

# WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN  
BEFORE THE SOCIAL WORKER SECTION  
MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING,  
AND SOCIAL WORK EXAMINING BOARD

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IN THE MATTER OF THE DISCIPLINARY :  
PROCEEDINGS AGAINST :  
 : FINAL DECISION AND ORDER  
CORINNE R. ROLL, C.S.W., : LS0801302SOC  
RESPONDENT. :

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[Division of Enforcement Case # 05 SOC 053]

The parties to this action for the purposes of Wis. Stat. § 227.53 are:

Corinne R. Roll, C.S.W.  
548 Putnam Street  
Eau Claire, WI 54703

Social Worker Section  
Wisconsin Marriage and Family Therapy,  
Professional Counseling and Social Work Examining Board  
PO Box 8935  
Madison, WI 53708-8935

Department of Regulation and Licensing  
Division of Enforcement  
PO Box 8935  
Madison, WI 53708-8935

PROCEDURAL HISTORY

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Social Worker Section. The Section has reviewed this Stipulation and considers it acceptable.

Accordingly, the Section adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Corinne R. Roll, C.S.W., Respondent, date of birth October 2, 1967, is certified by the Social Worker Section as a social worker in the State of Wisconsin pursuant to certificate number 7440, which was first granted December 26, 2000.

2. Respondent's last address reported to the Department of Regulation and Licensing is 548 Putnam Street, Eau Claire, WI 54703.

3. During the following events, Respondent was employed as a social worker at Clairemont Nursing and Rehabilitation (facility), a nursing home in Eau Claire, Wisconsin.

4. On February 12, 2004, Mr. A, who was 64 years old, was admitted as a resident to the facility for rehabilitation following hospitalization for injuries resulting from a motor vehicle accident. Mr. A's cognitive functioning was normal.

5. In the past, Mr. A had been convicted of sexual assault and had a lifetime requirement that he register as a sex offender and provide the registry with current information.

a. At the time of his admission, no one at the facility was told of Mr. A's sex offender history.

b. On November 24, 2004, Respondent received a call from the district attorney's office and was told there was a felony warrant outstanding for Mr. A. The warrant was for failure to provide the sex offender registry with current information. Respondent was told what his options were for making an appearance in court. Respondent told Mr. A about the call and provided him with the public defender's phone number.

c. On December 21, 2004, Respondent called the Northwest Regional Sex Offender Registration Specialist, who returned her call the following day and confirmed that Mr. A was on the registry due to a 2<sup>nd</sup> degree sexual assault charge.

6. Respondent immediately shared this information with the facility's NHA and the Director of Nursing (DON).

a. The NHA instructed her to begin discharge planning for Mr. A.

b. Neither the NHA nor the DON provided Respondent with any instructions or recommendations to develop a care plan for Mr. A, implement measures for increased monitoring of Mr. A or to do anything else to protect the other residents from inappropriate sexual behavior by Mr. A.

c. Respondent did not take any steps to protect the other residents from inappropriate sexual behavior by Mr. A. It was Respondent's independent obligation to do so.

d. Respondent failed to develop an updated social services care plan based on this information, as required by Wis. Admin. Code § HFS 132.68(4).

7. Respondent began making inquiries for other placements for Mr. A.

a. On December 10, 2004, Respondent found that he did not qualify for some placements because of his felony conviction.

b. Respondent continued to make contacts regarding other placements in January 2005 but on February 2, was notified that he was not eligible for another placement because of his felony conviction.

c. On February 11, Respondent called the Northwest Regional Sex Offender Registration Specialist to see if he had any ideas on placement, but he had none.

d. On March 30, Respondent sent out another housing application request for Mr. A.

8. On May 9, 2005, Mr. A went into the room of an 87-year-old female resident and sexually assaulted her by placing his hand on her genitals without her consent. Staff discovered him doing this. Mr. A was immediately placed on 15-minute observations and was prohibited from being alone with female residents.

9. Respondent's failure to develop an updated social services care plan or take other steps to protect other residents from Mr. A was the performance of professional services that did not comply with an accepted standard of practice that had a significant relationship to the protection of the health, safety or welfare of a patient, client, or the public.

10. On June 18, 2005, Mr. A was discharged from the facility. The discharge was based on Mr. A's "desire to leave the facility and the facility's concern of adequate oversight to prevent exploitation of vulnerable residents and not limiting [Mr. A's] rights."

### CONCLUSIONS OF LAW

1. The Social Worker Section of the Wisconsin Marriage and Family Therapy, Professional Counseling and Social Work Examining Board has jurisdiction over this matter pursuant to Wis. Stat. § 457.26(2) and authority to enter into this stipulated resolution pursuant to Wis. Stat. § 227.44(5).

