

WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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FILE COPY

STATE OF WISCONSIN BEFORE THE OPTOMETRY EXAMINING BOARD

IN THE MATTER OF THE DISCIPLINARY
PROCEEDINGS AGAINST

TAI M. CHAN, O.D.,
RESPONDENT

FINAL DECISION AND ORDER

The parties to this action for the purposes of Wis. Stats. sec. 227.53 are:

Tai M. Chan, O.D.
3735 Raintree Road
Sun Prairie, WI 53590

Optometry Examining Board
P.O. Box 8935
Madison, WI 53708-8935

Department of Regulation and Licensing
Division of Enforcement
P.O. Box 8935
Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Tai M. Chan, O.D., (D.O.B. 07/08/49) is duly licensed as an optometrist in the state of Wisconsin (license # 1529). This license was first granted on July 14, 1976.
2. Dr. Chan's most recent address on file with the Wisconsin Optometry Examining Board is 3735 raintree Road, Sun Prairie, WI 53590.
3. At all times relevant to this action, Dr. Chan was doing business as an optometrist at Madison Contact Lens & Optical Clinic, 4210 East Washington Avenue, Madison, Wisconsin.
4. Beginning on or about June 1, 1989 and continuing through approximately March 7, 1990, Dr. Chan dispensed Bausch & Lomb SeeSequence™ contact lenses instead of Bausch & Lomb 03 or 04 series lenses to certain of Dr. Chan's patients.

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5. Dr. Chan had received the SeeSequence™ lenses from their manufacturer for distribution without charge as samples to his patients. The lenses were not for resale. In addition, SeeSequence™ lenses differ from 03/04 lenses in the diameter of the lenses.

6. Dr. Chan did not inform his patients that he had made a substitution of lenses.

7. Dr. Chan charged the patients for the receipt of the SeeSequence™ sample lenses.

8. From January 1, 1988 to March 12, 1990, Dr. Chan failed to provide the written disclosures required by Wis. Adm. Code § OPT 5.14 to some of this patients who received contact lenses for extended wear use.

CONCLUSIONS OF LAW

By the conduct described above, Tai M. Chan is subject to disciplinary action against his license to practice as an optometrist in the state of Wisconsin, pursuant to Wis. Stats. §§ 449.07(1)(e) and 449.08(1)(a), and Wis. Adm. Code § OPT 5.14.

I. NOW, THEREFORE, IT IS HEREBY ORDERED that Tai M. Chan, O.D. shall fulfill the following conditions:

a. Tai M. Chan shall provide the Division of Enforcement with acceptable documentation of the provision of the written disclosures [as required by OPT § 5.14] to all individuals to whom he dispensed contact lenses for extended wear use from January 1, 1988 to the present.

b. Tai M. Chan shall notify all individuals who received SeeSequence™ contact lenses from him in substitution for 03 or 04 series lenses to 1) inform them of the substitution; 2) offer those individuals the option of either a refund of the fee paid for the SeeSequence™ lens(es) or the provision of new 03 or 04 series lenses free of charge to those individuals; [Notification shall be made in accordance with the attached specified language.] and 3) where applicable, inform the patients of the need for an evaluation of the fit of the substituted lens(es).

c. Dr. Chan shall provide the Division of Enforcement with acceptable documentation of 1) evaluation of fit of all recipients of SeeSequence™ lenses as a substitution for 03 or 04 series lenses; and 2) the provision of substitute lenses and /or refunds to all patients who received SeeSequence lenses in substitution for 03 or 04 series lenses.

II. Dr. Chan shall provide the Division of Enforcement with acceptable documentation of compliance with all of the above-listed conditions by no later than August 31, 1990. If Dr. Chan fails to provide acceptable documentation by that date, the license of Tai M. Chan, O.D. shall be SUSPENDED for an indefinite period and until such time as he submits acceptable documentation of compliance.

Upon request of the Board, the Division of Enforcement may independently verify Dr. Chan's documentation of compliance.

III. IT IS FURTHER ORDERED THAT partial costs of this proceeding are assessed against Dr. Chan in the sum of ONE HUNDRED FIFTY (\$150) DOLLARS. Assessed costs must be paid in full within thirty (30) days of the date of this Order.

This order shall become effective upon the date of its signing.

OPTOMETRY EXAMINING BOARD

By: Lynnda Farrar, O.D.
A Member of the Board

8/6/90
Date

STATE OF WISCONSIN
BEFORE THE OPTOMETRY EXAMINING BOARD

IN THE MATTER OF	:	
DISCIPLINARY PROCEEDINGS AGAINST	:	STIPULATION
TAI M. CHAN, O.D.,	:	
RESPONDENT.	:	

It is hereby stipulated between Tai M. Chan, personally on his own behalf and by his attorney, John Albert; and Steven M. Gloe, Attorney for the Department of Regulation and Licensing, Division of Enforcement, as follows that:

1. This Stipulation is entered into as a result of a pending investigation of Dr. Chan's licensure by the Division of Enforcement (90 OPT 005). Dr. Chan consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.

