WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

Case No. LS-9303101-MED

NICHOLAS R. WAGENER, M.D.,

RESPONDENT.

The parties to this action for the purposes of Wis. Stats. sec. 227.53 are:

Nicholas R. Wagener, M.D. P.O. Box 62 Sturgeon Bay, WI 54235

Wisconsin Medical Examining Board P.O. Box 8935 Madison, WI 53708-8935

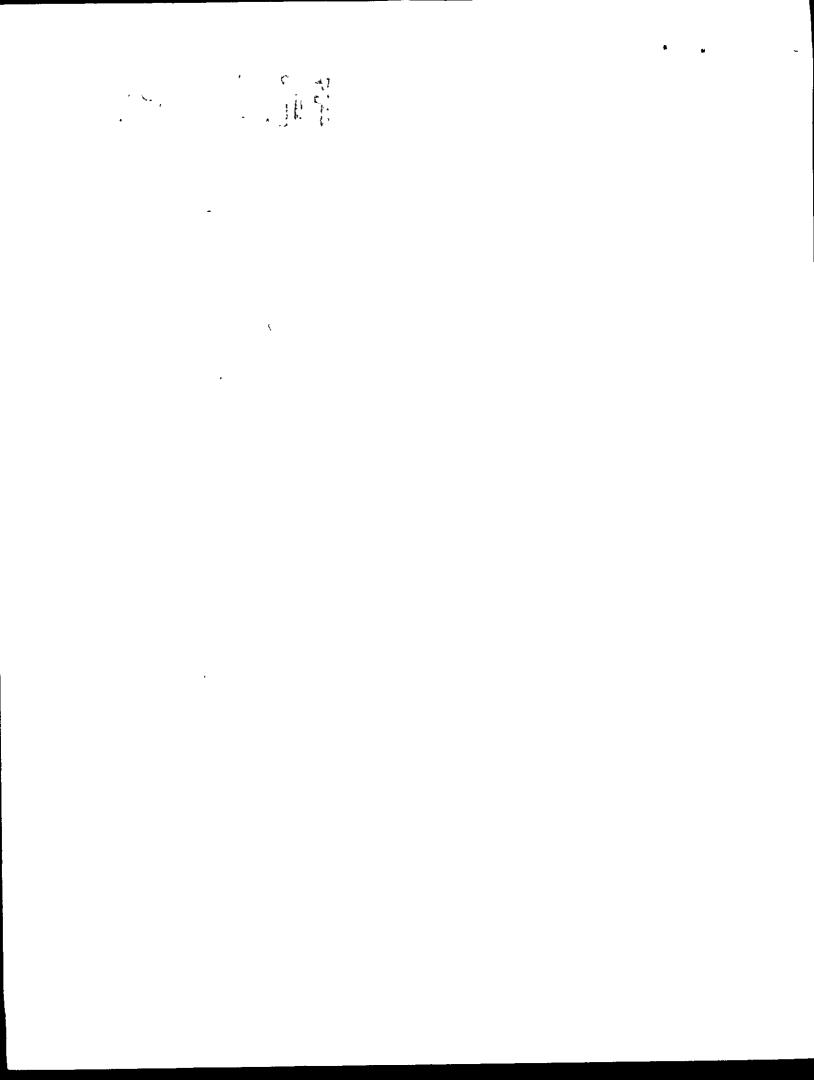
Department of Regulation and Licensing Division of Enforcement P.O. Box 8935
Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. Nicholas R. Wagener, M.D., Respondent herein, (D.O.B. 4/24/32) is duly licensed and registered to practice medicine and surgery in the State of Wisconsin pursuant to license number 14246, which license was first granted on 2/27/62.
- 2. Respondent's latest address on file with the Department of Regulation and Licensing is P.O. Box 62, Sturgeon Bay, WI 54235.
 - 3. Respondent is a general practitioner.



- 4. On March 10, 1993, a disciplinary complaint was filed in this matter, alleging that Respondent provided inappropriate care to five patients. The Respondent has filed an answer denying all allegations of inappropriate care to patients.
- 5. For personal reasons, Respondent has determined to cease the practice of medicine and has agreed to voluntarily surrender his registration to practice medicine and surgery in the state of Wisconsin, pursuant to the terms of this Order.
- 6. Respondent has indicated his understanding that he can not practice medicine or surgery in the state of Wisconsin, unless he is both registered and licensed to do so in the state of Wisconsin.
- 7. Respondent is not licensed to practice medicine or surgery in any other state, does not have an application to practice medicine or surgery pending in any other state, and has agreed not to apply for a license to practice medicine or surgery in any other state, unless Respondent first becomes registered to practice medicine and surgery in the state of Wisconsin.

