fully with the assessors in this process, and respondent shall request that his colleagues cooperate fully in this process.
- B. A report of the assessment shall be written by the assessors, including (if indicated) a reasonable proposed educational plan which shall include a review of the objectives, educational requirements, expected behavior changes for both physician and staff, time-line, and budget. The physician shall forthwith undertake the educational program and implement the other recommendations of the assessors. If respondent disagrees with the reasonableness of the program or recommendations, the board advisor assigned to this case shall determine the reasonableness of the disputed aspects of the program or recommendations, and his decision shall be final.
- C. Respondent shall comply with the time line of the program, and will respond within 14 days to the written followup questionaires to be sent to him approximately 3 and 6 months following the initial site visit. Respondent shall cooperate fully with the final site visit which will take place approximately 12 months after the initial site visit.
- D. Following the final site visit, the assessors shall prepare a final assessment which sets forth respondent's compliance with the objectives of the program (including evidence that he has implemented all recommended changes in office procedures and practices) to the extent that he has reduced the risk in his practice to a level consistent with the public's legitimate expectations of safety and the standards of practice of the profession.
- E. Upon successful completion of the program, the UW-CME program shall certify to the Board and respondent that respondent has met the objectives of the program, and this shall be accepted in lieu of other discipline.
- F. Respondent participated in a PIC-Wisconsin review in 1991. UW-CME may, with approval of the board's designee, waive or modify one or more of the foregoing requirements if this would avoid unnecessary duplication of work already done in respect of respondent's practice. The 1991 review documents shall be provided if such a waiver or modification is requested.

IT IS FURTHER ORDERED, that if respondent does not successfully complete the program or does not successfully achieve the objectives of the program, this matter shall be referred to the Board to determine an appropriate discipline for the conduct set out in ¶2 of the Findings of Fact. Respondent will have the opportunity to present argument to the Board on that issue.

IT IS FURTHER ORDERED, that respondent shall pay the costs of investigating and prosecuting this matter in the amount of \$300, within 30 days of this order.

IT IS FURTHER ORDERED, that pursuant to §448.02(4), Wis. Stats., if the Board determines that there is probable cause to believe that respondent has violated any term of this Final Decision and Order, the Board may order that the license and registration of respondent be summarily suspended pending investigation of the alleged violation.

Dated this Laday of July, 1994.
WISCONSIN MEDICAL EXAMINING BOARD

ances I. Esswein, M.D., Chair

akt 5161

### STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF : DISCIPLINARY PROCEEDINGS AGAINST :

JOSEPH E. TRADER, M.D., RESPONDENT. STIPULATION 93 MED 8

It is hereby stipulated between the above Respondent and the undersigned prosecuting attorney for the Division of Enforcement of the Department of Regulation and Licensing, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation of licensure of Respondent by the Division of Enforcement. Respondent consents to the resolution of this investigation by agreement and without the issuance of a formal complaint.
- 2. Respondent understands that by signing this Stipulation, respondent waives the following rights with respect to disciplinary proceedings: the right to a statement of the allegations against respondent; a right to a hearing at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against respondent; the right to call witnesses on respondent's behalf and to compel attendance of witnesses by subpoena; the right to testify personally; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent is aware of respondent's right to seek legal representation and has obtained legal advice before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Board. In making this agreement, respondent does not admit liability or wrongdoing, but seeks to resolve what otherwise would be a contested matter. The parties consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties agree that an attorney for the Division of Enforcement may appear before the Board, in open or closed session, without the presence of Respondent or Respondent's attorney, for the purposes of speaking in support of this agreement and answering questions that the members of the Board and its staff may have in connection with their deliberations on the case.
- 7. The Board Advisor in this matter may participate freely in any deliberations of the Board regarding acceptance of this Stipulation and the proposed Final Order, and may relate to the Board any knowledge and view of the case acquired during the investigation.

- 8. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.
- 9. Respondent is informed that should the Board adopt this stipulation, the Board's final decision and order is a public record and will be published in the Monthly Disciplinary Report issued by the department. A summary of the order will be published in the Wisconsin Regulatory Digest issued semiannually by the Board. This is standard department procedure and in no way specially directed at Respondent

Prosecuting Attorney

Division of Enforcement

Ralph W Topinka Quarles & Brady

Attorney for Respondent