2. Dr. Chan understands that by the signing of this Stipulation he voluntarily and knowingly waives his rights, including: the right to a hearing on the allegations against him, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel their attendance by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.

3. Dr. Chan agrees to the adoption of the attached Final Decision and Order by the Optometry Examining Board.

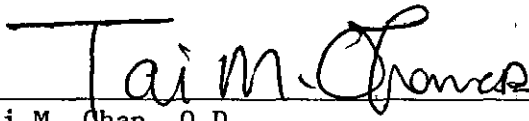
4. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings.

5. If the Board accepts the terms of this Stipulation, the parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties.

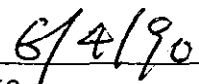
6. The parties to this stipulation agree that the attorney for the Division of Enforcement may appear before the Optometry Examining Board for the purposes of speaking in support of this agreement and answering questions that the members of the Board may have in connection with their deliberations on the stipulation.

7. Attached to this Stipulation is the current licensure card of Dr. Chan. If the Board accepts the Stipulation, Dr. Chan's license shall be reissued in accordance with the terms of the attached Final Decision and Order. If the Board does not accept this Stipulation, the license of Dr. Chan shall be returned to him with a notice of the Board's decision not to accept the Stipulation.

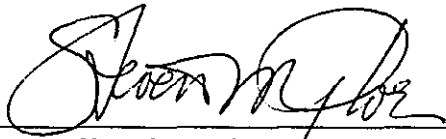
8. The Division of Enforcement joins Dr. Chan in recommending the Optometry Examining Board adopt this Stipulation and issue the attached Final Decision and Order.



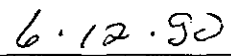
Tai M. Chan, O.D.



Date



Steven M. Gloe, Attorney
Division of Enforcement



Date

NOTICE OF APPEAL INFORMATION

(Notice of Rights for Rehearing or Judicial Review,
the times allowed for each and the identification
of the party to be named as respondent)

The following notice is served on you as part of the final decision:

1. Rehearing.

Any person aggrieved by this order may petition for a rehearing within 20 days of the service of this decision, as provided in section 227.49 of the Wisconsin Statutes, a copy of which is attached. The 20 day period commences the day after personal service or mailing of this decision. (The date of mailing of this decision is shown below.) The petition for rehearing should be filed with the State of Wisconsin Optometry Examining Board.

A petition for rehearing is not a prerequisite for appeal directly to circuit court through a petition for judicial review.

2. Judicial Review.

Any person aggrieved by this decision has a right to petition for judicial review of this decision as provided in section 227.53 of the Wisconsin Statutes, a copy of which is attached. The petition should be filed in circuit court and served upon the State of Wisconsin Optometry Examining Board.

within 30 days of service of this decision if there has been no petition for rehearing, or within 30 days of service of the order finally disposing of the petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30 day period commences the day after personal service or mailing of the decision or order, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing of this decision is shown below.) A petition for judicial review should be served upon, and name as the respondent, the following: the State of Wisconsin Optometry Examining Board.

The date of mailing of this decision is August 8, 1990.

227.49 Petitions for rehearing in contested cases. (1) A petition for rehearing shall not be a prerequisite for appeal or review. Any person aggrieved by a final order may, within 20 days after service of the order, file a written petition for rehearing which shall specify in detail the grounds for the relief sought and supporting authorities. An agency may order a rehearing on its own motion within 20 days after service of a final order. This subsection does not apply to s. 17.025 (3) (e). No agency is required to conduct more than one rehearing based on a petition for rehearing filed under this subsection in any contested case.

(2) The filing of a petition for rehearing shall not suspend or delay the effective date of the order, and the order shall take effect on the date fixed by the agency and shall continue in effect unless the petition is granted or until the order is superseded, modified, or set aside as provided by law.

(3) Rehearing will be granted only on the basis of:

(a) Some material error of law.

(b) Some material error of fact.

(c) The discovery of new evidence sufficiently strong to reverse or modify the order, and which could not have been previously discovered by due diligence.

(4) Copies of petitions for rehearing shall be served on all parties of record. Parties may file replies to the petition.

(5) The agency may order a rehearing or enter an order with reference to the petition without a hearing, and shall dispose of the petition within 30 days after it is filed. If the agency does not enter an order disposing of the petition within the 30-day period, the petition shall be deemed to have been denied as of the expiration of the 30-day period.

