

WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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**STATE OF WISCONSIN
BEFORE THE AUCTIONEER BOARD**

IN THE MATTER OF THE DISCIPLINARY :
PROCEEDINGS AGAINST :
 : **FINAL DECISION AND ORDER**
 :
GREGORY KLUG. :
RESPONDENT. : ORDER0000160
 :
 :

[Division of Enforcement Case File #: 07 AUC 006]

The parties to this action for the purposes of Wis. Stat. § 227.53 are:

Gregory Klug
Major Wisconsin Auctions
411 Madison Avenue, Ste. D
Ft. Atkinson, WI 53551

Auctioneer Board
P.O. Box 8935
Madison, WI 53708-8935

Department of Regulation and Licensing
Division of Enforcement
P.O. Box 8935
Madison, WI 53708-8935

PROCEDURAL HISTORY

The parties in these matters agree to the terms and conditions of the attached Stipulation as the final decision of the matter(s), subject to the approval of the Auctioneer Board (Board). Respondent neither admits nor denies the allegations in this matter, but is willing to settle this matter and agrees to the adoption of this Final Decision and Order by the Board. The Board has reviewed the Stipulation agreement annexed to this Final Decision and Order, and considers it acceptable. Accordingly, the Board adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Gregory Klug, date of birth: 05/01/59, is registered as an Auctioneer in the State of Wisconsin having license number #052-0584. This license was first granted on 03/01/95.

2. Mr. Klug's most recent business address on file with the Department of Regulation and Licensing ("Department") is: 411 Madison Avenue, Suite D, Ft. Atkinson, WI 53551.

3. Mr. Klug is the owner of Major Wisconsin Auctions, also located at: Madison Avenue, Suite D, Ft. Atkinson, WI 53551.

4. As a registered auctioneer in the State of Wisconsin, Mr. Klug was and is at all times relevant to this action responsible for compliance under Wisconsin Statutes, chapter 480 and the Wisconsin Administrative Code for Auctioneers and Auction Companies, Chapters 124 and 125.

5. On or about April 25, 2007, the Department received a consumer complaint against Mr. Klug involving an auction that he conducted. Based on the consumer complaint, a case was opened for investigation.

6. On July 16, 2007, the Department requested information from Mr. Klug relating to the investigation. Mr. Klug sent some of the requested information but not all of it.

7. On February 25, 2010, the Department requested information relating to the investigation. On March 11, 2010, the Department received the requested information.

8. The Department's investigation revealed that Mr. Klug engaged in conduct while practicing as an auctioneer which evidences a lack of knowledge or ability to apply professional principles or skills.

9. Mr. Klug neither admits nor denies the findings of the investigation, but is willing to settle this matter. In resolution of this matter, the Respondent, Gregory Klug consents to the entry of the following Conclusions of Law and Order.

CONCLUSIONS OF LAW

1. The Auctioneer Board has jurisdiction to act in these matters pursuant to Wis. Stat. § 480.24.

2. The Auctioneer Board has authority to enter into this stipulated resolution of this matter pursuant to Wis. Stat. § 227.44(5).

3. Respondent Gregory Klug has violated:

- Wis. Stat. §§ 480.18(3) and 480.24(2)(b) by engaging in conduct while practicing as an auctioneer which evidences a lack of knowledge or ability to apply professional principles or skills. The conduct of failing to provide the Department's investigation with requested records in a timely manner is a violation of auctioneer rules and regulations.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is hereby accepted.

IT IS FURTHER ORDERED that Respondent, Gregory Klug, license # 052-584 be, and hereby is **REPRIMANDED**.

IT IS FURTHER ORDERED that the Respondent, Gregory Klug pay to the Department of Regulation and Licensing **COSTS of THREE HUNDRED DOLLARS (\$300.00)** within ninety (90) days from the date of this order.

Payments shall be made by **certified check or money order**, payable to the Wisconsin Department of Regulation and Licensing and sent to:

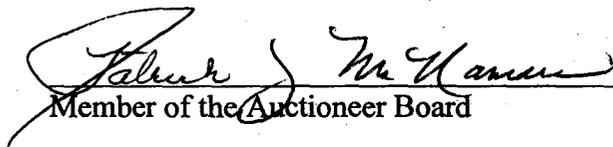
**Department Monitor
Division of Enforcement
Department of Regulation and Licensing
P.O. Box 8935
Madison, WI 53708-8935
Telephone (608) 267-3817
Fax (608) 266-2264**

Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of the costs as ordered and as set forth above, the Respondent's license (# 52-584) may, in the discretion of the board or its designee, be **SUSPENDED**, without further notice or hearing, until Respondent has complied with payment of the costs.

IT IS FURTHER ORDERED, that file 07 AUC 006 be, and hereby is, closed as to Gregory Klug.

Dated at Madison, Wisconsin this 27 day of April, 2010.

AUCTIONEER BOARD


Member of the Auctioneer Board