CONCLUSIONS OF LAW

- 1. That the Wisconsin Medical Examining Board has authority to enter into this stipulated resolution pursuant to secs. 227.44(5) and 448.02(5), Wis. Stats.
- 2. That the Wisconsin Medical Examining Board has jurisdiction over this matter pursuant to sec. 448.02(3), Wis. Stats.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED that Respondent's voluntary surrender of his registration to practice medicine and surgery in the state of Wisconsin is hereby accepted, effective October 15, 1993.

IT IS FURTHER ORDERED that if Respondent shall ever reapply for a registration to practice medicine and surgery in the State of Wisconsin:

- A. The Medical Examining Board may consider the allegations of the disciplinary complaint in this matter in determining whether to reregister Respondent.
- B. The Medical Examining Board may require Respondent to take and pass all examinations then required for original licensure.
- C. If the Board determines to reregister Respondent, the Board may impose whatever limitations and conditions upon his license to practice medicine and surgery as it deems appropriate.

IT IS FURTHER ORDERED that Respondent shall not seek a license to practice medicine or surgery in any other state, unless Respondent first becomes registered to practice medicine and surgery in the state of Wisconsin.

IT IS FURTHER ORDERED that within 30 days of the date of this Order that Respondent shall pay to the Wisconsin Department of Regulation & Licensing \$592.50 as part of the costs of this proceeding. This payment is not a fine, forfeiture, penalty or other discipline.

Dated at Madison, Wisconsin this 23rd day of September, 1993.

Clark O. Olsen, M.D.,

Secretary

Wisconsin Medical Examining Board

ATY2-4575

IN THE MATTER OF : DISCIPLINARY PROCEEDINGS AGAINST :

STIPULATION

NICHOLAS R. WAGENER, M.D., RESPONDENT.

LS-9303101-MED

It is hereby stipulated and agreed, by and between, Nicholas R. Wagener, M.D., Respondent; Michael P. Malone of Hinshaw & Culbertson, as attorneys for Respondent; and, John R. Zwieg, as attorney for the Wisconsin Department of Regulation and Licensing, Division of Enforcement, as follows:

:

- 1. On March 10, 1993, a disciplinary complaint was filed in this matter, alleging that Respondent provided inappropriate care to five patients. The Respondent has filed an answer denying all allegations of inappropriate care to patients.
- 2. The parties agree that this stipulated resolution may be presented directly to the Wisconsin Medical Examining Board and need not be presented to the Administrative Law Judge appointed in this matter.
- 3. Respondent understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving the allegations in Counts I through IV by clear and convincing evidence, and the allegations in Counts V through VIII by a preponderance of the evidence; the right to confront and cross—examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 4. Respondent is aware of his right to seek legal representation and has done so prior to signing this Stipulation.
- 5. For personal reasons, Respondent has determined to cease the practice of medicine and agrees to voluntarily surrender his registration to practice medicine and surgery in the state of Wisconsin, pursuant to the terms of the attached Order.
- 6. Respondent understands that he can not practice medicine or surgery in the state of Wisconsin, unless he is both registered and licensed to do so in the state of Wisconsin.

- 7. Respondent agrees that he is not licensed to practice medicine or surgery in any other state, does not have an application to practice medicine or surgery pending in any other state, and will not apply for a license to practice medicine or surgery in any other state, unless Respondent first becomes registered to practice medicine and surgery in the state of Wisconsin.
- 8. Respondent neither admits nor denies the allegations against him, but for personal reasons agrees to the adoption of the attached Final Decision and Order by the Medical Examining Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 9. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 10. The parties to this Stipulation agree that the Respondent and the attorney for the Division of Enforcement may appear before the Board for the purposes of speaking in support of this agreement and answering questions that the members of the Board may have in connection with their deliberations on the Stipulation.
- 11. The parties to this Stipulation agree that the member of the Board appointed as the investigative advisor in this matter may appear before the Board in open or closed session for the purposes of speaking in support of this agreement and answering questions that the members of the Board may have in connection with their deliberations on the Stipulation.