2. Respondent, by engaging in the conduct set out above, has committed gross negligence in practice, which is unprofessional conduct as defined by Wis. Adm. Code § MPSW 20.02(22) and subjects Respondent to discipline pursuant to Wis. Stat. § 457.26(2)(f).

### ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED:

1. Respondent, Corinne R. Roll, C.S.W., is hereby REPRIMANDED for the above conduct.

2. Respondent's certificate is LIMITED as follows:

a. Within 120 days of the date of this Order, Respondent shall provide proof sufficient to the Section, or its designee, of Respondent's satisfactory completion of a total of 3½ hours of continuing education in resident security and/or sex offender behavior, which course(s) shall first be approved by the Section, or its designee. These credits shall be in addition to the continuing education required for renewal of certification for the following biennium, pursuant to Wis. Adm. Code § MPSW 8.02, and shall not be applied toward meeting that requirement.

b. Upon Respondent providing proof sufficient to the Section, or its designee, that she has completed the education, the Section shall issue an Order removing this limitation of Respondent's certificate.

3. Respondent shall, within 120 days of the date of this Order, pay to the Department of Regulation and Licensing costs of this proceeding in the amount of \$375.00, pursuant to Wis. Stat. § 440.22(2).

4. All payments, requests and evidence of completion of the education required by this Order shall be mailed, faxed or delivered to:

Department Monitor  
Department of Regulation and Licensing  
Division of Enforcement  
1400 East Washington Avenue  
P.O. Box 8935  
Madison, WI 53708-8935  
Fax (608) 266-2264  
Telephone (608) 267-3817

5. In the event that Respondent fails to pay costs as ordered or fails to comply with the ordered continuing education, Respondent's certificate SHALL BE SUSPENDED, without further notice or hearing, until Respondent has complied with the terms of this Order.

6. This Order is effective on the date of its signing.

Social Worker Section  
Wisconsin Marriage and Family Therapy,  
Professional Counseling and Social Work Examining Board

By: Mary Jo Walsh  
A Member of the Section

1/30/08  
Date

STATE OF WISCONSIN  
BEFORE THE SOCIAL WORKER SECTION  
MARRIAGE AND FAMILY THERAPY, PROFESSIONAL COUNSELING,  
AND SOCIAL WORK EXAMINING BOARD

---

IN THE MATTER OF THE DISCIPLINARY :  
PROCEEDINGS AGAINST :  
 :  
CORINNE R ROLL, C.S.W., : STIPULATION  
RESPONDENT. : LS \_\_\_\_\_ SOC

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[Division of Enforcement Case # 05 SOC 053]

It is hereby stipulated and agreed, by and between Corinne R. Roll, C.S.W., Respondent; and John R. Zwieg, attorney for the Complainant, Department of Regulation and Licensing, Division of Enforcement, as follows:

1. This Stipulation is entered into as a result of a pending investigation of Respondent's certification by the Division of Enforcement (file 05 SOC 053). Respondent consents to the resolution of this investigation by stipulation and without the issuance of a formal complaint.

2. Respondent understands that by signing this Stipulation, she voluntarily and knowingly waives her rights, including the right to a hearing on the allegations against her, at which time the state has the burden of proving those allegations by a preponderance of the evidence; the right to confront and cross-examine the witnesses against her; the right to call witnesses on her behalf and to compel their attendance by subpoena; the right to testify herself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to her under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and any other provisions of state or federal law.

3. Respondent has been provided an opportunity to obtain advice of legal counsel prior to signing this Stipulation.

4. Respondent agrees to the adoption of the attached Final Decision and Order by the Section. The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Section's Order, if adopted in the form as attached.

5. If the terms of this Stipulation are not acceptable to the Section, the parties shall not be bound by the contents of this Stipulation, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Section, the parties agree not to contend that the Section has been prejudiced or biased in any manner by the consideration of this attempted resolution.

6. The parties to this Stipulation agree that the attorney or other agent for the Division of Enforcement and any member of the Section assigned as an advisor in this investigation may appear before the Section in open or closed session, without the presence of the Respondent or her attorney, if any, for purposes of speaking in support of this agreement and answering questions that any member of the Section may have in connection with the Section's deliberations on the Stipulation.

7. The parties agree that any member of the Section who has been appointed as the case advisor may participate in deliberations and vote on whether the Section should accept this Stipulation and issue the attached Final Decision and Order.

8. Respondent is informed that should the Section adopt this Stipulation, the Section's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.

9. The Division of Enforcement joins Respondent in recommending the Section adopt this Stipulation and issue the attached Final Decision and Order.

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Corinne R. Roll, C.S.W.  
Respondent  
548 Putnam Street  
Eau Claire, WI 54703

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Date

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John R. Zwieg  
Attorney for Complainant  
Division of Enforcement  
Department of Regulation and Licensing  
P.O. Box 8935  
Madison, WI 53708-8935

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Date