WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN
BEFORE THE DENTISTRY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

CHARLES W. LAUX, D.D.S. RESPONDENT

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92 DEN 087

The parties to this action for the purpose of Wis. Stats. sec. 227.53 are:

Charles W. Laux, D.D.S. 334 North 75th Street Milwaukee, WI 53213

Dentistry Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached stipulation as the final decision in this matter, subject to the approval of the Board. The Board has reviewed this stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached stipulation and makes the following:

FINDINGS OF FACT

- 1. Charles W. Laux, D.D.S., (D.O.B. 7/23/35) is duly licensed in the State of Wisconsin as a dentist (license # 015 4001488). This license was first granted on June 27, 1959.
- 2. Respondent's latest address on file with the Department of Regulation and Licensing is 334 North 75th Street, # 3, Milwaukee, WI 53213.
- 3. On numerous occasions Respondent has issued fraudulent prescriptions to obtain the drug Vicodin ES, other than in the course of the legitimate practice of dentistry, for his personal consumption. There are criminal charges pending against Respondent in Milwaukee County as a result of this conduct.

4. Respondent has admitted to chemical dependence, and has undergone an initial inpatient evaluation which has resulted in a diagnosis of Opoid Dependence Continuous.

CONCLUSIONS OF LAW

By the conduct described above, Respondent is subject to disciplinary action against his license to practice as a dentist in the State of Wisconsin, pursuant to Wis. Stats. sec. 447.07(1)(d) and Wis. Adm. Code sec. DE5.02(6).

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED that the license of Charles W. Laux shall be SUSPENDED for a period of not less than five(5)years.

- (a) IT IS FURTHER ORDERED that the SUSPENSION shall be STAYED for a period of three (3) months, conditioned upon compliance with the conditions and limitations outlined in paragraph (b), below.
 - i. Respondent may apply for consecutive three (3) month extensions of the stay of suspension, which shall be granted upon acceptable demonstration of compliance with the conditions and limitations imposed upon his's practice during the prior three (3) month period.
 - ii. If the Board denies the petition by Respondent for an extension, the Board shall afford an opportunity for hearing in accordance with the procedures set forth in Wis. Adm. Code Ch. RL 1 upon timely receipt of a request for hearing.
 - iii. Upon a showing by Respondent of complete, successful and continuous compliance for a period of five(5) years with the terms of paragraph (b), below, the Board shall grant a petition by Respondent for return of full licensure. The board may, in its discretion, require that Respondent complete at least six (6) months of dental employment monitored under the conditions of paragraph (b) prior to the Board's issuance of a complete and unrestricted license.

- i. Respondent must remain in a program acceptable to the Board for the treatment of alcohol and chemical dependency. As a part of treatment, Respondent must attend therapy on a schedule as recommended by his therapist; attendance, however, shall be required at least 4 times per month. In addition, Respondent must attend Alcoholics or Narcotics Anonymous at least 4 time(s) per week.
- ii. Upon request of the Board, Respondent shall provide the Board with current releases complying with state and federal laws, authorizing release of counseling, treatment and monitoring records, and employment records.
- iii. Respondent shall remain free of alcohol, prescription drugs and controlled substances not prescribed for valid medical purposes during the period of limitation.
- iv. Respondent must participate in a program of random witnessed monitoring for controlled substances and alcohol in his blood and/or urine on a frequency of not less than 4 times per month. If the physician or therapist supervising Respondent's plan of care or his employer deems that additional blood or urine screens are warranted, Respondent shall submit to such additional screens.

Respondent shall be responsible for obtaining a monitoring facility and reporting system acceptable to the Board, as well as for all costs incurred in conjunction with the monitoring and reporting required.

To be an acceptable program, the monitoring facility shall agree to provide random and witnessed gatherings of specimens for evaluation. The facility must agree to maintain a custody record of all specimens, and to confirm positive test results with gas chromotography or mass spectometry. It shall further agree to file an immediate report directly with the Dentistry Examining Board upon such failures to participate as: if Respondent fails to appear upon request; or if a drug or alcohol screen proves positive; or if Respondent refuses to give a specimen for analysis upon a request authorized under the terms of this Order.