Dated this 20 day of September, 1993.

Nicholas R. Wagener, M.D.

Respondent

Dated this <u>b</u> day of September, 1993.

Michael P. Malone Hinshaw & Culbertson

Attorneys for Respondent

Dated this 2/ day of September, 1993.

John J. Lwieg

Atterney for Complainant

Department of Regulation & Licensing

Division of Enforcement

NOTICE OF APPEAL INFORMATION

(Notice of Rights for Rehearing or Judicial Review, the times allowed for each, and the identification of the party to be named as respondent)

The following notice is served on you as part of the final decision:

1. Rehearing.

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Any person aggrieved by this order may petition for a rehearing within 20 days of the service of this decision, as provided in section 227.49 of the Wisconsin Statutes, a copy of which is attached. The 20 day period commences the day after personal service or mailing of this decision. (The date of mailing of this decision is shown below.) The petition for rehearing should be filed with the State of Wisconsin Medical Examining Board.

A petition for rehearing is not a prerequisite for appeal directly to circuit court through a petition for judicial review.

2. Judicial Review.

Any person aggrieved by this decision has a right to petition for judicial review of this decision as provided in section 227.53 of the Wisconsin Statutes, a copy of which is attached. The petition should be filed in circuit court and served upon the State of Wisconsin Medical Examining Board

within 30 days of service of this decision if there has been no petition for rehearing, or within 30 days of service of the order finally disposing of the petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30 day period commences the day after personal service or mailing of the decision or order, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing of this decision is shown below.) A petition for judicial review should be served upon, and name as the respondent, the following: the State of Wisconsin Medical Examining Board.

The date of mailing of this decision is	September	28,	1993.	

221.49 Petitions for renearing in contested cases. (1) A petition for rehearing shall not be a prerequisite for appeal or review. Any person aggrieved by a final order may, within 20 days after service of the order, file a written petition for rehearing which shall specify in detail the grounds for the relief sought and supporting authorities. An agency may order a rehearing on its own motion within 20 days after service of a final order. This subsection does not apply to s. 17 025 (3) (e). No agency is required to conduct more than one rehearing based on a petition for rehearing filed under this subsection in any contested case.

(2) The filing of a petition for rehearing shall not suspend or delay the effective date of the order, and the order shall take effect on the date fixed by the agency and shall continue in effect unless the petition is granted or until the order is superseded, modified, or set aside as provided by law.

(3) Rehearing will be granted only on the basis of:

(a) Some material error of law.

(b) Some material error of fact.

(c) The discovery of new evidence sufficiently strong to reverse or modify the order, and which could not have been previously discovered by due diligence.

(4) Copies of petitions for rehearing shall be served on all parties of record. Parties may file replies to the petition.

- (5) The agency may order a rehearing or enter an order with reference to the petition without a hearing, and shall dispose of the petition within 30 days after it is filed. If the agency does not enter an order disposing of the petition within the 30-day period, the petition shall be deemed to have been denied as of the expiration of the 30-day period.
- (6) Upon granting a rehearing, the agency shall set the matter for further proceedings as soon as practicable. Proceedings upon rehearing shall conform as nearly may be to the proceedings in an original hearing except as the agency may otherwise direct. If in the agency's judgment, after such rehearing it appears that the original decision, order or determination is in any respect unlawful or unreasonable, the agency may reverse, change, modify or suspend the same accordingly. Any decision, order or determination made after such rehearing reversing, changing, modifying or suspending the original determination shall have the same force and effect as an original decision, order or determination.

227.52 Judicial review; decisions reviewable. Administrative decisions which adversely affect the substantial interests of any person, whether by action or inaction, whether affirmative or negative in form, are subject to review as provided in this chapter, except for the decisions of the department of revenue other than decisions relating to alcohol beverage permits issued under ch. 125, decisions of the department of employe trust funds, the commissioner of banking, the commissioner of credit unions, the commissioner of savings and loan, the board of state canvassers and hose decisions of the department of industry, labor and human relations which are subject to review, prior to any judicial review, by the labor and industry review commission, and except as otherwise provided by law.