(6) Upon granting a rehearing, the agency shall set the matter for further proceedings as soon as practicable. Proceedings upon rehearing shall conform as nearly may be to the proceedings in an original hearing except as the agency may otherwise direct. If in the agency's judgment, after such rehearing it appears that the original decision, order or determination is in any respect unlawful or unreasonable, the agency may reverse, change, modify or suspend the same accordingly. Any decision, order or determination made after such rehearing reversing, changing, modifying or suspending the original determination shall have the same force and effect as an original decision, order or determination.

227.52 Judicial review; decisions reviewable. Administrative decisions which adversely affect the substantial interests of any person, whether by action or inaction, whether affirmative or negative in form, are subject to review as provided in this chapter, except for the decisions of the department of revenue other than decisions relating to alcohol beverage permits issued under ch. 125, decisions of the department of employee trust funds, the commissioner of banking, the commissioner of credit unions, the commissioner of savings and loan, the board of state canvassers and those decisions of the department of industry, labor and human relations which are subject to review, prior to any judicial review, by the labor and industry review commission, and except as otherwise provided by law.

227.53 Parties and proceedings for review. (1) Except as otherwise specifically provided by law, any person aggrieved by a decision specified in s. 227.52 shall be entitled to judicial review thereof as provided in this chapter.

(a) Proceedings for review shall be instituted by serving a petition therefor personally or by certified mail upon the agency or one of its officials, and filing the petition in the office of the clerk of the circuit court for the county where the judicial review proceedings are to be held. Unless a rehearing is requested under s. 227.49, petitions for review under this paragraph shall be served and filed within 30 days after the service of the decision of the agency upon all parties under s. 227.48. If a rehearing is requested under s. 227.49, any party desiring judicial review shall serve and file a petition for review within 30 days after service of the order finally

disposing of the application for rehearing, or within 30 days after the final disposition by operation of law of any such application for rehearing. The 30-day period for serving and filing a petition under this paragraph commences on the day after personal service or mailing of the decision by the agency. If the petitioner is a resident, the proceedings shall be held in the circuit court for the county where the petitioner resides, except that if the petitioner is an agency, the proceedings shall be in the circuit court for the county where the respondent resides and except as provided in ss. 77.59 (6) (b), 182.70 (6) and 182.71 (5) (g). The proceedings shall be in the circuit court for Dane county if the petitioner is a nonresident. If all parties stipulate and the court to which the parties desire to transfer the proceedings agrees, the proceedings may be held in the county designated by the parties. If 2 or more petitions for review of the same decision are filed in different counties, the circuit judge for the county in which a petition for review of the decision was first filed shall determine the venue for judicial review of the decision, and shall order transfer or consolidation where appropriate.

(b) The petition shall state the nature of the petitioner's interest, the facts showing that petitioner is a person aggrieved by the decision, and the grounds specified in s. 227.57 upon which petitioner contends that the decision should be reversed or modified. The petition may be amended, by leave of court, though the time for serving the same has expired. The petition shall be entitled in the name of the person serving it as petitioner and the name of the agency whose decision is sought to be reviewed as respondent, except that in petitions for review of decisions of the following agencies, the latter agency specified shall be the named respondent:

1. The tax appeals commission, the department of revenue.

2. The banking review board or the consumer credit review board, the commissioner of banking.

3. The credit union review board, the commissioner of credit unions.

4. The savings and loan review board, the commissioner of savings and loan, except if the petitioner is the commissioner of savings and loan, the prevailing parties before the savings and loan review board shall be the named respondents.

(c) Copies of the petition shall be served, personally or by certified mail, or, when service is timely admitted in writing, by first class mail, not later than 30 days after the institution of the proceeding, upon all parties who appeared before the agency in the proceeding in which the order sought to be reviewed was made.

(d) The agency (except in the case of the tax appeals commission and the banking review board, the consumer credit review board, the credit union review board, and the savings and loan review board) and all parties to the proceeding before it, shall have the right to participate in the proceedings for review. The court may permit other interested persons to intervene. Any person petitioning the court to intervene shall serve a copy of the petition on each party who appeared before the agency and any additional parties to the judicial review at least 5 days prior to the date set for hearing on the petition.

(2) Every person served with the petition for review as provided in this section and who desires to participate in the proceedings for review thereby instituted shall serve upon the petitioner, within 20 days after service of the petition upon such person, a notice of appearance clearly stating the person's position with reference to each material allegation in the petition and to the affirmance, vacation or modification of the order or decision under review. Such notice, other than by the named respondent, shall also be served on the named respondent and the attorney general, and shall be filed, together with proof of required service thereof, with the clerk of the reviewing court within 10 days after such service. Service of all subsequent papers or notices in such proceeding need be made only upon the petitioner and such other persons as have served and filed the notice as provided in this subsection or have been permitted to intervene in said proceeding, as parties thereto, by order of the reviewing court.