Respondent understands and agrees that the accuracy of the monitoring obtained is his responsibility. For the purposes of actions affecting his license, it shall be presumed that all confirmed positive reports are valid. Respondent shall have the burden of proof to establish an error in testing or fault in chain of custody regarding a positive monitoring report.

v. Respondent shall provide his employer and any prospective employers with a copy of this Final Decision and Order immediately upon issuance of this Order, and upon any change of employment. In addition, Respondent shall immediately provide his employer with a copy of any denial of an extension of stay under this order.

- vi. Respondent shall arrange for quarterly reports to the Dentistry Examining Board from his employer evaluating his work performance; from the monitoring facility providing the dates and results of the screenings performed; and from the counselor evaluating his attendance and progress in therapy as well as evaluating his level of participation at NA/AA meetings.
 - vii. Respondent shall refrain from access to or the administration of controlled substances in his work setting until such time as access or administration is approved by the Board.
 - viii. Respondent shall report to the Board any change in employment status, change of residence address or phone number, within five (5) days of any such change.
- (c) Respondent shall surrender all permits and licenses for access to, administration or prescription of controlled substances, and shall not re-apply for any such permit or license until further order of the Board.
- (d) Respondent may petition the Board in conjunction with any application for an additional stay to revise or eliminate any of the above conditions. Denial in whole or in part of a petition under this paragraph shall not constitute denial of a license and shall not give rise to a contested case within the meaning of Wis. Stats. §§227.01(3) and 227.42.
- (e) Violation of any of the terms of this Order shall be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license; the Board in its discretion may in the alternative deny an extension of the stay of suspension or impose additional conditions and limitations other additional discipline for a violation of any of the terms of this Order.
 - (f) This Order shall be come effective upon the date of its signing. DENTISTRY EXAMINING BOARD

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IN THE MATTER OF : STIPULATION CHARLES W. LAUX, D.D.S., : 92 DEN 087 RESPONDENT :

It is hereby stipulated between Charles W. Laux, personally on his own behalf and by his attorney, Douglas J. Rebholz; and by James W. Harris, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows that:

- 1. This Stipulation is entered into as a result of a pending investigation of Respondent's licensure by the Division of Enforcement. He consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.
- 2. Respondent understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent is aware of his right to seek legal representation and has obtained legal advice prior to signing this stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Dentistry Examining Board. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.

- 6. The parties to this stipulation agree that the attorney for the Division of Enforcement may appear before the Dentistry Examining Board for the purposes of speaking in support of this agreement and answering questions that the members of the Board may have in connection with their deliberations on the stipulation.
- 7. The Division of Enforcement joins Respondent in recommending the Dentistry Examining Board adopt this Stipulation and issue the attached Final Decision and Order.

Charles W. Laux

8/21/92

Charles w. Laux

Douglas J Rebholz, Attorney for Respondent

8/20/92

James W. Harris, Attorney Division of Enforcement

Date

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NOTICE OF APPEAL INFORMATION

(Notice f Rights for Rehearing r Judicial Review, the times allowed for each, and the identification of the party to be named as respondent)

The following notice is served on you as part of the final decision:

1. Rehearing.

Any person aggrieved by this order may petition for a rehearing within 20 days of the service of this decision, as provided in section 227.49 of the Wisconsin Statutes, a copy of which is attached. The 20 day period commences the day after personal service or mailing of this decision. (The date of mailing of this decision is shown below.) The petition for rehearing should be filed with the State of Wisconsin Dentistry Examining Board.

A petition for rehearing is not a prerequisite for appeal directly t circuit court through a petition for judicial review.

2. Judicial Review.

Any person aggrieved by this decision has a right to petition f r judicial review of this decision as provided in section 227.53 of the Wisconsin Statutes, a copy of which is attached. The petition should be filed in circuit court and served upon the State of Wisconsin Dentistry Examining Board.

within 30 days of service of this decision if there has been no petiti n for rehearing, or within 30 days of service of the order finally disposing of the petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30 day period commences the day after personal service or mailing of the decision or order, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing of this decision is shown below.) A petition for judicial review should be served upon, and name as the respondent, the following: the State of Wisconsin Dentistry Examining Board.

The date of mailing of this decision is September 8, 1992.