227.53 Parties and proceedings for review. (1) Except as otherwise specifically provided by law, any person aggrieved by a decision specified in s. 227.52 shall be entitled to judicial review thereof as provided in this chapter.

(a) 1. Proceedings for review shall be instituted by serving a petition therefor personally or by certified mail upon the agency or one of its officials, and filing the petition in the office of the clerk of the circuit court for the county where the judicial review proceedings are to be held. If the agency whose decision is sought to be reviewed is the tax appeals commission, the banking review board or the consumer credit review board, the credit union review board or the savings and loan review board, the petition shall be served upon both the agency whose decision is sought to be reviewed and the corresponding named respondent, as specified under par. (b) 1 to 4.

2. Unless a rehearing is requested under s. 227.49, petitions for review under this paragraph shall be served and filed within 30 days after the service of the decision of the agency upon all parties under s. 227.48. If a rehearing is requested under s. 227.49, any party desiring judicial review shall serve and file a petition for review within 30 days after service of the order finally disposing of the application for rehearing, or within 30 days after the final disposition by operation of law of any such application for rehearing. The 30-day period for serving and filing a petition under this paragraph commences on the day after personal service or mailing of the decision by the agency.

3. If the petitioner is a resident, the proceedings shall be held in the circuit court for the county where the petitioner resides, except that if the petitioner is an agency, the proceedings shall be in the circuit court for the county where the respondent resides and except as provided in ss. 77.59 (6) (b), 182.70 (6) and 182.71 (5) (g). The proceedings shall be in the circuit court for Dane county if the petitioner is a nonresident. If all parties stipulate and the court to which the parties desire to transfer the proceedings agrees, the proceedings may be held in the county designated by the parties. If 2 or more petitions for review of the same decision are filed in different counties, the circuit judge for the county in which a petition for review of the decision was first filed shall determine the venue for judicial review of the decision, and shall order transfer or consolidation where appropriate.

(b) The petition shall state the nature of the petitioner's interest, the facts showing that petitioner is a person aggrieved by the decision, and the grounds specified in s. 227.57 upon which petitioner contends that the decision should be reversed or modified. The petition may be amended, by leave of court, though the time for serving the same has expired. The petition shall be entitled in the name of the person serving it as petitioner and the name of the agency whose decision is sought to be reviewed as respondent, except that in petitions

for review of decisions of the following agencies, the latter agency specified shall be the named respondent:

- 1. The tax appeals commission, the department of revenue
- 2. The banking review board or the consumer credit review board, the commissioner of banking.
- 3. The credit union review board, the commissioner of credit unions.
- 4. The savings and loan review board, the commissioner of savings and loan, except if the petitioner is the commissioner of savings and loan, the prevailing parties before the savings and loan review board shall be the named respondents
- (c) A copy of the petition shall be served personally or by certified mail or, when service is timely admitted in writing, by first class mail, not later than 30 days after the institution of the proceeding, upon each party who appeared before the agency in the proceeding in which the decision sought to be reviewed was made or upon the party's attorney of record. A court may not dismiss the proceeding for review solely because of a failure to serve a copy of the petition upon a party or the party's attorney of record unless the petitioner fails to serve a person listed as a party for purposes of review in the agency's decision under s. 227.47 or the person's attorney of record.
- (d) The agency (except in the case of the tax appeals commission and the banking review board, the consumer credit review board, the credit union review board, and the savings and loan review board) and all parties to the proceeding before it, shall have the right to participate in the proceedings for review. The court may permit other interested persons to intervene. Any person petitioning the court to intervene shall serve a copy of the petition on each party who appeared before the agency and any additional parties to the judicial review at least 5 days prior to the date set for hearing on the petition.
- (2) Every person served with the petition for review as provided in this section and who desires to participate in the proceedings for review thereby instituted shall serve upon the petitioner, within 20 days after service of the petition upon such person, a notice of appearance clearly stating the person's position with reference to each material allegation in the petition and to the affirmance, vacation or modification of the order or decision under review. Such notice, other than by the named respondent, shall also be served on the named respondent and the attorney general, and shall be filed, together with proof of required service thereof, with the clerk of the reviewing court within 10 days after such service Service of all subsequent papers or notices in such proceeding need be made only upon the petitioner and such other persons as have served and filed the notice as provided in this subsection or have been permitted to intervene in said proceeding, as parties thereto, by order of the reviewing court.